

COLUMBUS MCKINNON CORP

Form 4

June 09, 2005

**FORM 4****UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

OMB  
Number: 3235-0287  
Expires: January 31,  
2005  
Estimated average  
burden hours per  
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
**HARVEY TIMOTHY R**

2. Issuer Name **and** Ticker or Trading  
Symbol  
**COLUMBUS MCKINNON CORP**  
**[CMCO]**

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

(Last) (First) (Middle)  
**140 JOHN JAMES AUDUBON**  
**PARKWAY**

3. Date of Earliest Transaction  
(Month/Day/Year)  
**06/09/2005**

\_\_\_\_ Director \_\_\_\_ 10% Owner  
☒ Officer (give title below) \_\_\_\_ Other (specify below)  
Secretary

(Street)  
**AMHERST, NY 14228-1197**

4. If Amendment, Date Original  
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
☒ Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting  
Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock				(A) or (D)	907.4588 <sup>(1)</sup>	D	

Common  
Stock

1,080,389 I

Additional  
shares held by  
ESOP;  
reporting  
person is 1 of  
4 trustees;  
DISCLAIMS  
beneficial  
ownership.

Edgar Filing: COLUMBUS MCKINNON CORP - Form 4

Common Stock	06/09/2005	M	6,250	A	\$ 5.46	6,250	D
Common Stock	06/09/2005	S	200	D	\$ 10.83	6,050	D
Common Stock	06/09/2005	S	100	D	\$ 10.7	5,950	D
Common Stock	06/09/2005	S	200	D	\$ 10.72	5,750	D
Common Stock	06/09/2005	S	100	D	\$ 10.71	5,650	D
Common Stock	06/09/2005	S	100	D	\$ 10.66	5,550	D
Common Stock	06/09/2005	S	100	D	\$ 10.62	5,450	D
Common Stock	06/09/2005	S	100	D	\$ 10.63	5,350	D
Common Stock	06/09/2005	S	100	D	\$ 10.73	5,250	D
Common Stock	06/09/2005	S	200	D	\$ 10.64	5,050	D
Common Stock	06/09/2005	S	500	D	\$ 10.6	4,550	D
Common Stock	06/09/2005	S	200	D	\$ 10.61	4,350	D
Common Stock	06/09/2005	S	600	D	\$ 10.57	3,750	D
Common Stock	06/09/2005	S	100	D	\$ 10.58	3,650	D
Common Stock	06/09/2005	S	300	D	\$ 10.56	3,350	D
Common Stock	06/09/2005	S	100	D	\$ 10.52	3,250	D
Common Stock	06/09/2005	S	100	D	\$ 10.5	3,150	D
Common Stock	06/09/2005	S	200	D	\$ 10.51	2,950	D
Common Stock	06/09/2005	S	200	D	\$ 10.47	2,750	D
Common Stock	06/09/2005	S	100	D	\$ 10.46	2,650	D
	06/09/2005	S	100	D		2,550	D

Edgar Filing: COLUMBUS MCKINNON CORP - Form 4

Common Stock					\$	10.48	
Common Stock	06/09/2005	S	2,550	D	\$	10.45	0 D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Pr Deri Secu (Inst
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Incentive Stock Options (Right to Buy)	\$ 20.6					04/01/2003	03/31/2009	Common Stock	1,350 (2)	
Incentive Stock Options (Right to Buy)	\$ 10					08/20/2005	08/19/2005	Common Stock	1,000 (3)	
Incentive Stock Options (Right to Buy)	\$ 5.46					05/17/2006	05/16/2014	Common Stock	18,750 (4)	

## Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

HARVEY TIMOTHY R  
140 JOHN JAMES AUDUBON PARKWAY  
AMHERST, NY 14228-1197

Secretary

## Signatures

Timothy R.  
Harvey

06/09/2005

\*\*Signature of  
Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Reports shares allocated to account of reporting person under the Columbus McKinnon Corporation Employee Stock Ownership Plan, as amended (the "ESOP").
- (2) All exercisable, subject to IRS limitations.
- (3) Originally a 4,000 share stock option, reporting person exercised 3,000 shares on 2/4/2005. The remaining 1,000 shares are exercisable on 8/20/05, subject to IRS limitations.
- (4) Originally a 25,000 share stock option, reporting person exercised 6,250 options on 6/9/05. The remaining 18,750 options are exercisable 33.33% per year, beginning 5/17/06 for the next three years, subject to IRS limitations.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.  
Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.