#### MITAROTONDA JAMES A

Form 4 April 13, 2007

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** Number:

3235-0287

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January 31, 2005

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**OMB APPROVAL** 

response...

if no longer subject to Section 16. Form 4 or Form 5 obligations may continue.

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

See Instruction

1. Name and Address of Reporting Person \* MITAROTONDA JAMES A

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

(Last) (First)

(Middle)

SCHULMAN A INC [SHLM]

(Check all applicable)

3. Date of Earliest Transaction (Month/Day/Year) 04/11/2007

\_X\_\_ Director Officer (give title

10% Owner Other (specify

C/O BARINGTON CAPITAL GROUP, L.P., 888 SEVENTH **AVENUE, 17TH FLOOR** 

> (Street) 4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check

Applicable Line)

Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

NEW YORK, NY 10019

(City)	(State)	(Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned									
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securit owr Dispos (Instr. 3, 4	ed of (		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Common Stock	04/11/2007		A A	2,500	A (1)	\$ 0	4,500	D			
Common Stock	04/11/2007		P	5,391	A	\$ 21.9722	403,307	I	By Barington Investments, L.P. (2) (3)		
Common Stock	04/12/2007		P	3,262	A	\$ 22	406,569	I	By Barington Investments, L.P. (2) (3)		
Common Stock	04/11/2007		P	2,745	A	\$ 21.9722	964,695	I	By Barington Companies		

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Common Stock	04/12/2007	P	6,459	A	\$ 22	971,154	I	Offshore Fund, Ltd. (2) (3)  By Barington Companies Offshore Fund, Ltd. (2)
								(3)
Common Stock	04/11/2007	P	14,821	A	\$ 21.9722	593,739	I	By Barington Companies Equity Partners, L.P. (2) (3)
Common Stock	04/12/2007	P	4,169	A	\$ 22	597,908	I	By Barington Companies Equity Partners, L.P. (2) (3)
Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.								
			infoi requ	rmatio iired t lays a		SEC 1474 (9-02)		

# $\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (e.g., puts, calls, warrants, options, convertible securities) \end{tabular}$

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transact Code (Instr. 8)	Derivative	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. F Der Sec (Ins
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Restricted Stock Units (4)	<u>(5)</u>	04/11/2007		A	500	<u>(4)</u>	04/11/2010	Common Stock	500	

# **Reporting Owners**

**Reporting Owner Name / Address** 

### Relationships

Director 10% Owner Officer Other

MITAROTONDA JAMES A C/O BARINGTON CAPITAL GROUP, L.P. 888 SEVENTH AVENUE, 17TH FLOOR NEW YORK, NY 10019



# **Signatures**

/s/ James A. Mitarotonda

04/13/2007

\*\*Signature of Reporting

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The reported award represents a grant of restricted stock pursuant to the A. Schulman, Inc. 2006 Equity Incentive Plan ("Plan").

  The restrictions on the common stock large with respect to 833 shares on each of April 11, 2008 and April 11, 2009 and with
- (1) The restrictions on the common stock lapse with respect to 833 shares on each of April 11, 2008 and April 11, 2009 and with respect to 834 shares on April 11, 2010.
- Fund, Ltd. ("Barington Fund") and Barington Investments, L.P. ("Barington Investments") is described in this footnote. The Reporting Person is the managing member of Barington Companies Investors, LLC ("Barington Investors"), which is the general partner of Barington. The Reporting Person is the sole stockholder and director of LNA Capital Corp., which is the general partner of Barington Capital Group, L.P. ("Barington Capital"). Barington Capital is the majority member of Barington Companies Advisors, LLC which is the general partner of Barington Investments. Barington Capital is also the majority member of Barington Investors as well as the investment advisor of the Barington Fund.

The Reporting Person's relationship to Barington Companies Equity Partners, L.P. ("Barington"), Barington Companies Offshore

- The Reporting Person disclaims beneficial ownership of these securities, except to the extent of his pecuniary interest therein, and this report shall not be deemed to be an admission that the Reporting Person is the beneficial owner of these securities for purposes of Section 16 or for any other purpose.
- The reported award represents a grant of restricted stock units pursuant to the Plan. The restricted stock units will be settled for cash in an amount equal to the fair market value of a share of A. Schulman, Inc. common stock on the applicable vesting date. The restricted stock vests with respect to 166 restricted stock units on each of April 11, 2008 and April 11, 2009 and with respect to 167 restricted stock units on April 11, 2010.
- (**5**) 1-for-1

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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