Edgar Filing: UNIVERSAL TECHNICAL INSTITUTE INC - Form 4

UNIVERSAL TECHNICAL INSTITUTE INC

Form 4

\$0.0001

par value

September 24, 2014

FORM	1 /								OMB AF	PPROVAL	
	OMITE) STATES		SITIES A shington,			NGE C	COMMISSION	OMB Number:	3235-0287	
if no long subject to Section 1 Form 4 o	Check this box if no longer subject to Section 16. Form 4 or STATEMENT OF CHANGES IN BENEFICIAL SECURITIES							Expires: January 3 200 Estimated average burden hours per response 0.			
Form 5 obligatio may cont See Instruction 1(b).	ns Section 17	7(a) of the	Public Ut		ing Con	npan	y Act of	e Act of 1934, 1935 or Section 0	1		
(Print or Type l	Responses)										
Cranston Kenneth J Sy U			Symbol UNIVE	· Name and RSAL TE UTE INC	CHNIC		ng	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Last) 16220 N. S0		Earliest Tra				Director 10% Owner Selection Other (specify below) SVP Admissions					
ROAD, STI	E 100							341	Admissions		
				endment, Date Original nth/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person			
SCOTTSDA	ALE, AZ 85254							Form filed by M Person	fore than One Re	porting	
(City)	(State)	(Zip)	Table	e I - Non-D	erivative	Secur	ities Acq	uired, Disposed of	, or Beneficial	ly Owned	
1.Title of Security (Month/Day/Year) 2A. Dee Execution (Instr. 3) 2. Transaction Date 2A. Dee Execution any (Month/			*			5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)				
				Code V	Amount	or (D)	Price	(Instr. 3 and 4)			
Common Stock, \$0.0001 par value	09/23/2014			F	1,304 (1)	D	\$ 10.34	58,427	D		
Common Stock,	09/23/2014			F	1,169	D	\$	57,258	D		

(2)

10.34

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Edgar Filing: UNIVERSAL TECHNICAL INSTITUTE INC - Form 4

(e.g., puts, calls, warrants, options, convertible securities)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. of Oderivative	6. Date Exerc Expiration D (Month/Day/	ate	7. Titl Amou Under Secur	ınt of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene
()	Derivative		((222227-0)	Securities				. 3 and 4)	(======================================	Own
	Security				Acquired						Follo
					(A) or Disposed						Repo Trans
					of (D)						(Instr
					(Instr. 3,						(IIIsti
					4, and 5)						
					(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of		
				Code V	(A) (D)				Shares		

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Cranston Kenneth J 16220 N. SCOTTSDALE ROAD STE 100 SCOTTSDALE, AZ 85254

SVP Admissions

Signatures

/s/ Kenneth J. 09/24/2014 Cranston

**Signature of Date
Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Vesting of Restricted Stock granted on 09/20/2011. Shares withheld to satisfy tax liability.
- (2) Vesting of Restricted Stock granted on 09/20/2012. Shares withheld to satisfy tax liability.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2