#### SWITZER BRYAN K

Form 4

March 01, 2019

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** 

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**OMB APPROVAL** 

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** Form 4 or Form 5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b).

(Print or Type Responses)

| 1. Name and Address of Reporting Person * SWITZER BRYAN K |                   |          | 2. Issuer Name and Ticker or Trading Symbol AMERICAN STATES WATER CO [AWR] | 5. Relationship of Reporting Person(s) to<br>Issuer  (Check all applicable)  |  |  |  |
|---|-------------------|----------|--|--|--|--|--|
| (Last) 630 E FOOTH  | (First)           | (Middle) | 3. Date of Earliest Transaction (Month/Day/Year) 03/01/2019                | Director 10% Owner Solution Other (specify below) Urice President  |  |  |  |
| SAN DIMAS,  | (Street) CA 91773 |          | 4. If Amendment, Date Original Filed(Month/Day/Year)                       | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person |  |  |  |
| (City)  | (State)           | (Zip)    | Table I - Non-Derivative Securities Acc                                    | quired, Disposed of, or Beneficially Owned   |  |  |  |

|            |                  | Table 1-1001-Delivative Securities Acquired, Disposed 61, 61 Deficiently Owned |            |            |        |           |                  |              |            |
|------------|------------------|--|------------|------------|--------|-----------|------------------|--------------|------------|
| 1.Title of |                  |  | 3.         | 4. Securi  |        | -         | 5. Amount of     | 6. Ownership |            |
| Security   | (Month/Day/Year) | Execution Date, if   | Transactio | on(A) or D | ispose | ed of (D) | Securities       | Form: Direct | Indirect   |
| (Instr. 3) |                  | any  | Code       | (Instr. 3, | 4 and  | 5)        | Beneficially     | (D) or       | Beneficial |
|            |                  | (Month/Day/Year)   | (Instr. 8) |            |        |           | Owned            | Indirect (I) | Ownership  |
|            |                  |  |            |            |        |           | Following        | (Instr. 4)   | (Instr. 4) |
|            |                  |  |            |            |        |           | Reported         |              |            |
|            |                  |  |            |            | (A)    |           | Transaction(s)   |              |            |
|            |                  |  |            |            | or     |           | (Instr. 3 and 4) |              |            |
|            |                  |  | Code V     | Amount     | (D)    | Price     | (                |              |            |
| Commo      | on 02/01/2010    |  | <b>A</b>   | 6.615      |        | \$        | ( 011 1510       | Ъ            |            |
| Shares     | 03/01/2019       |  | A          | (1)        | A      | 70.51     | 6,811.1518       | D            |            |
| 51141 55   |                  |  |            | _          |        | , 0.0 1   |                  |              |            |
| Commo      | on               |  | <b>a</b>   | 200        | _      | \$        | 6 511 1510       |              |            |
| Shares     | 03/01/2019       |  | S          | 300        | D      | 71.05     | 6,511.1518       | D            |            |
| Silaics    |                  |  |            |            |        | 11.05     |                  |              |            |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2.          | 3. Transaction Date | 3A. Deemed         | 4.         | 5.         | 6. Date Exerc | cisable and | 7. Title        | and    | 8. Price of | 9. Nu  |
|-------------|-------------|---------------------|--------------------|------------|------------|---------------|-------------|-----------------|--------|-------------|--------|
| Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if | Transactio | orNumber   | Expiration D  | ate         | Amount          | t of   | Derivative  | Deriv  |
| Security    | or Exercise |                     | any                | Code       | of         | (Month/Day/   | Year)       | Underly         | ing    | Security    | Secui  |
| (Instr. 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. 8) | Derivative | e             |             | Securitie       | es     | (Instr. 5)  | Bene   |
|             | Derivative  |                     |                    |            | Securities |               |             | (Instr. 3       | and 4) |             | Own    |
|             | Security    |                     |                    |            | Acquired   |               |             |                 |        |             | Follo  |
|             | •           |                     |                    |            | (A) or     |               |             |                 |        |             | Repo   |
|             |             |                     |                    |            | Disposed   |               |             |                 |        |             | Trans  |
|             |             |                     |                    |            | of (D)     |               |             |                 |        |             | (Instr |
|             |             |                     |                    |            | (Instr. 3, |               |             |                 |        |             |        |
|             |             |                     |                    |            | 4, and 5)  |               |             |                 |        |             |        |
|             |             |                     |                    |            |            |               |             | ^               | mount  |             |        |
|             |             |                     |                    |            |            |               |             |                 | mount  |             |        |
|             |             |                     |                    |            |            | Date          | Expiration  | Title N         |        |             |        |
|             |             |                     |                    |            |            | Exercisable   | Date        | Title Number of |        |             |        |
|             |             |                     |                    | C + V      | (A) (D)    |               |             |                 |        |             |        |
|             |             |                     |                    | Code V     | (A) (D)    |               |             | S               | hares  |             |        |

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

SWITZER BRYAN K 630 E FOOTHILL BLVD SAN DIMAS, CA 91773

Vice President

## **Signatures**

/s/ Bryan K Switzer 03/01/2019

\*\*Signature of Date
Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) DER Units credited on 03/01/2019 as dividend at FMV

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Reporting Owners 2