Ambrogi Michael J. Form 4 April 03, 2019

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** 3235-0287 Number:

**OMB APPROVAL** 

Check this box if no longer subject to Section 16. Form 4 or

#### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

January 31, Expires: 2005 Estimated average

Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue.

burden hours per response...

See Instruction

30(h) of the Investment Company Act of 1940

0.5

1(b).

(Print or Type Responses)

| 1. Name and Addre<br>Ambrogi Micha      | ss of Reporting Person * el J. | 2. Issuer Name <b>and</b> Ticker or Trading Symbol   | 5. Relationship of Reporting Person(s) to Issuer   |  |  |  |
|---|--------------------------------|--|--|--|--|--|
| (Last) (First) (Middle)                 |                                | NovoCure Ltd [NVCR]  3. Date of Earliest Transaction | (Check all applicable)   |  |  |  |
| C/O NOVOCUI<br>VALLEY STRI<br>SUITE 300 | RE INC., 20<br>EAM PARKWAY,    | (Month/Day/Year)<br>04/01/2019                       | Director 10% Owner _X Officer (give title Other (specify below)  Chief Operating Officer |  |  |  |
|   | (Street)                       | 4. If Amendment, Date Original                       | 6. Individual or Joint/Group Filing(Ched   |  |  |  |

Filed(Month/Day/Year)

MALVERN, PA 19355

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

| (City)                               | (State)                                 | (Zip) Tabl                    | le I - Non-l | Derivative  | Secur     | ities Acqui         | red, Disposed of,  | or Beneficiall | y Owned   |
|--------------------------------------|---|-------------------------------|--------------|---|-----------|---------------------|--|----------------|---|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | . Transaction Date 2A. Deemed |              | 3. 4. Securities Acquired (A) Transaction Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8) |           |                     | 5. Amount of Securities Ownership Beneficially Form: Direct Owned (D) or Following Indirect (I) Reported (Instr. 4) Transaction(s) |                | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|                                      |   |                               | Code V       | Amount  | or<br>(D) | Price               | (Instr. 3 and 4)   |                |   |
| Ordinary<br>Shares                   | 04/01/2019                              |                               | M            | 21,875<br>(1)   | A         | \$ 14.37            | 207,549  | D              |   |
| Ordinary<br>Shares                   | 04/01/2019                              |                               | S            | 20,275  | D         | \$<br>47.289<br>(2) | 187,274  | D              |   |
| Ordinary<br>Shares                   | 04/01/2019                              |                               | S            | 1,600   | D         | \$<br>48.072<br>(3) | 185,674  | D              |   |
| Ordinary<br>Shares                   | 04/01/2019                              |                               | M            | 12,500<br>(1)   | A         | \$ 11.46            | 198,174  | D              |   |

#### Edgar Filing: Ambrogi Michael J. - Form 4

| Ordinary<br>Shares | 04/01/2019 | S | 11,202        | D | \$<br>47.393<br>(4)        | 186,972 | D |
|--------------------|------------|---|---------------|---|----------------------------|---------|---|
| Ordinary<br>Shares | 04/01/2019 | S | 1,298         | D | \$<br>48.088<br>(5)        | 185,674 | D |
| Ordinary<br>Shares | 04/02/2019 | M | 15,516<br>(1) | A | \$ 14.37                   | 201,190 | D |
| Ordinary<br>Shares | 04/02/2019 | S | 15,384        | D | \$<br>47.239<br><u>(6)</u> | 185,806 | D |
| Ordinary<br>Shares | 04/02/2019 | S | 132           | D | \$<br>47.833<br>(7)        | 185,674 | D |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transacti<br>Code<br>(Instr. 8) | 5. Number of ionDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) |        | 6. Date Exercisable and Expiration Date (Month/Day/Year) |                    | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |                                     |
|---|---|--------------------------------------|---|---------------------------------------|--|--------|--|--------------------|---|-------------------------------------|
|   |   |                                      |   | Code V                                | (A)  | (D)    | Date<br>Exercisable                                      | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of Shares |
| Options<br>to Buy<br>Ordinary<br>Shares             | \$ 14.37  | 04/01/2019                           |   | M                                     |  | 21,875 | <u>(8)</u>   | 02/23/2025         | Ordinary<br>Shares  | 21,875                              |
| Options<br>to Buy<br>Ordinary<br>Shares             | \$ 11.46  | 04/01/2019                           |   | M                                     |  | 12,500 | <u>(8)</u>   | 02/24/2026         | Ordinary<br>Shares  | 12,500                              |
| Options<br>to Buy<br>Ordinary<br>Shares             | \$ 14.37  | 04/02/2019                           |   | M                                     |  | 15,516 | (8)  | 02/23/2025         | Ordinary<br>Shares  | 15,516                              |

### **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Ambrogi Michael J. C/O NOVOCURE INC. 20 VALLEY STREAM PARKWAY, SUITE 300 MALVERN, PA 19355

**Chief Operating Officer** 

### **Signatures**

/s/ Kimberly Burke, Attorney in fact for Michael J. Ambrogi

04/03/2019

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Transaction was made pursuant to a Rule 10b5-1 trading plan adopted by Mr. Ambrogi.
- On April 1, 2019, pursuant to a Rule 10b5-1 trading plan adopted by Mr. Ambrogi, Mr. Ambrogi sold 20,275 shares in multiple trades at prices ranging from \$46.90 to \$47.83. The price reported above reflects the weighted average sale price. Mr. Ambrogi hereby undertakes to provide to the Staff, the issuer or any security holder of the issuer, upon request, full information regarding the number of shares and prices at which the transaction was effected.
- On April 1, 2019, pursuant to a Rule 10b5-1 trading plan adopted by Mr. Ambrogi, Mr. Ambrogi sold 1,600 shares in multiple trades at prices ranging from \$47.92 to \$48.25. The price reported above reflects the weighted average sale price. Mr. Ambrogi hereby undertakes to provide to the Staff, the issuer or any security holder of the issuer, upon request, full information regarding the number of shares and prices at which the transaction was effected.
- On April 1, 2019, pursuant to a Rule 10b5-1 trading plan adopted by Mr. Ambrogi, Mr. Ambrogi sold 11,202 shares in multiple trades at prices ranging from \$46.94 to \$47.94. The price reported above reflects the weighted average sale price. Mr. Ambrogi hereby undertakes to provide to the Staff, the issuer or any security holder of the issuer, upon request, full information regarding the number of shares and prices at which the transaction was effected.
- On April 1, 2019, pursuant to a Rule 10b5-1 trading plan adopted by Mr. Ambrogi, Mr. Ambrogi sold 1,298 shares in multiple trades at prices ranging from \$47.94 to \$48.25. The price reported above reflects the weighted average sale price. Mr. Ambrogi hereby undertakes to provide to the Staff, the issuer or any security holder of the issuer, upon request, full information regarding the number of shares and prices at which the transaction was effected.
- On April 2, 2019, pursuant to a Rule 10b5-1 trading plan adopted by Mr. Ambrogi, Mr. Ambrogi sold 15,384 shares in multiple trades at prices ranging from \$46.80 to \$47.71. The price reported above reflects the weighted average sale price. Mr. Ambrogi hereby undertakes to provide to the Staff, the issuer or any security holder of the issuer, upon request, full information regarding the number of shares and prices at which the transaction was effected.
- On April 2, 2019, pursuant to a Rule 10b5-1 trading plan adopted by Mr. Ambrogi, Mr. Ambrogi sold 132 shares in multiple trades at prices ranging from \$47.81 to \$47.84. The price reported above reflects the weighted average sale price. Mr. Ambrogi hereby undertakes to provide to the Staff, the issuer or any security holder of the issuer, upon request, full information regarding the number of shares and prices at which the transaction was effected.
- (8) Fully vested as of the date hereof.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 3