## Edgar Filing: Stone Arcade Acquisition CORP - Form 4

Stone Arcade Acquisition CORP Form 4 October 12, 2005

October 12	, 2005									
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION								OMB APPROVAL		
	N OMB Number:	3235-0287								
Check t if no lor subject	nger STATEN	MENT OF C	Washington, D.C. 20549 F CHANGES IN BENEFICIAL OWNERSHIP OF					January 31, 2005 average		
	Section 16. SECURITIES Form 4 or							urs per . 0.5		
Form 5 obligati may con <i>See</i> Inst 1(b).	ons ntinue. Section 17(	(a) of the Publ		ding Cor	mpany Act	nge Act of 1934, of 1935 or Secti 940	,			
(Print or Type	Responses)									
1. Name and STONE R	Address of Reporting OGER W		Issuer Name <b>and</b> nbol	l Ticker oi	r Trading	5. Relationship of Reporting Person(s) to Issuer				
			one Arcade Ac CDEW]	quisition	n CORP	(Check all applicable)				
(Last) (First) (Middle)			3. Date of Earliest Transaction (Month/Day/Year)			_X_ Director 10% Owner _X_ Officer (give title Other (specify				
INVESTM	IE-KAPLAN IENTS, LLC, ONI ELD PLAZA, SU	10/ E	/10/2005			below) Chairmar	below) 1 of the Board a	nd CEO		
(Street)			f Amendment, Da	ate Origina	al	6. Individual or Joint/Group Filing(Check				
			ed(Month/Day/Year	.)		Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting				
NORTHFI	ELD, IL 60093					Person		leponnig		
(City)	(State)	(Zip)	Table I - Non-D	Derivative	Securities A	cquired, Disposed	of, or Beneficia	ally Owned		
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date any (Month/Day/Ye	Code	4. Securit nAcquired Disposed (Instr. 3, -	(A) or of (D) 4 and 5) (A) or	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
			Code V	Amount	(D) Price	(msu. <i>3</i> and 4)				
Reminder: Re	eport on a separate line	e for each class o	of securities benef	icially ow	ned directly o	or indirectly.				
				Perso	ons who res	pond to the colle	ection of	SEC 1474		

information contained in this form are not required to respond unless the form displays a currently valid OMB control number. (9-02)

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transac Code (Instr. 8		orDerivative Securities Acquired or Dispose (D)	Securities (Month/Day/Year) Acquired (A) or Disposed of D) Instr. 3, 4,		ate	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Common Stock Warrants (rights to purchase)	\$ 5	10/10/2005		A		15,400		<u>(1)</u>	08/15/2009	Common Stock	15,400
Common Stock Warrants (rights to purchase)	\$ 5	10/11/2005		A		19,250		<u>(1)</u>	08/15/2009	Common Stock	19,250

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## **Reporting Owners**

Reporting Owner Name / Address		Relationships						
1	Director	10% Owner	Officer	Other				
STONE ROGER W C/O STONE-KAPLAN INVESTMENTS, LLC ONE NORTHFIELD PLAZA, SUITE 480 NORTHFIELD, IL 60093	Х		Chairman of the Board and CEO					
Signatures								
/s/ Roger W. 10/12/2005								

Reporting Person

10/12/2005 Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The warrants will become exercisable on the later of: (i) the completion by the Company of a business combination with a target business; and (ii) August 15, 2006.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.