

Five9, Inc.
Form 4
March 15, 2016

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
Number: 3235-0287
Expires: January 31,
2005
Estimated average
burden hours per
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person *
Hummer Winblad Equity Partners V,
LLC

(Last) (First) (Middle)

PIER 33 SOUTH, THE
EMBARCADERO, SUITE 300

(Street)

SAN FRANCISCO, CA 94111

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
Five9, Inc. [FIVN]

3. Date of Earliest Transaction
(Month/Day/Year)
03/14/2016

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

____ Director ____X____ 10% Owner
____ Officer (give title below) ____ Other (specify below)

6. Individual or Joint/Group Filing(Check
Applicable Line)
____ Form filed by One Reporting Person
__X__ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code	V	Amount	(A) or (D)	Price			
Common Stock							52,173	I	See Footnote (1)	
Common Stock	03/14/2016		J ⁽²⁾		450,000	D	\$ 0	7,560,041	I	See Footnote (3)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of
information contained in this form are not
required to respond unless the form
displays a currently valid OMB control
number.**

SEC 1474
(9-02)

Edgar Filing: Five9, Inc. - Form 4

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares

Reporting Owners

Reporting Owner Name / Address	Relationships
	Director 10% Owner Officer Other
Hummer Winblad Equity Partners V, LLC PIER 33 SOUTH THE EMBARCADERO, SUITE 300 SAN FRANCISCO, CA 94111	X
HUMMER WINBLAD VENTURE PARTNERS V LP PIER 33 SOUTH THE EMBARCADERO, SUITE 300 SAN FRANCISCO, CA 94111	X
HUMMER WINBLAD VENTURE PARTNERS V A LP PIER 33 SOUTH THE EMBARCADERO, SUITE 300 SAN FRANCISCO, CA 94111	X
Hummer John PIER 33 SOUTH THE EMBARCADERO, SUITE 300 SAN FRANCISCO, CA 94111	X
WINBLAD ANN L PIER 33 SOUTH THE EMBARCADERO, SUITE 300 SAN FRANCISCO, CA 94111	X

Signatures

HUMMER WINBLAD EQUITY PARTNERS, L.L.C., By: /s/ Ingrid Chiavacci, Ingrid
Chiavacci, attorney-in-fact

03/15/2016

__Signature of Reporting Person

Date

Edgar Filing: Five9, Inc. - Form 4

HUMMER WINBLAD VENTURE PARTNERS V, L.P., By: Hummer Winblad Equity Partners, L.L.C., Its General Partner, By: /s/ Ingrid Chiavacci, Ingrid Chiavacci, attorney-in-fact

03/15/2016

__Signature of Reporting Person

Date

HUMMER WINBLAD VENTURE PARTNERS V-A, L.P., By: Hummer Winblad Equity Partners V, L.L.C., Its General Partner, By: /s/ Ingrid Chiavacci, Ingrid Chiavacci, attorney-in-fact

03/15/2016

__Signature of Reporting Person

Date

JOHN HUMMER, By: /s/ Ingrid Chiavacci, Ingrid Chiavacci, attorney-in-fact

03/15/2016

__Signature of Reporting Person

Date

ANN L WINBLAD, By: /s/ Ingrid Chiavacci, Ingrid Chiavacci, attorney-in-fact

03/15/2016

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
 - (1) The reported shares are owned directly by Mitchell Kertzman, who is a managing member of Hummer Winblad Equity Partners V, L.L.C. ("HWEP V"), which is the general partner of Hummer Winblad Venture Partners V, L.P. ("HWVP V") and Hummer Winblad Venture Partners V-A, L.P. ("HWVP VA"). By agreement with HWEP V, Mr. Kertzman holds the shares for the benefit of HWEP V. Mr. Kertzman disclaims beneficial ownership of the shares except to the extent of his pecuniary interest therein.
 - (2) HWVP V distributed for no consideration an aggregate of 450,000 shares of Common Stock of the Issuer to its limited partners on a pro rata basis.
 - (3) The reported shares are held directly by HWVP V as nominee for HWVP V and HWVP VA. John Hummer, Ann Winblad and Mitchell Kertzman are the managing members of HWEP V, which is the general partner of HWVP V and HWVP VA. HWEP V, Mr. Hummer, Ms. Winblad and Mr. Kertzman disclaim beneficial ownership of all securities held by HWVP V, except to the extent of their pecuniary interests therein.

Remarks:

This Form 4 is one of two Form 4s filed on the date hereof reporting this transaction. The Reporting Person for the other Form

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.