Edgar Filing: MAPLEBY HOLDINGS MERGER Corp - Form 4

MAPLEBY HOLDINGS MERGER Corp

Form 4

November 07, 2013

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB 3235-0287 Number:

January 31, Expires: 2005

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Check this box if no longer subject to Section 16.

Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person * OCONNOR DEBORAH A

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to Issuer

below)

Symbol

(Middle)

MAPLEBY HOLDINGS MERGER Corp [OMX]

(Check all applicable)

3. Date of Earliest Transaction

Director 10% Owner X_ Officer (give title Other (specify

(Month/Day/Year)

11/05/2013

Interim CFO, SVP and CAO

C/O OFFICEMAX **INCORPORATED, 263 SHUMAN**

(Street)

(First)

BLVD

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check

Applicable Line)

Filed(Month/Day/Year)

X Form filed by One Reporting Person Form filed by More than One Reporting

NAPERVILLE, IL 60563

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of Security (Instr. 3)

Stock

2. Transaction Date 2A. Deemed (Month/Day/Year)

Execution Date, if

(Month/Day/Year)

3. Transaction(A) or Disposed of Code (D) (Instr. 8) (Instr. 3, 4 and 5)

4. Securities Acquired 5. Amount of Securities Beneficially Owned

Following

Reported

0

(1)

6. Ownership 7. Nature of Form: Direct Indirect (D) or Beneficial Indirect (I) Ownership (Instr. 4) (Instr. 4)

(A)

62,201

Transaction(s) (Instr. 3 and 4)

Common 11/05/2013

Price Code V Amount (D)

D

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

D

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	Secu Acqu or D (D)	rities uired (A) isposed of r. 3, 4,	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (Right to Buy)	\$ 4.24	11/05/2013		D		28,312	<u>(2)</u>	02/12/2016	common stock	28,312
Employee Stock Option (Right to Buy)	\$ 12.82	11/05/2013		D		20,034	(3)	02/11/2017	common stock	20,034
Employee Stock Option (Right to Buy)	\$ 14.89	11/05/2013		D		19,343	<u>(4)</u>	02/09/2018	common stock	19,343
Employee Stock Option (Right to Buy)	\$ 4.92	11/05/2013		D		25,637	<u>(5)</u>	02/16/2019	common stock	25,637

Reporting Owners

Reporting Owner Name / Address	Kelationships						
1	Director	10% Owner	Officer	Other			
OCONNOR DEBORAH A C/O OFFICEMAX INCORPORATED 263 SHUMAN BLVD NAPERVILLE, IL 60563			Interim CFO, SVP and CAO				
Signatures							
/s/ Susan Wagner-Fleming, by POA from I O'Connor	11/07/2013						
**Signature of Reporting Person		Dat	e				

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Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
 - Disposed of pursuant to a merger agreement between OfficeMax Incorporated (the "Company"), Office Depot, Inc. ("ODP") and their respective subsidiaries, in exchange for 93,555 shares of ODP common stock and 73,766 ODP restricted stock units, on the effective
- (1) date of the merger (such merger, the "Merger") (in accordance with the exchange ratio set forth in the merger agreement pursuant to which each share of the Company's common stock was exchanged for 2.69 shares of ODP common stock). The closing price of ODP common stock on November 5, 2013 (the effective date of the merger) was \$5.65 per share.
- This stock option, which provided for vesting in three equal annual installments, on each of the first three anniversaries of its 2/12/2009 grant date, was assumed by ODP in connection with the Merger and replaced with an option to purchase 76,159 shares of ODP common stock for \$1.58 per share.
- This stock option, which provided for vesting in three equal annual installments, on each of the first three anniversaries of its 2/11/2010 grant date, was assumed by ODP in connection with the Merger and replaced with an option to purchase 53,891 shares of ODP common stock for \$4.77 per share.
- This stock option, which provided for vesting in three equal annual installments, on each of the first three anniversaries of its 2/9/2011 grant date, was assumed by ODP in connection with the Merger and replaced with an option to purchase 52,032 shares of ODP common stock for \$5.54 per share.
- This stock option, which provided for vesting in three equal annual installments, on each of the first three anniversaries of its 2/16/2012 grant date, was assumed by ODP in connection with the Merger and replaced with an option to purchase 68,963 shares of ODP common stock for \$1.83 per share.

Remarks:

In connection with the Merger (defined in the footnotes above), Mapleby Holdings Merger Corporation has become the success Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.