MILLER JOHN M

Form 4

November 08, 2005

FORM 4
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#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB

Check this box if no longer subject to

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

3235-0287 Number: January 31, Expires:

2005

**OMB APPROVAL** 

Section 16. Form 4 or Form 5

**SECURITIES** 

Estimated average burden hours per response... 0.5

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* MILLER JOHN M

(Street)

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to Issuer

Symbol

ROCKWELL AUTOMATION INC

(Check all applicable)

[ROK]

(Last) (First) (Middle)

3. Date of Earliest Transaction

Director 10% Owner X\_ Officer (give title Other (specify

(Month/Day/Year) 11/07/2005

VP and Chief IP Counsel

777 EAST WISCONSIN

**AVENUE, SUITE 1400** 

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check Applicable Line)

Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

below)

MILWAUKEE, WI 53202

(City) (State) (Zip) 1.Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 3, 4 and 5) (Instr. 8)

5. Amount of Securities Beneficially (D) or Owned Following

6. Ownership 7. Nature of Form: Direct Indirect Beneficial Indirect (I) Ownership (Instr. 4) (Instr. 4)

By Savings

(A) or

Reported Transaction(s) (Instr. 3 and 4)

Code V Amount (D) Price

Common 11/07/2005

D

Common

Stock

(Instr. 3)

600 A A (1)  $1,600^{(2)}$ 

 $2,348.5614 \frac{(3)}{}$  I Stock Plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(Month/Day/Year)

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

## Edgar Filing: MILLER JOHN M - Form 4

# $\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (\emph{e.g.}, puts, calls, warrants, options, convertible securities) \\ \end{tabular}$

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transact Code (Instr. 8)	tio	5. Number of Deriv Securities Acquires (A) or Dispose (D) (Instr. 3 and 5)	vative es ed	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amoun or Number of Share
Employee Stock Option (right to buy)	\$ 20.349							10/04/2000	10/04/2009	Common Stock	3,750
Employee Stock Option (right to buy)	\$ 16.05							07/31/2002	07/31/2011	Common Stock	5,000
Employee Stock Option (right to buy)	\$ 13.4							10/01/2002	10/01/2011	Common Stock	8,000
Employee Stock Option (right to buy)	\$ 15.5							10/07/2003	10/07/2012	Common Stock	8,000
Employee Stock Option (right to buy)	\$ 27.75							10/06/2004(4)	10/06/2013	Common Stock	9,000
Employee Stock Option (right to buy)	\$ 43.9							11/08/2005(4)	11/08/2014	Common Stock	11,00
Employee Stock Option	\$ 56.36	11/07/2005		A		7,300		11/07/2006(4)	11/07/2015	Common Stock	7,300

(right to buy)

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

MILLER JOHN M 777 EAST WISCONSIN AVENUE SUITE 1400 MILWAUKEE, WI 53202

VP and Chief IP Counsel

### **Signatures**

K. A. Balistreri, Attorney-in-Fact for John M. Miller

11/08/2005

\*\*Signature of Reporting Person

Date

#### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Restricted stock award under the Company's 2000 Long-Term Incentives Plan, as amended.
- (2) 1,600 shares are held by the Company to implement restrictions on transfer unless and until certain conditions are met.
- (3) Includes shares represented by Company stock fund units acquired under the Company's Savings Plan since the date of the reporting person's last ownership report, based on information furnished by the Plan Administrator as of 10/31/2005.
- (4) The option vests in three substantially equal annual installments beginning on the date exercisable.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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