

LIBBEY INC  
Form 4  
November 07, 2007

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

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**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
**BOERGER KENNETH A**

(Last) (First) (Middle)

LIBBEY INC, PO BOX 10060

(Street)

TOLEDO, OH 43699-0060

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
**LIBBEY INC [LBY]**

3. Date of Earliest Transaction (Month/Day/Year)  
**11/06/2007**

4. If Amendment, Date Original Filed (Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director  10% Owner  
 Officer (give title below)  Other (specify below)  
**Vice President & Treasurer**

6. Individual or Joint/Group Filing (Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	11/06/2007	11/06/2007	P	(A) Amount 1,048.7805 (1)	\$ 16.4	A	by 401(k) plan
Common Stock					6,487.6428	D	
Common Stock					57.0024	I	by Son

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

number.

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
*(e.g., puts, calls, warrants, options, convertible securities)*

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)		
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Non-Qualified Stock Option (right to buy)	\$ 11.79					12/08/2006 <sup>(2)</sup>	12/08/2015	Common Stock	5,28
Non-Qualified Stock Option (right to buy)	\$ 12.8					<sup>(3)</sup>	02/17/2017	Common Stock	5,04
Non-Qualified Stock Option (right to buy)	\$ 20.39					12/10/2005 <sup>(4)</sup>	12/11/2014	Common Stock	5,28
Non-Qualified Stock Option (right to buy)	\$ 23.93					11/20/2003 <sup>(4)</sup>	11/21/2012	Common Stock	7,25
Non-Qualified Stock Option (right to buy)	\$ 28.53					12/15/2004 <sup>(4)</sup>	12/16/2013	Common Stock	4,78
Non-Qualified Stock Option (right to buy)	\$ 30.55					11/13/2002 <sup>(2)</sup>	11/14/2011	Common Stock	7,25
Non-Qualified Stock Option (right to buy)	\$ 31.375					08/24/2000 <sup>(2)</sup>	08/25/2009	Common Stock	3,50
Non-Qualified Stock Option (right to buy)	\$ 32.3125					09/08/2001	09/09/2010	Common Stock	4,25
Non-Qualified Stock Option (right to buy)	\$ 38.4375					06/05/1999 <sup>(2)</sup>	06/06/2008	Common Stock	3,00

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
BOERGER KENNETH A LIBBEY INC PO BOX 10060 TOLEDO, OH 43699-0060			Vice President & Treasurer	

## Signatures

By: Wendy Daudelin, Attorney in fact For: Kenneth A.  
Boerger

11/07/2007

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) 1,048.7805 shares acquired through an Intra-Plan transfer into company stock in the 401k plan.
- (2) The options become exercisable for 40% of the shares on the first anniversary and 20% of the shares on the second, third and fourth anniversary dates.
- (3) The options become exercisable for 33% of the shares on each of the first, second, and third anniversary dates.
- (4) On December 6, 2005 the Board of Directors approved a motion to accelerate the vesting of all outstanding and unvested stock options that were awarded from 2002 - 2004.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.