Edgar Filing: VAN LUNSEN GIL J - Form 4

| VAN LUNS | SEN GIL J | | | | | | | | | | |
|---|--|-------|-----------|--------------------------|-----------------|------------------------|---|--|--|---|--|
| Form 4 | | | | | | | | | | | |
| May 17, 201 | 18 | | | | | | | | | | |
| FORM | Λ4 | | ~ ~ ~ ~ | | | | | | OMB AF | PROVAL | |
| Washington, D.C. 20549 | | | | | | | | OMB Number: | 3235-0287 | | |
| Check th if no lon | ger | | | | | | | | Expires: | January 31, | |
| subject to STATEMENT OF CHA Section 16. Form 4 or | | | | | BENEF RITIES | 'ICIA | AL OWN | ERSHIP OF | Estimated a burden hour response | • | |
| obligation may con | Form 5 obligations may continue.Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 19401(b).30(h) of the Investment Company Act of 1940 | | | | | | | | | | |
| (Print or Type | Responses) | | | | | | | | | | |
| VAN LUNSEN GIL J Symbol | | | Symbol | Is | | | | 5. Relationship of Reporting Person(s) to ssuer | | | |
| | | | | Y BIOPH [] | IARMA | INC | | (Check all applicable) | | | |
| | | | Day/Year) | | | | _X Director 10% Owner Officer (give title Other (specify elow) below) | | | | |
| | Y BIOPHARMA WALNUT STRE | | 05/16/2 | 2018 | | | | | | | |
| | | | | | | | | . Individual or Joint/Group Filing(Check | | | |
| BOULDER | R, CO 80301 | | T neu(ino | nuv Day i te | | | - | X_ Form filed by O Form filed by Mo Person | | | |
| (City) | (State) | (Zip) | Tab | le I - Non- | Derivative | Secu | rities Acqui | red, Disposed of, | or Beneficiall | y Owned | |
| 1.Title of Security (Instr. 3) | 2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, i any (Month/Day/Yea | | Date, if | Code (Instr. 3, 4 and 5) | | | | 5. Amount of Securities Beneficially Owned Following Reported | OwnershipInForm:BDirect (D)Cor Indirect(I) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | |
| | | | | Code V Amou | | (A) or (D) Price | | Transaction(s) (Instr. 3 and 4) | (I) (Instr. 4) | | |
| Common Stock | 05/16/2018 | | | М | 12,500 | A | \$ 4.36 | 31,797 | D | | |
| Common Stock | 05/16/2018 | | | М | 9,000 | А | \$ 3.87 | 40,797 | D | | |
| Common Stock | 05/16/2018 | | | S <u>(1)</u> | 21,500 | D | \$ 15.3609 (2) | 19,297 | D | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)

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required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | | | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | | 8 I S (|
|---|---|---|---|--------|---------|--|--------------------|---|-------------------------------------|------------------|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | |
| Stock Option (Right to Buy) | \$ 4.36 | 05/16/2018 | | М | 12,500 | (3) | 10/24/2022 | Common Stock | 12,500 | |
| Stock Option (Right to Buy) | \$ 3.87 | 05/16/2018 | | М | 9,000 | <u>(4)</u> | 10/30/2024 | Common Stock | 9,000 | |

Reporting Owners

| Reporting Owner Name / Address | | Relationsh | | | | | |
|---|----------|------------|---------|-------|--|--|--|
| | Director | 10% Owner | Officer | Other | | | |
| VAN LUNSEN GIL J C/O ARRAY BIOPHARMA INC. 3200 WALNUT STREET BOULDER, CO 80301 | Х | | | | | | |
| Signatures | | | | | | | |
| Jason Haddock, attorney in fact for Reporting | | | | | | | |

Jason Haddock, attorney in fact for Reporting 05/17/2018 Person

<u>**</u>Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These trades were made pursuant to a Rule 10b5-1 trading plan.
- (2) The price reported for these shares is the weighted average sale price of transactions made at prices from \$15.30 to \$15.43. Details of actual prices for shares sold are available from the Issuer upon request.
- (3) Options vested on October 24, 2013.

Reporting Owners

E S C

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(4) Options vested on October 30, 2015.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.