Gogo Inc. Form 4 August 12, 2016

# FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL OMB** 

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if no longer subject to Section 16. Form 4 or Form 5

Check this box

**SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue.

See Instruction 1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* CRANDALL ROBERT L

2. Issuer Name and Ticker or Trading Symbol

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

30(h) of the Investment Company Act of 1940

Issuer

5. Relationship of Reporting Person(s) to

(Check all applicable)

(Last)

(First) (Middle) 3. Date of Earliest Transaction

Gogo Inc. [GOGO]

(Month/Day/Year) 08/10/2016

X\_ Director Officer (give title

10% Owner Other (specify

5016 SW INVERNESS COURT

(State)

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check

Applicable Line) \_X\_ Form filed by One Reporting Person

Form filed by More than One Reporting Person

below)

(Street)

Filed(Month/Day/Year)

PALM CITY, FL 34990

(City)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of 2. Transaction Date 2A. Deemed Security (Instr. 3)

(Month/Day/Year) Execution Date, if (Month/Day/Year)

(Zip)

4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 8) (Instr. 3, 4 and 5)

5. Amount of Securities Beneficially Owned Following Reported

6. Ownership 7. Nature of Form: Direct Indirect (D) or Indirect Beneficial Ownership (Instr. 4) (Instr. 4)

(9-02)

(A) or Code V Amount (D) Price

Transaction(s) (Instr. 3 and 4)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)

Conversion or Exercise Price of

3. Transaction Date 3A. Deemed (Month/Day/Year)

Execution Date, if (Month/Day/Year)

5. Number of 4. TransactionDerivative Code Securities (Instr. 8) Acquired (A) or 6. Date Exercisable and **Expiration Date** (Month/Day/Year)

7. Title and Amount Underlying Securitie (Instr. 3 and 4)

1

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	Derivative Security				Disposed of (Instr. 3, 4, 5)					
			Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amour or Number of Shar
3.75% Senior Convertible Notes due 2020	\$ 23.85	08/10/2016	P		\$ 500,000		<u>(1)</u>	03/01/2020	Common Stock	20,96
3.75% Senior Convertible Notes due 2020	\$ 23.85	08/11/2016	P		\$ 500,000		<u>(1)</u>	03/01/2020	Common Stock	20,96 (2)
3.75% Senior Convertible Notes due 2020	\$ 23.85	08/11/2016	Р		\$ 500,000		<u>(1)</u>	03/01/2020	Common Stock	20,96 (2)

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
CRANDALL ROBERT L 5016 SW INVERNESS COURT PALM CITY, FL 34990	X						

# **Signatures**

/s/ Margee Elias, Attorney-in-Fact for Robert L. O8/12/2016

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The 3.75% senior convertible notes due 2020 become convertible on December 1, 2019 or earlier upon certain events.
- (2) The 3.75% senior convertible notes due 2020 are convertible into common stock at a conversion price equal to \$23.85 per share of the issuer's common stock, subject to adjustment in certain events.
- (3) Represents the aggregate purchase price for the 3.75% senior convertible notes due 2020.
- (4) Reflects shares held by Crandall Family Investments Ltc, a Texas Limited Partnership. Mr. Crandall disclaims beneficial ownership of such shares, except to the extent of any pecuniary interest.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Reporting Owners 2

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