#### Edgar Filing: BLACKHAWK NETWORK HOLDINGS, INC - Form 3

#### BLACKHAWK NETWORK HOLDINGS, INC

Form 3

December 13, 2013

# FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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January 31, 2005

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, response...
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *  TATE DAVID C			2. Date of Event Requiring Statement (Month/Day/Year) 12/04/2013	3. Issuer Name and Ticker or Trading Symbol BLACKHAWK NETWORK HOLDINGS, INC [HAWK]					
(Last)	(First)	(Middle)	12/04/2013	4. Relationship of Reporting Person(s) to Issuer			5. If Amendment, Date Origina Filed(Month/Day/Year)		
6220 STON	ERIDGE M	MALL RD		(Chaola	all appliachla	<b>\</b>	11100(1120111112 tg) (1011)		
	(Street)			(Check all applicable)			6. Individual or Joint/Group		
PLEASANT	TON, CA.	94588		Director _X Officer (give title below SVP, Produ	Othe	ow)	Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person		
(City)	(State)	(Zip)	Table I - N	Non-Derivat	ive Securit	ies Be	neficially Owned		
1.Title of Secu (Instr. 4)	rity		2. Amount of Beneficially (Instr. 4)		3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Na Owne (Instr	*		
Class A Cor	mmon Stoc	k	27,288 (1)		D	Â			
Reminder: Rep owned directly			ch class of securities benefici	ially SI	EC 1473 (7-02	2)			
	inforn requir	nation conta ed to respo	pond to the collection of ained in this form are not nd unless the form displ MB control number.						

#### Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security	2. Date Exer	cisable and	3. Title and	Amount of	4.	5.	<ol><li>Nature of Indirect</li></ol>
(Instr. 4)	Expiration D	Expiration Date		nderlying	Conversion	Ownership	Beneficial
	(Month/Day/Year)		Derivative Security		or Exercise	Form of	Ownership
			(Instr. 4)		Price of	Derivative	(Instr. 5)
	Date	eate Expiration xercisable Date	Title	Amount or Number of	Derivative	Security:	
					Security Direct (D)		
	Exercisable					or Indirect	

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				Shares		(I) (Instr. 5)	
Class B Common Stock	(2)	(2)	Class A Common Stock	2,371	\$ 0	D	Â
Stock Option (Right to Buy)	(3)	05/05/2016	Class B Common Stock	1,000	\$ 9.15	D	Â
Stock Option (Right to Buy)	(4)	08/24/2016	Class B Common Stock	1,000	\$ 12.27	D	Â
Stock Option (Right to Buy)	(5)	03/09/2017	Class B Common Stock	3,800	\$ 13.63	D	Â
Stock Option (Right to Buy)	(6)	10/18/2018	Class B Common Stock	7,500	\$ 21.03	D	Â
Stock Option (Right to Buy)	(7)	03/26/2020	Class B Common Stock	27,500	\$ 20	D	Â
Stock Appreciation Rights	(8)	05/14/2019	Class B Common Stock	22,500	\$ 18.49	D	Â

## **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
TATE DAVID C 6220 STONERIDGE MALL RD PLEASANTON, CA 94588	Â	Â	SVP, Products and Marketing	Â		

### **Signatures**

/s/ Suzan S. Rowland, as Attorney-in-Fact for David C. Tate

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Includes 15,000 unvested Restricted Stock Awards, which vest pursuant to the following schedule: Twenty-Five Percent (25%) of the shares subject to the award vest on each of the first, second, third, and fourth anniversary of June 3, 2013, subject to the Reporting Person's continued employment or service relationship with the Issuer on each such vesting date.
- Each share of Class B Common Stock is convertible at any time at the option of the Reporting Person into one share of Class A Common (2) Stock and has no expiration date. Class B Common Stock will convert automatically into Class A Common Stock upon the occurrence of a Conversion Event, as such term is defined in section 3(a)(ii) of the Issuer's Amended and Restated Certificate of Incorporation.

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- The shares vest pursuant to the following schedule: Twenty Percent (20%) of the shares subject to the option vest on each of the first, (3) second, third, fourth and fifth anniversary of May 5, 2009, subject to the Reporting Person's continued employment or service relationship with the Issuer on each such vesting date.
- The shares vest pursuant to the following schedule: Twenty Percent (20%) of the shares subject to the option vest on each of the first, second, third, fourth and fifth anniversary of August 24, 2009, subject to the Reporting Person's continued employment or service relationship with the Issuer on each such vesting date.
- The shares vest pursuant to the following schedule: Twenty Percent (20%) of the shares subject to the option vest on each of the first, second, third, fourth and fifth anniversary of March 9, 2010, subject to the Reporting Person's continued employment or service relationship with the Issuer on each such vesting date.
- The shares vest pursuant to the following schedule: Twenty Percent (20%) of the shares subject to the option vest on each of the first, second, third, fourth and fifth anniversary of March 14, 2011, subject to the Reporting Person's continued employment or service relationship with the Issuer on each such vesting date.
- The shares vest pursuant to the following schedule: Twenty-Five Percent (25%) of the shares subject to the option vest on each of the (7) first, second, third, and fourth anniversary of March 26, 2013, subject to the Reporting Person's continued employment or service relationship with the Issuer on each such vesting date.
- The shares vest pursuant to the following schedule: Twenty Percent (20%) of the shares subject to the option vest on each of the first, second, third, fourth and fifth anniversary of March 14, 2012, subject to the Reporting Person's continued employment or service relationship with the Issuer on each such vesting date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.