## Edgar Filing: OPPENHEIMER HOLDINGS INC - Form 4

| OPPENHE<br>Form 4<br>March 02, 2  | IMER HOLDING   | S INC   | -   |   |   |   |  |   |                    |   |  |
|---|--|---|---|---|---|---|--|---|--------------------|---|--|
| FORM  | ЛЛ   |   |   |   |   |   |  |   | PPROVA             | L   |  |
|   | UNITED   | Washington, D.C. 20549  |   |   |   |   |  |   | 3235-              | 0287  |  |
| Check t<br>if no lor<br>subject<br>Section<br>Form 4<br>Form 5<br>obligati<br>may cor<br><i>See</i> Inst<br>1(b). | nger<br>to<br>16.<br>or<br>Filed pur<br>ons<br>ntinue. | <b>STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF</b><br><b>SECURITIES</b><br>Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,<br>Section 17(a) of the Public Utility Holding Company Act of 1935 or Section<br>30(h) of the Investment Company Act of 1940 |   |   |   |   |  |   |                    | Expires: January 31,<br>2005<br>Estimated average<br>burden hours per<br>response 0.5 |  |
| (Print or Type  | Responses)   |   |   |   |   |   |  |   |                    |   |  |
| 1. Name and Address of Reporting Person <u>*</u><br>BITOUE JOHN L   |  |   | 2. Issuer Name <b>and</b> Ticker or Trading<br>Symbol<br>OPPENHEIMER HOLDINGS INC<br>[OPY]                |   |   | 5. Relationship of Reporting Person(s) to<br>Issuer<br>(Check all applicable) |  |   |                    |   |  |
| (Last) (First) (Middle)<br>1949 ROYAL PALM WAY  |  |   | <ul><li>3. Date of Earliest Transaction</li><li>(Month/Day/Year)</li><li>02/26/2007</li></ul>             |   |   | X_ Director10% Owner<br>Officer (give titleOther (specify<br>below) below)    |  |   |                    |   |  |
|   | 4. If Amendment, Date Original Filed(Month/Day/Year)   |   |   | <ul> <li>6. Individual or Joint/Group Filing(Check</li> <li>Applicable Line)</li> <li>_X_ Form filed by One Reporting Person</li> <li> Form filed by More than One Reporting</li> </ul> |   |   |  |   |                    |   |  |
| BOCA RA   | TON, FL 33432  |   |   |   |   |   | Person   |   | eporting           |   |  |
| (City)  | (State)  | (Zip)   | Tab   | le I - Non-I  | Derivative                              | Securities A  | cquired, Disposed  | of, or Beneficia  | lly Owned          | đ   |  |
| 1.Title of<br>Security<br>(Instr. 3)  | 2. Transaction Date<br>(Month/Day/Year)                |   | Date, if TransactionAcquired (A)<br>Code Disposed of (<br>ay/Year) (Instr. 8) (Instr. 3, 4 an<br>(A<br>or |   | (A) or<br>of (D)<br>and 5)<br>(A)<br>or | Securities<br>Beneficially<br>Owned   | 6. Ownership<br>Form: Direct<br>(D) or Indirect<br>(I)<br>(Instr. 4)                 | 7. Nature<br>Indirect<br>Beneficia<br>Ownersh<br>(Instr. 4) | ıl                 |   |  |
| Reminder: Re  | port on a separate line                                | for each cl   | ass of sec  | urities benet   | ficially own                            | ed directly (   | or indirectly  |   |                    |   |  |
| Kenninger, Ke   | port on a separate find                                |   |   |   | Person<br>inform<br>require             | ns who restation cont<br>ed to respo<br>ys a curre                            | spond to the colle<br>tained in this form<br>ond unless the for<br>ntly valid OMB co | i are not<br>rm   | SEC 1474<br>(9-02) |   |  |

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2.          | 3. Transaction Date | 3A. Deemed         | 4.        | 5. Number of | 6. Date Exercisable and | 7. Title and Amount o |
|-------------|-------------|---------------------|--------------------|-----------|--------------|-------------------------|-----------------------|
| Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if | Transacti | orDerivative | Expiration Date         | Underlying Securities |
| Security    | or Exercise |                     | any                | Code      | Securities   | (Month/Day/Year)        | (Instr. 3 and 4)      |

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| (Instr. 3)                      | Price of<br>Derivative<br>Security |            | (Month/Day/Year) | (Instr. | , | Acquired (A)<br>or Disposed of<br>(D)<br>(Instr. 3, 4,<br>and 5) |     |                  |                    |                                 |                              |
|---------------------------------|------------------------------------|------------|------------------|---------|---|--|-----|------------------|--------------------|---------------------------------|------------------------------|
|                                 |                                    |            |                  | Code    | V | (A)  | (D) | Date Exercisable | Expiration<br>Date | Title                           | Amou<br>or<br>Numb<br>of Sha |
| Options<br>on Class<br>A Shares | \$ 35.03                           | 02/26/2007 |                  | A       |   | 25,000   |     | 02/26/2009(1)    | 02/25/2012         | Class A<br>non-voting<br>shares | 25,0                         |

## **Reporting Owners**

| <b>Reporting Owner Name / Address</b> | Relationships |           |         |       |  |  |  |  |
|---------------------------------------|---------------|-----------|---------|-------|--|--|--|--|
| 1                                     | Director      | 10% Owner | Officer | Other |  |  |  |  |
| BITOUE JOHN L                         |               |           |         |       |  |  |  |  |
| 1949 ROYAL PALM WAY                   | Х             |           |         |       |  |  |  |  |
| BOCA RATON, FL 33432                  |               |           |         |       |  |  |  |  |
| Signatures                            |               |           |         |       |  |  |  |  |

J.L. Bitove 03/02/2007 \*\*Signature of Date Reporting Person

## **Explanation of Responses:**

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Options vest as follows: 25% on 2/26/2009; 25% on 2/26/2010; 25% on 2/26/2011 and 25% on 8/26/2011

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.