O'Callaghan Catherine A. Form 3

June 05, 2018 FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number:

3235-0104

Expires:

response...

January 31, 2005

0.5

Estimated average burden hours per

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person * Statement FORD MOTOR CO [F] O'Callaghan Catherine A. (Month/Day/Year) 06/01/2018 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) ONE AMERICAN ROAD (Check all applicable) (Street) 6. Individual or Joint/Group Filing(Check Applicable Line) 10% Owner Director _X_ Form filed by One Reporting _X__ Officer Other Person DEARBORN, MIÂ 48126 (give title below) (specify below) Form filed by More than One Vice President and Controller Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 2. Amount of Securities 4. Nature of Indirect Beneficial 1. Title of Security Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) D Â Common Stock, \$0.01 par value 91,872 Common Stock, \$0.01 par value 30,320 I by Spouse (1) Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not

required to respond unless the form displays a

currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)	4. Conversion or Exercise Price of Derivative	5. Ownership Form of Derivative Security:	6. Nature of Indirect Beneficial Ownership (Instr. 5)
--	--	--	---	---	---

Edgar Filing: O'Callaghan Catherine A. - Form 3

	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Employee Stock Option (Right to Buy)	(2)	03/02/2021	Common Stock, \$0.01 par value	8,203	\$ 14.76	D	Â
Employee Stock Option (Right to Buy)	(3)	03/03/2023	Common Stock, \$0.01 par value	4,722	\$ 12.75	D	Â
Employee Stock Option (Right to Buy)	(4)	03/03/2024	Common Stock, \$0.01 par value	11,432	\$ 15.37	D	Â
Ford Stock Units	(5)	(5)	Common Stock, \$0.01 par value	1,754	\$ <u>(5)</u>	D	Â
Ford Stock Units	(6)	(6)	Common Stock, \$0.01 par value	4,033	\$ <u>(6)</u>	D	Â
Ford Stock Units	(7)	(7)	Common Stock, \$0.01 par value	7,326	\$ <u>(7)</u>	D	Â
Ford Stock Units	(8)	(8)	Common Stock, \$0.01 par value	565	\$ <u>(8)</u>	I	by Spouse (8)
Ford Stock Units	(9)	(9)	Common Stock, \$0.01 par value	1,191	\$ <u>(9)</u>	I	by Spouse (9)
Ford Stock Units	(10)	(10)	Common Stock, \$0.01 par value	2,163	\$ (10)	I	by Spouse (10)

Reporting Owners

Reporting Owner Name / Address	Relationships				
	Director	10% Owner	Officer	Other	

Reporting Owners 2

Edgar Filing: O'Callaghan Catherine A. - Form 3

O'Callaghan Catherine A. ONE AMERICAN ROAD DEARBORN, MIÂ 48126

Â Vice President and Controller Â

Signatures

Jerome F. Zaremba, Attorney-in-Fact

06/05/2018

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These shares are held by my spouse.
- This option became exercisable to the extent of 33% of the shares optioned after one year from the date of grant (03/03/2011), 66% after two years, and in full after three years.
- (3) This option became exercisable to the extent of 33% of the shares optioned after one year from the date of grant (03/04/2013), 66% after two years, and in full after three years.
- (4) This option became exercisable to the extent of 33% of the shares optioned after one year from the date of grant (03/04/2014), 66% after two years, and in full after three years.
- These Ford Restricted Stock Units were acquired under the Company's Long-Term Incentive Plan without payment by me. These Ford Restricted Stock Units will be converted and distributed to me, without payment, in shares of Common Stock on March 3, 2019.
- These Ford Restricted Stock Units were acquired under the Company's Long-Term Incentive Plan without payment by me. These Ford

 (6) Restricted Stock Units will be converted and distributed to me, without payment, to the extent of 1,986 shares Common Stock on March 2, 2019 and 2,047 shares of Common Stock on March 2, 2020.
- These Ford Restricted Stock Units were acquired under the Company's Long-Term Incentive Plan without payment by me. These Ford Restricted Stock Units will be converted and distributed to me, without payment, in shares of Common Stock to the extent of 33% after one year from the date of grant (03/02/2018), 66% after two years, and in full after three years.
 - These Ford Restricted Stock Units were acquired by my spouse under the Company's Long-Term Incentive Plan without payment.
- (8) These Ford Restricted Stock Units will be converted and distributed to my spouse, without payment, in shares of Common Stock on March 3, 2019.
- These Ford Restricted Stock Units were acquired by my spouse under the Company's Long-Term Incentive Plan without payment.
- (9) These Ford Restricted Stock Units will be converted and distributed to my spouse, without payment, to the extent of 586 shares Common Stock on March 2, 2019 and 605 shares of Common Stock on March 2, 2020.
 - These Ford Restricted Stock Units were acquired by my spouse under the Company's Long-Term Incentive Plan without payment.
- (10) These Ford Restricted Stock Units will be converted and distributed to my spouse, without payment, in shares of Common Stock to the extent of 33% after one year from the date of grant (03/02/2018), 66% after two years, and in full after three years.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 3