#### Edgar Filing: BOERGER KENNETH A - Form 4

#### **BOERGER KENNETH A**

Form 4

August 25, 2006

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

3235-0287

0.5

January 31, Expires: 2005

**OMB APPROVAL** 

Estimated average

burden hours per

response...

5. Relationship of Reporting Person(s) to

Issuer

if no longer subject to Section 16. Form 4 or Form 5

obligations

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

2. Issuer Name and Ticker or Trading

may continue. 30(h) of the Investment Company Act of 1940 See Instruction

Symbol

1(b).

(Print or Type Responses)

**BOERGER KENNETH A** 

1. Name and Address of Reporting Person \*

		LII	LIBBEY INC [LBY]			(Check all applicable)					
(Last) (First) (Middle) LIBBEY INC, PO BOX 10060			3. Date of Earliest Transaction (Month/Day/Year) 08/24/2006				Director 10% Owner X Officer (give title Other (specify below)				
TOLEDO,	(Street) OH 43699-0060		4. If Amendment, Date Original Filed(Month/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person				
(City)	(State)	(Zip)	Table I - Non-l	Derivative	Secu	rities Acq	uired, Disposed of	, or Beneficial	y Owned		
1.Title of Security (Instr. 3)	2. Transaction Dat (Month/Day/Year)		Code	(Instr. 3,	(A)	d of (D) 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Common Stock	08/24/2006	08/24/2006	A	1.934	A	\$ 8.2628 (1)	641.2986	D			
Common Stock							6,922.6216	I	by 401(k) plan		
Common Stock							56.4128	I	by Son		
Pamindary Papart on a caparata line for each class of sequrities handicially ayoned directly or indirectly											

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form

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# displays a currently valid OMB control number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	e 3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. ionNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	s I		7. Title and Amount Underlying Securitie (Instr. 3 and 4)	
				Code V	' (A) (D)	Date Exercisable	Expiration Date	Title	Amou or Numb of Shares
Non-Qualified Stock Option (right to buy)	\$ 11.79					12/08/2006(2)	12/08/2015	Common Stock	5,28
Non-Qualified Stock Option (right to buy)	\$ 20.39					12/10/2005(3)	12/11/2014	Common Stock	5,28
Non-Qualified Stock Option (right to buy)	\$ 23					12/22/1996 <u>(2)</u>	12/23/2005	Common Stock	2,00
Non-Qualified Stock Option (right to buy)	\$ 23.93					11/20/2003(3)	11/21/2012	Common Stock	7,25
Non-Qualified Stock Option (right to buy)	\$ 26.875					12/02/1997 <u>(2)</u>	12/03/2006	Common Stock	2,20
Non-Qualified Stock Option (right to buy)	\$ 28.53					12/15/2004(3)	12/16/2013	Common Stock	4,78
Non-Qualified Stock Option (right to buy)	\$ 30.55					11/13/2002(2)	11/14/2011	Common Stock	7,25
Non-Qualified Stock Option (right to buy)	\$ 31.375					08/24/2000(2)	08/25/2009	Common Stock	3,50
Non-Qualified Stock Option (right to buy)	\$ 32.3125					09/08/2001	09/09/2010	Common Stock	4,25

Non-Qualified

Stock Option \$ 38.4375

(right to buy)

06/05/1999(2) 06/06/2008

Common Stock

## **Reporting Owners**

Relationships Reporting Owner Name / Address

> Other Director 10% Owner Officer

**BOERGER KENNETH A** Vice LIBBEY INC President & PO BOX 10060 Treasurer TOLEDO, OH 43699-0060

## **Signatures**

By: Wendy Daudelin, Attorney in fact For: Kenneth A. 08/25/2006 Boerger

> \*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Libbey Inc. paid a dividend to shareholders on June 6, 2006. The shares were acquired under the Libbey Inc. Employee Stock Purchase **(1)** Plan.
- The options become exercisable for 40% of the shares on the first anniversary and 20% of the shares on the second, third and fourth **(2)** anniversary dates.
- On December 6, 2005 the Board of Directors approved a motion to accelerate the vesting of all outstanding and unvested stock options that were awarded from 2002 - 2004.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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