Edgar Filing: LOCKHART THOMAS A - Form 4

LOCKHART THOMAS A Form 4 January 03, 2007									
FORM A		RITIES An			COMMISSIO	N OMB Number:	PPROVAL 3235-0287 January 31,		
Section 16. Form 4 or Form 5 Filed pure	suant to Section	SECUI 16(a) of th Itility Hol	RITIES ne Securit ding Con	ies Exchan pany Act	WNERSHIP OF nge Act of 1934, of 1935 or Secti 940	Estimated burden hou response	2005 average urs per		
1. Name and Address of Reporting I LOCKHART THOMAS A	Symbol	er Name an COAL II		Trading	5. Relationship o Issuer				
(Last) (First) (M ONE CITYPLACE DRIVE		of Earliest T Day/Year) 2006	ransaction		(Check all applicable) <u>X</u> Director Officer (give title <u>10%</u> Owner Other (specify below)				
(Street) 4. If Amendment, Da Filed(Month/Day/Year ST. LOUIS, MO 63141			-	Applicable Line) _X_ Form filed by			oint/Group Filing(Check One Reporting Person More than One Reporting		
(City) (State)	(Zip) Tab	ole I - Non-l	Derivative	Securities A	cquired, Disposed	of, or Beneficia	lly Owned		
(Instr. 3)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 8) (Instr. 3, 4 and 5) (A) or		(A) or of (D) and 5) (A) or	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Reminder: Report on a separate line	for each class of sec	Code V urities bene	ficially own Person inform require	ns who res ation cont ed to respo		n are not rm	SEC 1474 (9-02)		

number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Number	6. Date Exercisable and	7. Title and Amount of	8. Price
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transactio	onof	Expiration Date	Underlying Securities	Derivativ
Security	or Exercise		any	Code	Derivative	(Month/Day/Year)	(Instr. 3 and 4)	Security
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Securities			(Instr. 5)

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	Derivative Security				Acqui (A) or Dispo of (D) (Instr. and 5)	sed 3, 4,					
			Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Phantom Stock	<u>(1)</u>	12/29/2006	А		466		(2)	(2)	Common Stock	466	\$ 30.0

Reporting Owners

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
LOCKHART THOMAS A ONE CITYPLACE DRIVE ST. LOUIS, MO 63141	Х							
Signatures								
/s/ Gregory A. Billhartz, Attorney-in-Fact	01/02/2007							

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Each share of phantom stock represents a right to receive the value in cash of one share of Arch Coal, Inc. common stock. The shares of phantom stock are held by the director through the Arch Coal, Inc. Deferred Compensation Plan for Non-Employee Directors (the "Plan") and represent past compensation that the director elected to defer under the Plan into a hypothetical investment in shares of Arch Coal, Inc. common stock and/or dividends attributable to such deferred amounts.

(2) Shares of phantom stock are payable in cash following termination of the director's service as a director of Arch Coal, Inc. The director may transfer certain portions of the phantom stock account into an alternative investment account at any time.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.