#### Edgar Filing: PIMCO CALIFORNIA MUNICIPAL INCOME FUND III - Form 3

#### PIMCO CALIFORNIA MUNICIPAL INCOME FUND III

Form 3

January 11, 2017

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** 

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Number: January 31, Expires:

**OMB APPROVAL** 

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, response... Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

(City)

(Instr. 4)

1. Title of Security

1. Name and Address of Reporting

(State)

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement PIMCO CALIFORNIA MUNICIPAL INCOME FUND  **STRACKE THIBAULT** (Month/Day/Year) III [PZC] **CHRISTIAN** 01/01/2017 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) C/O PIMCO, 650 NEWPORT (Check all applicable) CENTER DRIVE (Street) Director 10% Owner

X\_ Other Officer (give title below) (specify below) SEE REMARKS **NEWPORT** BEACH, CAÂ 92660

6. Individual or Joint/Group Filing(Check Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One

Reporting Person

#### Table I - Non-Derivative Securities Beneficially Owned

2. Amount of Securities Beneficially Owned (Instr. 4)

3. Ownership Form:

4. Nature of Indirect Beneficial Ownership

(Instr. 5)

Direct (D) or Indirect (I) (Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(Zip)

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security 2. Date Exercisable and 3. Title and Amount of 4. 5. 6. Nature of Indirect (Instr. 4) **Expiration Date** Securities Underlying Conversion Ownership Beneficial Ownership (Month/Day/Year) or Exercise Form of **Derivative Security** (Instr. 5) Derivative (Instr. 4) Price of Security: Derivative Date **Expiration Title** Amount or Security Direct (D) Exercisable Number of Date

Shares

or Indirect
(I)
(Instr. 5)

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

STRACKE THIBAULT CHRISTIAN C/O PIMCO 650 NEWPORT CENTER DRIVE NEWPORT BEACH, CAÂ 92660

Â Â SEE REMARKS

### **Signatures**

/s/ Joel Reynolds, Attorney-in-Fact for Thibault Christian Stracke

01/10/2017

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

No securities are beneficially owned

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

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### **Remarks:**

Pacific Investment Management Company LLC ("PIMCO") is the investment adviser of the Issuer. Th

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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