

3D SYSTEMS CORP
Form 4
December 14, 2016

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
Number: 3235-0287
Expires: January 31,
2005
Estimated average
burden hours per
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person *
LOEWENBAUM G WALTER II

(Last) (First) (Middle)

333 THREE D SYSTEMS CIRCLE

(Street)

ROCK HILL, SC 29730

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
3D SYSTEMS CORP [DDD]

3. Date of Earliest Transaction
(Month/Day/Year)
11/29/2016

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner
☐ Officer (give title below) ☐ Other (specify below)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	11/29/2016		J ⁽¹⁾	144,530 D	\$ 14.17 ⁽¹⁾ 176,944	I	By spouse ⁽²⁾ ⁽³⁾
Common Stock					376,441	I	By The Loewenbaum 1992 Trust ⁽²⁾ ⁽⁴⁾
Common Stock					33,279	I	By The Lillian Shaw Loewenbaum Trust ⁽²⁾ ⁽⁴⁾

D

Edgar Filing: 3D SYSTEMS CORP - Form 4

Common Stock	1,062,816 <u>(5)</u>		
Common Stock	5,100	I	By Anna Willis Loewenbaum 1993 Trust <u>(2)</u> <u>(4)</u>
Common Stock	5,100	I	The Elizabeth Scott Loewenbaum 1993 Trust <u>(2)</u> <u>(4)</u>
Common Stock	60,000	I	By The Wally's Trust u/w/o Joel Simon Loewenbaum <u>(2)</u> <u>(6)</u>
Common Stock	55,565	I	By The Waterproof Partnership, L.P. <u>(2)</u> <u>(7)</u>
Common Stock	67,500	I	Blanco Cattle & Ranch, LLC <u>(8)</u>
Common Stock	258,277	I	Elizabeth Scott Loewenbaum 2010 Trust <u>(2)</u> <u>(6)</u> <u>(9)</u>
Common Stock	239,475	I	Anna Loewenbaum Hargrove 2010 Trust <u>(2)</u> <u>(6)</u> <u>(10)</u>
Common Stock	11,703	I	Lillian Shaw Loewenbaum TTEE the LSL3DS 2014 Annuity Trust U/A/ Dtd 11/13/2014 <u>(2)</u> <u>(11)</u> <u>(12)</u>
Common Stock	40,868	I	The GWL3D 2015 Annuity

Common Stock	27,538	I	Trust U/A Dtd 05/18/2015 ⁽²⁾ <u>(6)</u> <u>(13)</u>
			The LSL3D 2015 Annuity Trust U/A Dtd 05/18/2015 ⁽²⁾ <u>(12)</u> <u>(14)</u>

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Own Follo Repor Trans (Instr
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
LOEWENBAUM G WALTER II 333 THREE D SYSTEMS CIRCLE ROCK HILL, SC 29730	X			

Signatures

/s/ Andrew M. Johnson,
Attorney-in-Fact

12/14/2016

 Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) On November 29, 2016, for estate planning purposes the Reporting Person's spouse exchanged 144,530 shares of the Issuer's common stock to an independent trust for the benefit of her descendants for a number of shares of another issuer with an equal market value determined based on the opening price of both issuers' common stock on the day of the share exchange. No cash was exchanged in connection with the transaction.
- (2) The Reporting Person disclaims beneficial ownership of these securities except to the extent of any pecuniary interest therein.
- Includes 44,967 shares previously held through Lillian Shaw Loewenbaum TTEE the LSLDDD 2014 Annuity Trust U/A Dtd 4/14/2014 and 27,495 shares previously held through Lillian Shaw Loewenbaum TTEE The LSL3D 2015 Annuity Trust U/A Dtd 5/18/2015, all of which were transferred to the Reporting Person's spouse on May 26, 2016. It also includes 38,297 shares previously held through Lillian Shaw Loewenbaum TTEE the LSL3DS 2014 Annuity Trust U/A Dtd 11/13/2014 which were transferred to the Reporting Person's spouse on June 15, 2016. These transfers did not change the Reporting Person's total beneficial ownership as of the transaction date.
- (3) Mr. and Mrs. Loewenbaum serve as Trustees.
- Includes 18,328 shares previously held through George Walter Loewenbaum TTEE the GWLDDD 2014 Annuity Trust U/A Dtd 4/14/2014, 40,804 shares previously held through George Walter Loewenbaum TTEE The GWL3D 2015 Annuity Trust U/A Dtd 5/18/2015 and 50,000 shares previously held through George Walter Loewenbaum TTEE the GWL3DS 2014 Annuity Trust U/A Dtd 11/13/2014, all of which were transferred to his direct holdings on May 26, 2016. This did not change the Reporting Person's total beneficial ownership as of the transaction date. Excludes 68,950 shares previously held through his direct holdings in which 34,475 shares were transferred to Elizabeth Scott Loewenbaum 2010 Trust and 34,475 shares were transferred to Anna Loewenbaum Hargrove 2010 Trust on August 12, 2016. These transfers did not change the Reporting Person's total beneficial ownership as of the transaction date.
- (5) Mr. Loewenbaum serves as Trustee.
- (6) Mr. and Mrs. Loewenbaum are the general partners.
- (8) The limited liability company is owned 100% by Mr. Loewenbaum.
- Includes 34,475 shares previously held through Mr. Loewenbaum's direct holdings which were transferred to Elizabeth Scott Loewenbaum 2010 Trust on August 12, 2016. This transfer did not change the Reporting Person's total beneficial ownership as of the transaction date.
- (9) Includes 34,475 shares previously held through Mr. Loewenbaum's direct holdings which were transferred to Anna Loewenbaum Hargrove 2010 Trust on August 12, 2016. This transfer did not change the Reporting Person's total beneficial ownership as of the transaction date.
- (10) Excludes 38,297 shares previously held through Lillian Shaw Loewenbaum TTEE the LSL3DS 2014 Annuity Trust U/A Dtd 11/13/2014 which were transferred to Lillian Shaw Loewenbaum, the Reporting Person's spouse on June 15, 2016. This transfer did not change the Reporting Person's total beneficial ownership as of the transaction date.
- (11) Mrs. Loewenbaum serves as trustee.
- Excludes 40,804 shares previously held through George Walter Loewenbaum TTEE The GWL3D 2015 Annuity Trust U/A Dtd 5/18/2015 2014 which were transferred to his direct holdings on May 26, 2016. This transfer did not change the Reporting Person's total beneficial ownership as of the transaction date.
- (13) Excludes 27,495 shares previously held through Lillian Shaw Loewenbaum TTEE The LSL3D 2015 Annuity Trust U/A Dtd 5/18/2015 which were transferred to Lillian Shaw Loewenbaum, the Reporting Person's spouse on May 26, 2016. This transfer did not change the Reporting Person's total beneficial ownership as of the transaction date.
- (14)

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.