Anderson Melissa H. Form 4 December 19, 2017

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer

subject to Section 16.

Form 4 or Form 5 obligations may continue.

See Instruction

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

Duke Energy CORP [DUK]

3. Date of Earliest Transaction

(Month/Day/Year)

02/28/2017

(Print or Type Responses)

1(b).

1. Name and Address of Reporting Person * Anderson Melissa H.

(First) (Middle) (Last)

550 S TRYON STREET

(Street)

CHARLOTTE, NC 28202

4. If Amendment, Date Original

Filed(Month/Day/Year)

OMB

Number:

Expires:

response...

Estimated average

burden hours per

OMB APPROVAL

3235-0287

January 31,

2005

0.5

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner Other (specify

_X__ Officer (give title below) below)

EVP, Administration & Chief HROff 6. Individual or Joint/Group Filing(Check

Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

(City) (State)

1. Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) (Instr. 3)

or Exercise

Security

Execution Date, if (Month/Day/Year)

(Zip)

3. 4. Securities TransactionAcquired (A) or Code (Instr. 8)

Disposed of (D) (Instr. 3, 4 and 5)

Code V Amount (D) Price

5. Amount of Securities Beneficially Owned Following Reported

6. Ownership Form: Direct (I) (Instr. 4)

7. Nature of Indirect (D) or Indirect Beneficial Ownership

Transaction(s) (Instr. 3 and 4) (Instr. 4)

(A)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

any

Symbol

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of 3. Transaction Date 3A. Deemed 4. Derivative Conversion (Month/Day/Year) Execution Date, if Transactionof

5. Number 6. Date Exercisable and **Expiration Date** Code Derivative (Month/Day/Year)

7. Title and Amount of 8. Price **Underlying Securities** Derivati (Instr. 3 and 4) Security

Edgar Filing: Anderson Melissa H. - Form 4

(Instr. 3)	Price of Derivative Security		(Month/Day/Year)	(Instr. 8)	Securit Acquir (A) or Dispos of (D) (Instr. 2 and 5)	ired r osed) . 3, 4,					(Instr. 5)
				Code V		(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Phantom Stock	(1)	02/28/2017		A	9		(2)	(3)	Common Stock	9	\$ 82.5
Phantom Stock	<u>(1)</u>	03/15/2017		A	349		<u>(2)</u>	(3)	Common Stock	349	\$ 82
Phantom Stock	(1)	03/31/2017		A	21		(2)	(3)	Common Stock	21	\$ 82.0
Phantom Stock	(1)	04/17/2017		A	20		(2)	(3)	Common Stock	20	\$ 82.9
Phantom Stock	(1)	04/28/2017		A	21		(2)	(3)	Common Stock	21	\$ 82.5
Phantom Stock	(1)	05/15/2017		A	20		(2)	(3)	Common Stock	20	\$ 83.5
Phantom Stock	<u>(1)</u>	05/31/2017		A	20		(2)	(3)	Common Stock	20	\$ 85.6
Phantom Stock	<u>(1)</u>	06/15/2017		A	20		(2)	(3)	Common Stock	20	\$ 86.9
Phantom Stock	<u>(1)</u>	06/30/2017		A	20		(2)	(3)	Common Stock	20	\$ 83.5

Edgar Filing: Anderson Melissa H. - Form 4

Phantom Stock	<u>(1)</u>	07/14/2017	A	20	(2)	(3)	Common Stock	20	\$ 83.8
Phantom Stock	(1)	07/31/2017	A	20	(2)	(3)	Common Stock	20	\$ 85.1
Phantom Stock	(1)	08/15/2017	A	20	(2)	(3)	Common Stock	20	\$ 86.8
Phantom Stock	(1)	08/31/2017	A	19	(2)	(3)	Common Stock	19	\$ 87.3
Phantom Stock	(1)	09/15/2017	A	19	(2)	(3)	Common Stock	19	\$ 87.3
Phantom Stock	(1)	09/29/2017	A	20	(2)	(3)	Common Stock	20	\$ 83.9
Phantom Stock	(1)	10/13/2017	A	20	(2)	(3)	Common Stock	20	\$ 86.7
Phantom Stock	<u>(1)</u>	10/31/2017	A	19	(2)	(3)	Common Stock	19	\$ 88.3

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Anderson Melissa H.							

550 S TRYON STREET CHARLOTTE, NC 28202

EVP, Administration & Chief HROff

Signatures

David S. Maltz, attorney-in-fact for Melissa H. Anderson

12/18/2017 Date

**Signature of Reporting Person

Reporting Owners 3

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Converts to Common Stock on a 1-for-1 basis.
- (2) Generally payable upon reporting person's termination of service.
- (3) Expiration date not applicable.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.