Edgar Filing: Mohan Deepak - Form 4

Mahan Daaral

Mohan Deepa	ak											
Form 4												
August 08, 20	017											
FORM 4 UNITED STATES SECURITIES AND EXCHANCE COMMISSION										IB APPROVAL		
UNITED STATES SECURITIES AND EXCHANGE COMMISSION								OND	3235-0287			
Check thi	s box		Was	hington,	D.C. 205	49			Number:			
Check this box if no longer									Expires:	January 31, 2005		
subject to STATEMENT OF CHAN				GES IN BENEFICIAL OWNERSHIP C				NERSHIP OF	Estimated average			
Section 10		SECURITIE								burden hours per		
Form 4 or Form 5		urguant to	Section 1	S(a) of the	- Coouriti		ahana	ha A at of 1024	response	0.5		
obligation	· · · · ·						-	e Act of 1934, f 1935 or Sectio	n			
may conti	nue.) of the In	•	.				11			
See Instru 1(b).	ction	50(II) of the m	vestment	company	1101	0117-	10				
1(0).												
(Print or Type R	esponses)											
1. Name and Address of Reporting Person [*] _ 2. Issuer				Name and Ticker or Trading				5. Relationship of Reporting Person(s) to				
Mohan Deep	bak		Symbol	ymbol				Issuer				
			Carboni	te Inc [CA	ARB]			(Chec	ck all applicable	•)		
(Last)	(First)	(Middle)	3. Date of	Earliest Tra	ansaction			(Chee	ik un uppheuok	.)		
(Month/I				onth/Day/Year)				Director 10% Owner				
			08/04/20	08/04/2017				_X_ Officer (give title Other (specify below) below)				
AVENUE DE LAFAYETTE								SVP Products annd Engineering				
			4. If Ame	4. If Amendment, Date Original Filed(Month/Day/Year)				6. Individual or Joint/Group Filing(Check				
								Applicable Line)				
				· ·				_X_Form filed by One Reporting Person Form filed by More than One Reporting				
BOSTON, M	IA 02111							Person	Aore than One Re	eporting		
(City)	(State)	(Zip)			• • • •	•						
	(31410)	(2.p)	Tabl	e I - Non-D	erivative S	ecurit	ies Acq	uired, Disposed of		-		
1.Title of	2. Transaction D			3. T				5. Amount of	6. Ownership			
Security (Instr. 3)	(Month/Day/Yea	ar) Executi any	on Date, if	Transaction(A) or Disposed of Code (D)			Securities Beneficially	Form: Direct (D) or	Indirect Beneficial			
(1150.5)		-	(D)/Day/Year) (Instr. 8) (Instr. 3, 4 and 5)				5)	Owned	Ownership			
			•	, , , , , , , , , , , , , , , , , , , ,				Following	(Instr. 4)			
						(A)		Reported Transaction(s)				
						or		(Instr. 3 and 4)				
Common				Code V	Amount	(D)	Price	(
Common Stock	08/04/2017			А	19,315 (1)	А	\$0	39,215 <u>(2)</u>	D			
SIUCK					<u>(-)</u>							

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. ofNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Secur	unt of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Own Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships						
1 0	Director	10% Owner	Officer	Other			
Mohan Deepak C/O CARBONITE, INC. 2 AVENUE DE LAFAYETTE BOSTON, MA 02111			SVP Products annd Engineering				
Signatures							
/s/ Danielle Sheer, by power of attorney							
**Signature of Reporting Person		Date					

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Reflects the grant of 19,315 restricted stock units (the "Performance-based RSUs") which meet the performance vesting condition if, by February 21, 2020, the closing price per share of the Common Stock is at least \$25.00 for 20 consecutive trading days. Upon achieving the applicable performance vesting condition ("Performance Achievement"), the Award will be subject to service vesting, with vesting of

- (1) the applicable performance vesting container (reformance Achievement), the Award will be subject to service vesting, with Vesting of such Performance-Based Restricted Stock Units to occur in four equal 3-month installments over the one-year period from the date of Performance Achievement, subject to the Recipient's continued service to the Company through the applicable vesting date. The vesting schedule for the Performance-based RSUs shall be accelerated upon certain Change of Control events.
- (2) Includes 39,562 shares of common stock subject to unvested restricted stock units.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.