GOMEZ GREGORY M

Form 4 March 06, 2018

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL OMB

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if no longer subject to Section 16. Form 4 or

Check this box

Form 5 obligations may continue.

See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

(Print or Type Responses)

1(b).

(Last)

(City)

1. Name and Address of Reporting Person * **GOMEZ GREGORY M**

2. Issuer Name and Ticker or Trading Symbol

BADGER METER INC [BMI]

5. Relationship of Reporting Person(s) to

Issuer

(Middle)

(Zip)

3. Date of Earliest Transaction

(Month/Day/Year)

03/02/2018

Director 10% Owner Other (specify

(Check all applicable)

X_ Officer (give title below) VP-Flow Instrumentation

4545 W. BROWN DEER ROAD

(Street)

(State)

(First)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

Person

MILWAUKEE, WI 53223

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities 5. Amount of 6. Ownership 7. Nature of Security (Month/Day/Year) Execution Date, if TransactionAcquired (A) or Securities Form: Direct Indirect (Instr. 3) Code Disposed of (D) Beneficially (D) or Beneficial (Instr. 3, 4 and 5) Indirect (I) Ownership (Month/Day/Year) (Instr. 8) Owned Following (Instr. 4) (Instr. 4)

> Reported (A) Transaction(s) or

(Instr. 3 and 4) Code V Amount (D) Price

Common $A^{(1)}$ 03/02/2018 671 Α \$0 17,650 D Stock

Common I **ESSOP** 12,472.239 Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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$\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (e.g., puts, calls, warrants, options, convertible securities) \end{tabular}$

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	Securities	ve Expiration Date (Month/Day/Yea	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (I	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Stock Options	\$ 48.2	03/02/2018		A	1,783	03/02/2019(2)	03/02/2028	BMI Common Stock	1,783	
Stock Options	\$ 19.345					05/01/2010	05/01/2019	BMI Common Stock	1,200	
Stock Options	\$ 19.205					05/07/2011	05/07/2020	BMI Common Stock	2,400	
Stock Options	\$ 18.295					05/06/2012	05/06/2021	BMI Common Stock	2,400	
Stock Options	\$ 18.075					05/04/2013	05/04/2022	BMI Common Stock	4,800	
Stock Options	\$ 25.645					03/01/2014	03/01/2023	BMI Common Stock	2,836	
Stock Options	\$ 27.18					03/07/2015	03/07/2024	BMI Common Stock	2,818	
Stock Options	\$ 28.33					03/06/2016	03/06/2025	BMI Common Stock	3,378	
Stock Options	\$ 33.975					03/04/2017	03/04/2026	BMI Common Stock	2,886	
Stock Options	\$ 36.45					03/03/2018	03/03/2027	BMI Common Stock	2,294	

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Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

GOMEZ GREGORY M 4545 W. BROWN DEER ROAD MILWAUKEE, WI 53223

VP-Flow Instrumentation

Signatures

Gregory M. 03/06/2018

**Signature of
Reporting Person

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

Date

- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Restricted stock granted March 2, 2018 to reporting person under the 2011 Omnibus Incentive Plan. The restricted stock vests 100% on the third anniversary of the date of grant.
- (2) Grant to reporting person of option to buy shares under the 2011 Omnibus Incentive Plan. This option vests and becomes exercisable in 20% increments on March 2, 2019, 2020, 2021, 2022 and 2023, respectively.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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