NACCO INDUSTRIES INC Form SC 13G/A February 13, 2018

# SCHEDULE 13G/A

CUSIP No. 629579103

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# **UNITED STATES**

# SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

# **SCHEDULE 13G/A**

(Rule 13d-102)

**Under the Securities Exchange Act of 1934** 

(Amendment No. 10)

**NACCO Industries, Inc.** 

(Name of Issuer)

Class A Common Stock, par value \$1.00 per share

(Title of Class of Securities)

629579103

(CUSIP Number)

December 31, 2017

# Edgar Filing: NACCO INDUSTRIES INC - Form SC 13G/A

# (Date of Event Which Requires Filing of this Statement)

Check the appropriate box to designate the rule pursuant to which this Schedule is filed:	
Rule 13d-1(b)	

Rule 13d-1(d)

Rule 13d-1(c)

\* The remainder of this cover page shall be filled out for a reporting person s initial filing on this form with respect to the subject class of securities, and for any subsequent amendment containing information which would alter the disclosures provided in a prior cover page.

The information required on the remainder of this cover page shall not be deemed to be filed for the purpose of Section 18 of the Securities Exchange Act of 1934 ( Act ) or otherwise subject to the liabilities of that section of the Act but shall be subject to all other provisions of the Act (however, see the Notes).

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1 Names of reporting person	1	Names of rep	orting	persons
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Beatrice B. Taplin

- 2 Check the appropriate box if a member of a group\*
  - (a) (b)
- 3 SEC use only
- 4 Citizenship or place of organization

**USA** 

5 Sole voting power

Number of

shares 60,148

6 Shared voting power

beneficially

owned by

455,338

each

7 Sole dispositive power

reporting

60,148

person

8 Shared dispositive power

with

455,338

9 Aggregate amount beneficially owned by each reporting person

515,486

10 Check box if the aggregate amount in Row (9) excludes certain shares\*

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11	Percent of	f class rei	presented 1	by amount	in Row	(9)
11	I CICCIII OI	Clubb IC	DI COCIIICA I	o y amioam	111 110 11	( / /

9.76%

12 Type of reporting person\*

IN

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Name of Issuer: Item 1(a). NACCO Industries, Inc.

Item 1(b). Address of Issuer s Principal Executive 5875 Landerbrook Drive

Offices:

Mayfield Heights, Ohio 44124-4017

Item 2(a). Name of Person Filing: Beatrice B. Taplin

**Address or Principal Business Office Item 2(b).** 11 Cherry Hills Drive

or, if none, Residence:

Englewood, Colorado 80110

Citizenship: United States of America Item 2(c).

Title of Class of Securities: Class A Common Stock, Par Value \$1.00 Per Share Item 2(d).

**CUSIP Number:** 629579103 Item 2(e).

#### Item 3. If this statement is filed pursuant to Rule 13d-1(b), or 13d-2(b) or (c), check whether the person filing is a:

- Broker or dealer registered under section 15 of the Act (15 U.S.C. 780) (a)
- Bank as defined in section 3(a)(6) of the Act (15 U.S.C. 78c) (b)
- Insurance company as defined in section 3(a)(19) of the Act (15 U.S.C. 78c) (c)
- (d) Investment company registered under section 8 of the Investment Company Act of 1940 (15 U.S.C. 80a-8)
- (e) Investment adviser in accordance with §240.13d-1(b)(1)(ii)(E)
- (f) Employee benefit plan or endowment fund in accordance with §240.13d-1(b)(1)(ii)(F)
- Parent holding company or control person in accordance with §240.13d-1(b)(1)(ii)(G) (g)
- (h) Savings associations as defined in Section 3(b) of the Federal Deposit Insurance Act (12 U.S.C. 1813)
- (i) Church plan that is excluded from the definition of an investment company under section 3(c)(14) of the Investment Company Act of 1940 (15 U.S.C. 80a-3)
- (j) Non-U.S. institution in accordance with §240.13d-1(b)(1)(ii)(J)
- Group, in accordance with §240.13d-1(b)(1)(ii)(K). If filing as a non-U.S. institution in accordance (k) with §240.13d-1(b)(1)(ii)(J), please specify the type of institution:

#### Item 4. **Ownership:**

(a) Amount Beneficially Owned: 515,486 (b) Percent of Class: 9.76%

(c) Number of shares as to which such person has:

(i) sole power to vote or to direct the vote 60.148 (ii) shared power to vote or to direct the vote

455,338

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	<ul><li>(iii) sole power to dispose or to direct the disposition of</li><li>(iv) shared power to dispose or to direct the disposition of</li></ul>	60,148 455,338				
Item 5.	Ownership of Five Percent or Less of a Class:	Not Applicable				
Item 6.	Ownership of More than Five Percent on Behalf of Another Perso	on: Not Applicable				
Item 7.	em 7. Identification and Classification of the Subsidiary Which Acquired the Security Being Reported on by the Parent Holding Company or Control Person:  Not Applic					
Item 8.	Identification and Classification of Members of the Group:	Not Applicable				
Item 9.	Notice of Dissolution of Group:	Not Applicable				

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# Item 10. Certifications:

By signing below I certify that, to the best of my knowledge and belief, the securities referred to above were acquired and are held in the ordinary course of business and were not acquired and are not held for the purpose of or with the effect of changing or influencing the control of the issuer of the securities and were not acquired and are not held in connection with or as a participant in any transaction having that purpose or effect.

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# **SIGNATURE**

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this statement is true, complete and correct.

January 17, 2018

Date

/s/ Beatrice B. Taplin Signature

Beatrice B. Taplin Name/Title