QUALYS, INC. Form 4 July 27, 2015

# FORM 4

#### **OMB APPROVAL**

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

OMB 3235-0287 Number:

Check this box if no longer subject to Section 16. Form 4 or

Washington, D.C. 20549

January 31, Expires: 2005

#### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Estimated average burden hours per response... 0.5

Form filed by More than One Reporting

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Thakar Sumedh S Issuer Symbol QUALYS, INC. [QLYS] (Check all applicable) (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) 10% Owner

Director X\_ Officer (give title Other (specify C/O QUALYS, INC., 1600 BRIDGE 07/24/2015 below) **PARKWAY** Chief Product Officer

(Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person

#### REDWOOD CITY, CA 94065

(State)

(Month/Day/Year)

(Zip)

anv

2. Transaction Date 2A. Deemed

(City)

1. Title of

Security

(Instr. 3)

Person Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 3. 4. Securities Acquired (A) 5. Amount of 7. Nature of Execution Date, if Transactionor Disposed of (D) Securities Ownership Indirect (Instr. 3, 4 and 5) Beneficially Code Form: Beneficial ct (D) Ownership ndirect (Instr. 4)

(110111 0)		(Month/Day/Year)	(Instr. 8)	(Insure	(A)	-,	Owned Following Reported Transaction(s)	Direct (D) or Indirect (I) (Instr. 4)
			Code V Amount (D) Price (Instr. 3 at	(Instr. 3 and 4)	4)			
Common Stock	07/24/2015		M(1)	1,500	A	\$ 5.9	1,500	D
Common Stock	07/24/2015		S(1)	1,300	D	\$ 38.8608 <u>(2)</u>	200	D
Common Stock	07/24/2015		S(1)	200	D	\$ 39.425 (3)	0	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form

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# displays a currently valid OMB control

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number owf Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (right to buy)	\$ 5.9	07/24/2015		M	1,500	<u>(4)</u>	11/03/2021	Common Stock	1,500

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Thakar Sumedh S C/O QUALYS, INC. 1600 BRIDGE PARKWAY REDWOOD CITY, CA 94065

**Chief Product Officer** 

### **Signatures**

/s/ Bruce Posey by power of attorney for Sumedh S. Thakar

07/24/2015

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sale transaction reported on this Form 4 was effected pursuant to a Rule 10b5-1 trading plan adopted by the Reporting Person on February 26, 2015.
- This purchase price represents the weighted average sale price of the shares purchased ranging from \$38.30 to \$39.26 per share. Upon request by the Commission staff, the Issuer, or a security holder of the Issuer, the Reporting Person will provide full information regarding the number of shares purchased at each separate price within the range set forth in this Form 4.
- This purchase price represents the weighted average sale price of the shares purchased ranging from \$39.30 to \$39.55 per share. Upon request by the Commission staff, the Issuer, or a security holder of the Issuer, the Reporting Person will provide full information regarding the number of shares purchased at each separate price within the range set forth in this Form 4.

Reporting Owners 2

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(4) The option is subject to an early exercise provision and is immediately exercisable. One forty-eighth of the shares subject to the option vested on December 4, 2011 and one forty-eighth of the shares subject to the option vest monthly thereafter.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.