OWEN JOSEPH J

Form 4 June 02, 2011

## FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** Number:

3235-0287

Expires:

January 31, 2005

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subject to Section 16. Form 4 or Form 5 obligations may continue.

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

See Instruction 1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading OWEN JOSEPH J Issuer Symbol COLUMBUS MCKINNON CORP (Check all applicable) [CMCO] (Last) (First) (Middle) 3. Date of Earliest Transaction Director 10% Owner \_X\_\_ Officer (give title \_ Other (specify (Month/Day/Year) below) below) 140 JOHN JAMES AUDUBON 05/31/2011 VP - Supply Chain Mgmt **PARKWAY** (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

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(City)	(State)	(Zip) Table	e I - Non-D	<b>D</b> erivative	Secui	rities Acqui	ired, Disposed of	or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactio Code (Instr. 8)	4. Securi on(A) or Di (Instr. 3,	spose	d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock			Code v	rinount	( <b>D</b> )	THEC	10,460 (1)	D	
Common Stock	05/31/2011		M	2,392	A	\$ 13.27	12,852	D	
Common Stock	05/31/2011		M	879	A	\$ 18.24	13,731	D	
Common Stock	05/31/2011		S	1,192	D	\$ 19.581	12,539	D	
Common Stock	05/31/2011		S	2,079	D	\$ 19.583	10,460	D	

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Common Stock 2,120.213 (2) D

Common Stock 1,327 I By Spouse

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number on f Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercis Expiration Dat (Month/Day/Y	e	7. Title and A Underlying S (Instr. 3 and	Securit
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amo or Num of Share
Non-Qualified Stock Options (Right to Buy)	\$ 28.45					05/19/2009	05/18/2018	Common Stock	1,6 (3
Non-Qualified Stock Options (Right to Buy)	\$ 13.27	05/31/2011		M	2,392	05/18/2010	05/17/2019	Common Stock	2,3 (4
Non-Qualified Stock Options (Right to Buy)	\$ 18.24	05/31/2011		M	879	05/17/2011	05/16/2020	Common Stock	879

## **Reporting Owners**

Reporting Owner Name / Address			Relationships	
	Director	10% Owner	Officer	Other

OWEN JOSEPH J 140 JOHN JAMES AUDUBON PARKWAY AMHERST, NY 14228

VP - Supply Chain Mgmt

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## **Signatures**

Joseph J. Owen 06/02/2011

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Includes 3,248 shares of restricted stock issued to reporting person under the Columbus McKinnon Corporation 2006 Long Term Incentive Plan dated as of May 4, 2006, subject to forfeiture in whole or part; 1,276 shares become fully vested and non-forfeitable 50%

- (1) for two years beginning 5/18/2012; 584 shares become fully vested and non-forfeitable 50% per year for two years beginning 5/19/2012, and the remaining 1,388 shares become fully vested and non-forfeitable 33.33% per year for three years beginning 5/17/2012, if reporting person remains an employee of issuer.
- (2) Reports shares allocated to account of reporting person under the Columbus McKinnon Corporation Employee Stock Ownership Plan, as amended (the "ESOP").
- (3) Exercisable 25% per year for four years, beginning 5/19/09, if reporting person remains an employee of issuer.
- Originally a 4,785 share stock option, reporting person exercized 2,392 options on 5/31/2011, the remaining 2,393 options are exercizable 50% per year for two years beginning 5/18/2012, if reporting person remains an employee of issuer.
- Originally a 3,516 share stock option, reporting person exercized 879 options on 5/31/2011, the remaining 2,637 options are exercizable 33 1/3% per year for three years beginning 5/18/2012, if reporting person remains an employee of issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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