Molinaroli Alex A Form 3/A November 18, 2004

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

**SECURITIES** 

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \* 2. Date of Event 3. Issuer Name and Ticker or Trading Symbol Requiring Statement Molinaroli Alex A JOHNSON CONTROLS INC [JCI] (Month/Day/Year) (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original 05/26/2004 Person(s) to Issuer Filed(Month/Day/Year) 5757 N. GREEN BAY 06/07/2004 (Check all applicable) AVENUE, Â P.O. BOX 591 (Street) 6. Individual or Joint/Group Director 10% Owner Filing(Check Applicable Line) X Officer Other \_X\_ Form filed by One Reporting (give title below) (specify below) Person MILWAUKEE, WIÂ 53201-0591 Vice President Form filed by More than One

Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security 2. Amount of Securities 3. 4. Nature of Indirect Beneficial (Instr. 4) Beneficially Owned Ownership Ownership (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) Common Stock  $10,000 \frac{(1)}{}$ D Â Common Stock  $12,529.59 \stackrel{(2)}{=}$ Ι By 401(k) Plan Trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative<br>Security<br>(Instr. 4) | 2. Date Exercisable and Expiration Date (Month/Day/Year) | 3. Title and Amount of Securities Underlying Derivative Security (Instr. 4) | 4.<br>Conversion<br>or Exercise<br>Price of | 5.<br>Ownership<br>Form of<br>Derivative | 6. Nature of<br>Indirect Beneficial<br>Ownership<br>(Instr. 5) |
|--|--|---|---|--|--|
|  |  |   | Derivative                                  | Security:                                |  |

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|  | Date Exercisable | Expiration<br>Date | Title           | Amount or<br>Number of<br>Shares | Security      | Direct (D)<br>or Indirect<br>(I)<br>(Instr. 5) |   |
|--|------------------|--------------------|-----------------|----------------------------------|---------------|--|---|
| Phantom Stock Units -<br>EICP Plan             | (3)              | (3)                | Common<br>Stock | 2,141.746                        | \$ <u>(4)</u> | D  | Â |
| Phantom Stock Units -<br>Restricted Stock Plan | (5)              | (5)                | Common<br>Stock | 38.039                           | \$ <u>(4)</u> | D  | Â |
| Stock Option (6)                               | 11/14/2003(7)    | 11/14/2011         | Common<br>Stock | 7,600                            | \$ 40.115     | D  | Â |
| Stock Option                                   | 11/20/2004(7)    | 11/20/2012         | Common<br>Stock | 9,120                            | \$ 40.2975    | D  | Â |
| Stock Option                                   | 11/19/2005(7)    | 11/19/2013         | Common<br>Stock | 9,350                            | \$ 52.55      | D  | Â |

# **Reporting Owners**

| Reporting Owner Name / Address  | Relationships |           |                |       |  |
|---|---------------|-----------|----------------|-------|--|
| <b>-</b>  | Director      | 10% Owner | Officer        | Other |  |
| Molinaroli Alex A<br>5757 N. GREEN BAY AVENUE<br>P.O. BOX 591<br>MILWAUKEE, WI 53201-0591 | Â             | Â         | Vice President | Â     |  |

## **Signatures**

Arlene D. Gumm, Attorney-in-Fact for Alex A. Molinaroli

11/17/2004

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents a grant of restricted stock. Fifty percent vests 1/2/2006 and the balance, 1/2/2008.
- The number of underlying securities is based on the stock fund balance on 5/27/2004. The actual number of shares issuable upon the distribution date is not determinable since the stock fund is a unitized account consisting of 96% company stock and 4% money market fund. The stock account balance reflected in this report is based on a 5/27/2004 stock fund price of \$54.06 per share.
- The phantom stock units were accrued under the Johnson Controls Executive Incentive Compensation Plan and are to be settled 100% in cash upon the reporting person's retirement.
- (4) The phantom stock units convert to the common stock's cash value on a one-for-one basis.
- The restricted stock units were accrued under the Johnson Controls Restricted Stock Plan. The units represent the reinvestment of dividends and are to be settled 100% in cash upon the vesting of the reporting person's Restricted Stock Grant.
- One stock option was included on the original Form 3 filing that had been exercised by the reporting person prior to his beginning a Section 16 officer. This option has been deleted from the amended Form 3.
- (7) Fifty percent of the options vest after two years and the remaining 50% vests after three years.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure.

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