Edgar Filing: Hockfield Susan - Form 4

Hockfield Susan Form 4											
October 05, 2009											
FORM 4									PPROVAL		
Washington, D.C. 20549									3235-0287		
Check this box if no longer CTLA TEDATENTE OF CHANGES IN DENERGIAL OWNERSHIP OF									January 31, 2005		
subject to Section 16. Form 4 or							WNERSHIP OF	Estimated a burden hou response	average Irs per		
Form 5 obligations may continue. <i>See</i> Instruction 1(b).		a) of the I	Public U	Itility Hol	lding Cor		nge Act of 1934, of 1935 or Section 940				
(Print or Type Respons	ses)										
1. Name and Address of Reporting Person <u>*</u> Hockfield Susan			Symbol	er Name an RAL ELE		-	5. Relationship of Reporting Person(s) to Issuer				
(Last) (First) (Middle)				of Earliest T		00[02]	(Check all applicable)				
GENERAL ELECTRIC COMPANY, 3135 EASTON TURNPIKE			(Month/Day/Year) 10/01/2009				X Director Officer (giv below)		b Owner er (specify		
(S	4. If Amendment, Date Original Filed(Month/Day/Year)			6. Individual or Joint/Group Filing(Check Applicable Line)							
FAIRFIELD, CT					_X_ Form filed by One Reporting Person Form filed by More than One Reporting Person						
(City) (S	State)	(Zip)	Tab	ole I - Non-	Derivative	Securities A	Acquired, Disposed	of, or Beneficial	lly Owned		
	isaction Date h/Day/Year)	Execution any	Date, if	3. Transactic Code (Instr. 8)	4. Securit onAcquired Disposed (Instr. 3, 4	(A) or of (D)	Beneficially Owned Following Reported Transaction(s)	1	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
				Code V	Amount	(D) Price	(Instr. 3 and 4)				
Reminder: Report on a	a separate line	e for each cla	ass of sec	urities bene	ficially ow	ned directly	or indirectly.				
·	·				Perso inform requir	ns who res nation cont red to resp nys a curre	spond to the colle tained in this form ond unless the fo ntly valid OMB co	n are not rm	EC 1474 (9-02)		
	Tabl					posed of, or convertible	Beneficially Owner securities)	1			

1. Title of	2.	3. Transaction Date	3A. Deemed	4. 5. Number	6. Date Exercisable and	7. Title and Amount of	8. Pric
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transaction of Derivative	Expiration Date	Underlying Securities	Deriva

1

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Security (Instr. 3)	or Exercise Price of Derivative Security		any (Month/Day/Year)	Code (Instr.	(Instr. 8)		es d d of , 4,	(Month/Day/Year)		(Instr. 3 and 4)		Securi (Instr.
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Deferred Fee Phantom Stock Units (1)	<u>(2)</u>	10/01/2009		A		2,385		(3)	<u>(3)</u>	Common Stock	2,385	\$ 15

Reporting Owners

	Relationships						
Reporting Owner Name / Address	Director	10% Owner	Officer	Other			
Hockfield Susan GENERAL ELECTRIC COMPANY 3135 EASTON TURNPIKE FAIRFIELD, CT 06828	Х						
Signatures							
Eliza Fraser on behalf of Susan Hockfield	1	0/05/200)9				

<u>**Signature of Reporting Person</u>

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Acquired at a price of \$15.72 per unit pursuant to the terms of the 2003 Non-Employee Director Compensation Plan. Payable beginning one year after termination of service as a director.
- (2) Each unit of phantom stock is the economic equivalent of one share of common stock.
- (3) Payable beginning one year after termination of service as a director.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.