Solexa, Inc. Form 4 January 30, 2007

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer

subject to Section 16. Form 4 or Form 5

obligations may continue. See Instruction

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

(Last)

(Instr. 3)

1. Name and Address of Reporting Person \* Smith Tony

(First) (Middle)

C/O SOLEXA, INC., 25861 INDUSTRIAL BLVD.

HAYWARD, CA 94545

(Street)

2. Issuer Name and Ticker or Trading Symbol

Solexa, Inc. [SLXA]

3. Date of Earliest Transaction

(Month/Day/Year) 01/26/2007

4. If Amendment, Date Original

Filed(Month/Day/Year)

**OMB** 

Number:

Expires:

response...

Estimated average

burden hours per

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

5. Relationship of Reporting Person(s) to Issuer

below)

(Check all applicable)

Director 10% Owner X\_ Officer (give title Other (specify

VP & Chief Scientific Officer

6. Individual or Joint/Group Filing(Check

Applicable Line) \_X\_ Form filed by One Reporting Person

Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

(City) (State) (Zip)

1. Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year)

Execution Date, if (Month/Day/Year)

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 3, 4 and 5) (Instr. 8)

Code V Amount (D) Price

5. Amount of

Securities Beneficially Owned Following Reported

6. Ownership Form: Direct (D) or Indirect Beneficial (I) (Instr. 4)

7. Nature of Indirect Ownership (Instr. 4)

Transaction(s) (Instr. 3 and 4)

(A)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Conversion Security or Exercise

3. Transaction Date 3A. Deemed (Month/Day/Year)

Execution Date, if any

4. 5. Number of TransactionDerivative Code Securities

6. Date Exercisable and **Expiration Date** (Month/Day/Year)

7. Title and Amount of 8 **Underlying Securities** (Instr. 3 and 4)

## Edgar Filing: Solexa, Inc. - Form 4

(Instr. 3)	Price of Derivative Security		(Month/Day/Year)	(Instr. 8)	Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)					(
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Stock Option (Right to Buy)	\$ 4.27	01/26/2007		D	44,318	<u>(1)</u>	05/22/2012	Common Stock	44,318	
Stock Option (Right to Buy)	\$ 1.75	01/26/2007		D	8,863	(2)	05/19/2013	Common Stock	8,863	
Stock Option (Right to Buy)	\$ 1.28	01/26/2007		D	10,651	(3)	09/10/2014	Common Stock	10,651	
Stock Option (Right to Buy)	\$ 1.28	01/26/2007		D	16,639	<u>(4)</u>	09/27/2014	Common Stock	16,639	
Stock Option (Right to Buy)	\$ 1.28	01/26/2007		D	4,088	<u>(5)</u>	09/27/2014	Common Stock	4,088	
Stock Option (Right to Buy)	\$ 1.28	01/26/2007		D	4,088	<u>(6)</u>	09/27/2014	Common Stock	4,088	
Stock Option (Right to Buy)	\$ 5.97	01/26/2007		D	88,000	<u>(7)</u>	09/06/2015	Common Stock	88,000	
Stock Option (Right to Buy)	\$ 8.6	01/26/2007		D	75,000	(8)	02/02/2016	Common Stock	75,000	

# **Reporting Owners**

Reporting Owner Name / Address	Relationships				
	Director	10% Owner	Officer	Other	

Reporting Owners 2

Smith Tony C/O SOLEXA, INC. 25861 INDUSTRIAL BLVD. HAYWARD, CA 94545

VP & Chief Scientific Officer

# **Signatures**

/s/ Tony Smith, by Kathy San Roman, attorney-in-fact

01/29/2007

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
  - This option, which provided for vesting of 25% on January 28, 2003 and monthly thereafter over 36 months, was assumed by Illumina,
- (1) Inc. in connection with the merger with Solexa, Inc. and replaced with an option to purchase 15,245 shares at an exercise price of \$12.41 per share.
  - This option, which provided for vesting of 25% on December 3, 2003 and monthly thereafter over 36 months, was assumed by Illumina,
- (2) Inc. in connection with the merger with Solexa, Inc. and replaced with an option to purchase 3,048 shares at an exercise price of \$5.09 per share.
  - This option, which provided for vesting of 25% on September 10, 2005 and monthly thereafter over 36 months, was assumed by Illumina,
- (3) Inc. in connection with the merger with Solexa, Inc. and replaced with an option to purchase 3,663 shares at an exercise price of \$3.72 per share.
- This option, which provided for vesting of 25% on September 10, 2005 and monthly thereafter over 36 months, was assumed by Illumina,

  (4) Inc. in connection with the merger with Soleya. Inc. and replaced with an option to purchase 5.723 shares at an exercise price of \$3.72 per
- (4) Inc. in connection with the merger with Solexa, Inc. and replaced with an option to purchase 5,723 shares at an exercise price of \$3.72 per share.
- (5) This option, which provided for vesting of 25% on June 1, 2005 and monthly thereafter over 36 months, was assumed by Illumina, Inc. in connection with the merger with Solexa, Inc. and replaced with an option to purchase 1,406 shares at an exercise price of \$3.72 per share.
  - This option, which provided for vesting of 25% on April 1, 2005 and monthly thereafter over 36 months, was assumed by Illumina, Inc.
- (6) in connection with the merger with Solexa, Inc. and replaced with an option to purchase 1,406 shares at an exercise price of \$3.72 per share.
- This option, which provided for vesting monthly and ratably over the 48 month period beginning on September 6, 2005, was assumed by (7) Illumina, Inc. in connection with the merger with Solexa, Inc. and replaced with an option to purchase 30,272 shares at an exercise price of \$17.35 per share.
- This option, which provided for vesting monthly and ratably over the 48 month period beginning on February 2, 2006, was assumed by Illumina, Inc. in connection with the merger with Solexa, Inc. and replaced with an option to purchase 25,800 shares at an exercise price of \$25.00 per share.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 3