

MASAREK ALAN
Form 4
March 19, 2019

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
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(Print or Type Responses)

1. Name and Address of Reporting Person *
MASAREK ALAN

(Last) (First) (Middle)

C/O VONAGE HOLDINGS
CORP., 23 MAIN STREET

(Street)

HOLMDEL, NJ 07733

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol

VONAGE HOLDINGS CORP [VG]

3. Date of Earliest Transaction
(Month/Day/Year)

03/15/2019

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner
☒ Officer (give title below) ☐ Other (specify below)

Chief Executive Officer

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership Indirect Beneficial Ownership (Instr. 4)
Common Stock <u>(1)</u>	03/15/2019		M	75,117	A \$ 0	726,015	D
Common Stock	03/15/2019		F	36,320	D \$ 10.21	689,695	D
Common Stock <u>(2)</u>	03/15/2019		M	139,573	A \$ 0	829,268	D
Common Stock	03/15/2019		F	67,484	D \$ 10.21	761,784	D
Common Stock <u>(3)</u>	03/15/2019		M	167,323	A \$ 0	929,107	D

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Common Stock	03/15/2019	F	80,901	D	\$ 10.21	848,206	D
Common Stock	03/15/2019	A	239,760	A	\$ 0	1,087,966	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)
				Code	V (A) (D)	Date Exercisable Expiration Date	Title Amount or Number of Shares
Restricted Stock Unit	\$ 0	03/15/2019		M	75,117	(4) (4)	Common Stock 75,117
Restricted Stock Unit	\$ 0	03/15/2019		M	139,573	(5) (5)	Common Stock 139,573
Restricted Stock Unit	\$ 0	03/15/2019		M	167,323	(6) (6)	Common Stock 167,323

Reporting Owners

Reporting Owner Name / Address	Relationships
	Director 10% Owner Officer Other
MASAREK ALAN C/O VONAGE HOLDINGS CORP. 23 MAIN STREET HOLMDEL, NJ 07733	X Chief Executive Officer

Signatures

/s/ Randy K. Rutherford, Attorney-in-fact for Alan Masarek

03/19/2019

**Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Represents vesting of the first installment of the restricted stock unit granted on March 15, 2018.
- (2) Represents vesting of the second installment of the restricted stock unit granted on March 15, 2017.
- (3) Represents vesting of the final installment of the restricted stock unit granted on March 15, 2016.
- (4) The restricted stock unit vests in equal annual installments on the first through third anniversaries of March 15, 2018.
- (5) The restricted stock unit vests in equal annual installments on the first through third anniversaries of March 15, 2017.
- (6) The restricted stock unit vests in equal annual installments on the first through third anniversaries of March 15, 2016.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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