Edgar Filing: OPPENHEIMER HOLDINGS INC - Form 4

OPPENHEIM Form 4 May 19, 2016		NGS INC	·								
FORM Check this if no longe	box		Wash	nington, l	D.C. 205	549		OMMISSION	OMB AF OMB Number: Expires:	PROVAL 3235-0287 January 31,	
subject to Section 16 Form 4 or Form 5 obligation may conti <i>See</i> Instru- 1(b).	5. Filed j snue. Section 1	pursuant to 17(a) of the	Section 16	SECURI (a) of the lity Holdi	TIES Securiti ing Com	es Ex pany	change Act of	ERSHIP OF Act of 1934, 1935 or Sectior 0	Estimated a burden hour response	0	
(Print or Type R	esponses)										
			2. Issuer Name and Ticker or Trading Symbol OPPENHEIMER HOLDINGS INC [OPY]					5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Mon			(Month/Da	Date of Earliest Transaction Month/Day/Year))5/19/2016				X_Director10% Owner Officer (give titleOther (specify below)below)			
			ndment, Date Original th/Day/Year)				 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting 				
								Person			
(City) 1.Title of Security (Instr. 3) Class A	(State) 2. Transaction (Month/Day/Y	ear) Execut any		3. Transactio Code (Instr. 8)	4. Securi	ties Adispose 4 and (A) or	cquired d of (D)	hired, Disposed of, 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect	
non-voting common stock	05/19/2016			Р	1,000	А	\$ 13.74	4,000	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Amou Unde Secur	le and unt of rlying ities . 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

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Reporting Owners

Reporting Owner Name / Address		Relationships							
		Director	10% Owner	Officer	Other				
Dwyer Timothy Martin 60 BEACON HILL ROA NEW CANAAN, CT 068	_	Х							
Signatures									
/s/ Timothy Dwyer	05	/19/2016							
<u>**</u> Signature of Reporting Person		Date							

Explanation of Responses:

If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.