Ruths Hospitality Group, Inc.

Form 4

March 13, 2017

### FORM 4

Check this box

if no longer

subject to

Section 16.

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Form 4 or Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

(Print or Type Responses)

1(b).

1. Name and Address of Reporting Person \* ODONNELL MICHAEL P

Symbol

Ruths Hospitality Group, Inc.

3. Date of Earliest Transaction

2. Issuer Name and Ticker or Trading

[RUTH]

Execution Date, if

(Last) (First) (Middle)

(Month/Day/Year)

03/11/2017

(Month/Day/Year) 03/09/2017

C/O RUTH'S HOSPITALITY GROUP, INC., 1030 W. CANTON **AVE. STE. 100** 

(Street)

WINTER PARK, FL 32789

Security

Common

Stock

4. If Amendment, Date Original

Filed(Month/Day/Year)

\_X\_\_ Director 10% Owner X\_ Officer (give title Other (specify below)

(Check all applicable)

5. Relationship of Reporting Person(s) to

Issuer

Securities

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

OMB

Number:

Expires:

response...

Estimated average

burden hours per

Chairman & CEO

6. Individual or Joint/Group Filing(Check

Ownership

Indirect

Beneficial

Ownership

(Instr. 4)

Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

(City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired 5. Amount of 7. Nature of

Transaction(A) or Disposed of (D)

(Instr. 3) Code (Instr. 3, 4 and 5) Beneficially Form: Direct (Month/Day/Year) (Instr. 8) Owned (D) or Following Indirect (I) Reported (Instr. 4) (A) Transaction(s) or (Instr. 3 and 4) Code V Amount (D) Price Common \$ 18,217 F 03/09/2017 D D 1,150,044 Stock (1) 18.35 6,802 Common D 03/11/2017 F 1,143,242 D (1) 18.75 Stock Common 03/11/2017 \$ 0 (2) 45,873 Α D Α 1,189,115 Stock

> $$0^{(3)}$  1,225,115 A 36,000 Α

> > 1,800 I

D

Common By Wife's Stock IRA

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transaction Code (Instr. 8)	of Derivative Securities Acquired (A) or			7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo
				Disposed						Trans
				of (D)						(Instr
				(Instr. 3,						
				4, and 5)						
								Amount		
					Date Exercisable	Expiration Date	Title	or Number of		
			Code V	(A) (D)				Shares		

#### **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
ODONNELL MICHAEL P C/O RUTH'S HOSPITALITY GROUP, INC. 1030 W. CANTON AVE. STE. 100 WINTER PARK, FL 32789	X		Chairman & CEO				

### **Signatures**

/s/ Alice G. Givens, under Power of Attorney 03/13/2017

\*\*Signature of Reporting Person Date

#### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The reported disposition represents the withholding of shares to cover tax obligations arising from the vesting of restricted stock.
- Consists of shares of restricted stock granted pursuant to the company's Amended and Restated 2005 Long-Term Equity Incentive Plan (2) after the company's compensation committee determined that certain performance goals for 2016 had been met. The shares will vest on March 11, 2019, the second anniversary of the date of grant.

Reporting Owners 2

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(3) Consists of shares of restricted stock granted pursuant to the company's Amended and Restated 2005 Long-Term Equity Incentive Plan, which vest pro rata on an annual basis over the three-year period following March 11, 2017, the date of grant

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