#### SANDLER HARVEY

Form 4

December 05, 2012

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number:

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if no longer

January 31, Expires: 2005

**OMB APPROVAL** 

subject to Section 16. Form 4 or Form 5

Check this box

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Estimated average burden hours per response...

obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

See Instruction 1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to

Issuer

Harvey Sandler Revocable Trust Symbol

CECO ENVIRONMENTAL CORP

(Check all applicable)

[CECE]

(Last)

(First) (Middle) 3. Date of Earliest Transaction

Director X 10% Owner Other (specify Officer (give title

(Month/Day/Year)

21170 N. E. 22ND COURT

11/30/2012

6. Individual or Joint/Group Filing(Check

4. If Amendment, Date Original Filed(Month/Day/Year)

Applicable Line)

Form filed by One Reporting Person \_X\_ Form filed by More than One Reporting

Person

below)

NORTH MIAMI BEACH, FL 33180 (State)

(Street)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)

(City)

2. Transaction Date 2A. Deemed (Month/Day/Year)

(Zip)

Execution Date, if

4. Securities Acquired Transaction(A) or Disposed of (D)

Amount

5. Amount of Securities Beneficially Owned

7. Nature Ownership of Indirect Form: Beneficial Direct (D) Ownership (Instr. 4)

(Month/Day/Year)

Code (Instr. 3, 4 and 5) (Instr. 8)

Code V

 $\mathbf{C}$ 

Following or Indirect Reported (I) Transaction(s) (Instr. 4)

(A) or (Instr. 3 and 4)

(D) Price

**CECO** 

ENVIRONMENTAL 11/30/2012 **CORP** 

200,000 A \$4 1,991,903

D

6.

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

### Edgar Filing: SANDLER HARVEY - Form 4

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	orDerivative		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amoun Underlying Securiti (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amor Numl Share
6% Convertible Debenture (right to buy)	\$ 4	11/30/2012		С		\$ 800,000	11/26/2009	11/26/2014	Common Stock	200

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
• 0	Director	10% Owner	Officer	Other		
Harvey Sandler Revocable Trust 21170 N. E. 22ND COURT NORTH MIAMI BEACH, FL 33180		X				
SANDLER HARVEY		X				

## **Signatures**

Harvey Sandler, sole trustee of Harvey Sandler Revocable
Trust

12/04/2012

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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