**Duke Energy CORP** Form 4 March 03, 2015

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL** OMB

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Check this box if no longer subject to Section 16. Form 4 or Form 5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

(Print or Type Responses)

1(b).

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Savoy Brian D Issuer Symbol Duke Energy CORP [DUK] (Check all applicable) (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) Director 10% Owner X\_ Officer (give title \_ Other (specify 550 S. TRYON STREET 02/27/2015 below) VP,Controller,Chief Acct Off (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting CHARLOTTE, NC 28202 Person

(State)

(Zin)

(C:+-)

| (City)          | (State)             | Tabl               | e I - Non-D              | I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned |           |              |                  |              |              |  |  |
|-----------------|---------------------|--------------------|--------------------------|--|-----------|--------------|------------------|--------------|--------------|--|--|
| 1.Title of      | 2. Transaction Date | 2A. Deemed         | 3.                       | 4. Securi  | ities A   | cquired      | 5. Amount of     | 6. Ownership | 7. Nature of |  |  |
| Security        | (Month/Day/Year)    | Execution Date, if | Transactio               | on(A) or D   | ispose    | d of (D)     | Securities       | Form: Direct | Indirect     |  |  |
| (Instr. 3)      |                     | any                | Code (Instr. 3, 4 and 5) |  |           | Beneficially | (D) or           | Beneficial   |              |  |  |
|                 |                     | (Month/Day/Year)   | (Instr. 8)               |  |           |              | Owned            | Indirect (I) | Ownership    |  |  |
|                 |                     |                    |                          |  |           |              | Following        | (Instr. 4)   | (Instr. 4)   |  |  |
|                 |                     |                    |                          |  | (A)       |              | Reported         |              |              |  |  |
|                 |                     |                    |                          |  | (A)       |              | Transaction(s)   |              |              |  |  |
|                 |                     |                    | Code V                   | Amount   | or<br>(D) | Price        | (Instr. 3 and 4) |              |              |  |  |
| Common<br>Stock | 02/27/2015          |                    | F                        | 158  | D         | \$<br>78.55  | 3,211            | D            |              |  |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transaction<br>Code<br>(Instr. 8) | 5.  onNumber of Derivative Securities Acquired (A) or Disposed of (D) |                     | ate<br>Year)       | 7. Title and<br>Amount of<br>Underlying<br>Securities<br>(Instr. 3 and 4) | of<br>ng<br>s | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Nu<br>Deriv<br>Secur<br>Bene<br>Owne<br>Follo<br>Repo<br>Trans<br>(Instr |
|---|---|---|---|---|---|---------------------|--------------------|---|---------------|---|---|
|   |   |   |   | Code V                                  | (Instr. 3, 4, and 5)  (A) (D)   | Date<br>Exercisable | Expiration<br>Date | or<br>Title Nu<br>of  | umber         |   |   |

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Savoy Brian D 550 S. TRYON STREET CHARLOTTE, NC 28202

VP, Controller, Chief Acct Off

# **Signatures**

/s/ David S. Maltz, attorney-in-fact for Brian D. Savoy

02/27/2015

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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