HONDA MOTOR CO LTD Form SC 13G/A February 14, 2017

## **UNITED STATES**

## SECURITIES AND EXCHANGE COMMISSION

WASHINGTON, D.C. 20549

**SCHEDULE 13G** 

**Under the Securities Exchange Act of 1934** 

(Amendment No. 13)\*

Honda Motor Co., Ltd.

(Name of Issuer)

Common Stock

(Title of Class of Securities)

438128308

(CUSIP Number)

**December 31, 2016** 

(Date of Event Which Requires Filing of this Statement)

Check the appropriate box to designate the rule pursuant to which this Schedule is filed:

: Rule 13d-1(b)

: Rule 13d-1(c)

: Rule 13d-1(d)

The information required in the remainder of this cover page shall not be deemed to be filed for the purpose of Section 18 of the Securities Exchange Act of 1934 ( Act ) or otherwise subject to the liabilities of that section of the Act but shall be subject to all other provisions of the Act (however, see the Notes).

<sup>\*</sup> The remainder of this cover page shall be filled out for a reporting person s initial filing on this form with respect to the subject class of securities, and for any subsequent amendment containing information which would alter the disclosures provided in a prior cover page.

1	NAME (	OF R	EPORTING PERSON		
2		Mitsubishi UFJ Financial Group, Inc. CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP			
	(a)				
	(b)				
3	SEC USI	E ON	ILY		
4	CITIZEN	ISHI	P OR PLACE OF ORGANIZATION		
	Tokyo, Ja	apan 5	SOLE VOTING POWER		
NUMI	BER OF				
SHA	ARES	6	119,215,504 SHARED VOTING POWER		
BENEF	ICIALLY				
OWN	ED BY	7	-0- SOLE DISPOSITIVE POWER		
EA	ACH	,	SOLL DISTOSTITVE TO WER		
REPO	RTING				
PER	RSON	8	119,215,504 SHARED DISPOSITIVE POWER		
W	ITH				
9	AGGRE	GAT	-0- E AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON		
10	119,215,3 CHECK		HE AGGREGATE AMOUNT IN ROW (9) EXCLUDES CERTAIN SHARES		

- 11 PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (9)
  - 6.6%

12 TYPE OF REPORTING PERSON

FI

1	NAME C	OF R	EPORTING PERSON	
2	The Bank of Tokyo-Mitsubishi UFJ, Ltd. CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP			
	(a)			
	(b)			
3	SEC USI	E ON	ILY	
4	CITIZEN	ISHI	P OR PLACE OF ORGANIZATION	
	Tokyo, Ja	apan <b>5</b>	SOLE VOTING POWER	
NUME	BER OF			
SHA	ARES	6	36,686,801 SHARED VOTING POWER	
BENEF	ICIALLY			
	ED BY	7	-0- SOLE DISPOSITIVE POWER	
EA	СH	•	SOLL DIGITALITY OF THE CONTROL OF TH	
REPO	RTING			
PER	SON	8	36,686,801 SHARED DISPOSITIVE POWER	
$\mathbf{W}$	ITH			
9	AGGRE	GAT	-0- E AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON	
10	36,686,80 CHECK		HE AGGREGATE AMOUNT IN ROW (9) EXCLUDES CERTAIN SHARES	

- 11 PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (9)
  - 2.0%

12 TYPE OF REPORTING PERSON

FI

1	NAME (	NAME OF REPORTING PERSON		
2	CHECK (a)		apital Management, Inc. E APPROPRIATE BOX IF A MEMBER OF A GROUP	
	(b)			
3	SEC US	E ON	NLY	
4	CITIZEN	NSH	IP OR PLACE OF ORGANIZATION	
	Californi	ia, U <b>5</b>	nited States SOLE VOTING POWER	
NUM	IBER OF			
SH	IARES	6	101 SHARED VOTING POWER	
BENEF	TCIALLY			
OWN	NED BY		-0-	
Е	АСН	7	SOLE DISPOSITIVE POWER	
REPO	ORTING			
PE	RSON	8	101 SHARED DISPOSITIVE POWER	
W	VITH			
9	AGGRE	GАТ	-0- TE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON	
10	101 CHECK	IF T	THE AGGREGATE AMOUNT IN ROW (9) EXCLUDES CERTAIN SHARES	

- 11 PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (9)
  - 0.0%
- 12 TYPE OF REPORTING PERSON

IA

1	NAME	OF REPORTING PERS	SON

- Mitsubishi UFJ Trust and Banking Corporation
- 2 CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP
  - (a)
  - (b)
- 3 SEC USE ONLY
- 4 CITIZENSHIP OR PLACE OF ORGANIZATION

Tokyo, Japan

5 SOLE VOTING POWER

77,816,000

NUMBER OF SHARES

**6** SHARED VOTING POWER

BENEFICIALLY OWNED BY

EACH REPORTING PERSON

WITH

7 SOLE DISPOSITIVE POWER

77,816,000

-0-

8 SHARED DISPOSITIVE POWER

-0-

9 AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON

77,816,000

10 CHECK IF THE AGGREGATE AMOUNT IN ROW (9) EXCLUDES CERTAIN SHARES

- 11 PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (9)
  - 4.3%
- 12 TYPE OF REPORTING PERSON

FI

1 NAME OF	REPORTING PERSON

- Mitsubishi UFJ Kokusai Asset Management Co., Ltd.
- 2 CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP
  - (a)
  - (b)
- 3 SEC USE ONLY
- 4 CITIZENSHIP OR PLACE OF ORGANIZATION

Tokyo, Japan

5 SOLE VOTING POWER

11,468,400

**6** SHARED VOTING POWER

NUMBER OF SHARES BENEFICIALLY OWNED BY

-0-

EACH REPORTING PERSON

7 SOLE DISPOSITIVE POWER

**WITH** 

11,468,400

8 SHARED DISPOSITIVE POWER

-0-

9 AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON

11,468,400

10 CHECK IF THE AGGREGATE AMOUNT IN ROW (9) EXCLUDES CERTAIN SHARES

- 11 PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (9)
  - 0.6%
- 12 TYPE OF REPORTING PERSON

FI

1	NAME OF REPORTING PERSON			
2	MU Investments Co., Ltd. CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP			
	(a)			
	(b)			
3	SEC USE	E ON	ILY	
4	CITIZENSHIP OR PLACE OF ORGANIZATION			
	Tokyo, Ja	apan 5	SOLE VOTING POWER	
NUME	BER OF			
SHA	ARES	6	369,000 SHARED VOTING POWER	
BENEF	ICIALLY			
OWN	ED BY		-0-	
EA	СН	7	SOLE DISPOSITIVE POWER	
REPO	RTING			
PER	SON	8	369,000 SHARED DISPOSITIVE POWER	
$\mathbf{W}$	ITH			
9	AGGREG	GAT	-0- E AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON	
10	369,000 CHECK	IF T	HE AGGREGATE AMOUNT IN ROW (9) EXCLUDES CERTAIN SHARES	

- 11 PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (9)
  - 0.0%

12 TYPE OF REPORTING PERSON

FI

1	NAME OF REPORTING PERSON				
2		Mitsubishi UFJ Securities Holdings Co.,Ltd. CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP			
	(a)				
	(b)				
3	SEC USI	E ON	TLY		
4	CITIZENSHIP OR PLACE OF ORGANIZATION				
	Tokyo, Ja	apan <b>5</b>	SOLE VOTING POWER		
NUMI	BER OF				
SHA	ARES	6	4,712,703 SHARED VOTING POWER		
BENEF	ICIALLY				
OWN	ED BY		-0-		
EA	АСН	7	SOLE DISPOSITIVE POWER		
REPO	RTING				
PER	RSON	8	4,712,703 SHARED DISPOSITIVE POWER		
W	ITH				
9	AGGREG	GAT	-0- E AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON		
10	4,712,703 CHECK		HE AGGREGATE AMOUNT IN ROW (9) EXCLUDES CERTAIN SHARES		

- 11 PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (9)
  - 0.3%
- 12 TYPE OF REPORTING PERSON

FI

1	NAME (	OF R	EPORTING PERSON
2	Mitsubishi UFJ Morgan Stanley Securities Co., Ltd. CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP		
	(a)		
	(b)		
3	SEC USI	E ON	ILY
4	CITIZENSHIP OR PLACE OF ORGANIZATION		P OR PLACE OF ORGANIZATION
	Tokyo, Ja	apan 5	SOLE VOTING POWER
NUME	BER OF		
SHA	ARES	6	4,579,183 SHARED VOTING POWER
BENEF	ICIALLY		
	ED BY	7	-0- SOLE DISPOSITIVE POWER
EA	СH	,	SOLL DISTOSTITUL TO WERK
REPO	RTING		
PER	SON	8	4,579,183 SHARED DISPOSITIVE POWER
$\mathbf{W}$	ITH		
9	AGGRE	GAT	-0- E AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON
10	4,579,183 CHECK		HE AGGREGATE AMOUNT IN ROW (9) EXCLUDES CERTAIN SHARES

- 11 PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (9)
  - 0.3%

12 TYPE OF REPORTING PERSON

FI

1	NAME C	)FR	EPORTING PERSON	
2		kabu.com Securities Co., Ltd. CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP		
	(a)			
	(b)			
3	SEC USE	E ON	ILY	
4	CITIZEN	ISHI	P OR PLACE OF ORGANIZATION	
	Tokyo, Ja	apan 5	SOLE VOTING POWER	
NUMI	BER OF			
SHA	ARES	6	133,520 SHARED VOTING POWER	
BENEF	ICIALLY			
OWN	ED BY	7	-0- SOLE DISPOSITIVE POWER	
EA	ACH	,	SOLE DISPOSITIVE POWER	
REPO	RTING			
PER	RSON	8	133,520 SHARED DISPOSITIVE POWER	
W	ITH			
9	AGGREG	GAT	-0- E AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON	
10	133,520 CHECK	IF T	HE AGGREGATE AMOUNT IN ROW (9) EXCLUDES CERTAIN SHARES	

- 11 PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (9)
  - 0.0%

12 TYPE OF REPORTING PERSON

FI

#### ITEM 1

(a) Name of Issuer

Honda Motor Co., Ltd.

(b) Address of Issuer s Principal Executive Offices

1-1 Minami-Aoyama 2-chome, Minato-ku, Tokyo 107-8556, Japan

#### ITEM 2

## (a) Names of Persons Filing

Mitsubishi UFJ Financial Group, Inc. (MUFG)

The Bank of Tokyo-Mitsubishi UFJ, Ltd. (BTMU)

HighMark Capital Management, Inc. ( HCM )

Mitsubishi UFJ Trust and Banking Corporation ( MUTB )

Mitsubishi UFJ Kokusai Asset Management Co., Ltd. ( MUKAM )

MU Investments Co., Ltd. ( MUI )

Mitsubishi UFJ Securities Holdings Co.,Ltd. (MUSHD)

Mitsubishi UFJ Morgan Stanley Securities Co., Ltd. ( MUMSS )

kabu.com Securities Co., Ltd. (KC)

## (b) Address of Principal Business Office or, if none, Residence

#### MUFG:

7-1 Marunouchi 2-chome, Chiyoda-ku Tokyo 100-8330, Japan

#### BTMU:

7-1 Marunouchi 2-chome, Chiyoda-ku Tokyo 100-8388, Japan

#### HCM:

350 California Street, San Francisco, California 94104, USA

## MUTB:

4-5 Marunouchi 1-chome, Chiyoda-ku Tokyo 100-8212, Japan

## MUKAM:

12-1 Yurakucho 1-chome, Chiyoda-ku Tokyo 100-0006, Japan

## MUI:

3-11 Kandasurugadai 2-chome, Chiyoda-ku Tokyo 101-0062, Japan

## MUSHD:

5-2, Marunouchi 2-chome, Chiyoda-ku Tokyo 100-0005, Japan

## MUMSS:

5-2, Marunouchi 2-chome, Chiyoda-ku Tokyo 100-0005, Japan

## KC:

3-2 Otemachi 1-chome, Chiyoda-ku Tokyo 100-0004, Japan

(c) Citizenship

Not applicable.

(d) Title of Class of Securities

Common Stock

(e) CUSIP Number

438128308

# ITEM 3 If this statement is filed pursuant to §§ 240.13d-1(b) or 240.13d-2(b) or (c), check whether the person filing is a:

MUFG: (a) Broker or dealer registered under section 15 of the Act (15 U.S.C. 780);

- (b) Bank as defined in section 3(a)(6) of the Act (15 U.S.C. 78c);
- (c) Insurance company as defined in section 3(a)(19) of the Act (15 U.S.C. 78c);
- (d)[ Investment company registered under section 8 of the Investment Company Act of 1940 (15 U.S.C. 80a-8);
- (e)[ An investment adviser in accordance with § 240.13d-1(b)(1)(ii)(E);
- (f)[ ]An employee benefit plan or endowment fund in accordance with § 240.13d-1(b)(1)(ii)(F);
- (g) A parent holding company or control person in accordance with § 240.13d-1(b)(1)(ii)(G);
- (h)[ A savings association as defined in Section 3(b) of the Federal Deposit Insurance Act (12 U.S.C. 1813);
- (i)[ ]A church plan that is excluded from the definition of an investment company under section 3(c)(14) of the Investment Company Act of 1940 (15 U.S.C. 80a-3);
- (j)[ÖA non-U.S. institution in accordance with § 240.13d-1(b)(1)(ii)(J);
- (k) Group, in accordance with  $\S 240.13d-1(b)(1)(ii)(K)$ .

If filing as a non-U.S. institution in accordance with § 240.13d-1(b)(1)(ii)(J), please specify the type of institution: Parent holding company

- BTMU: (a)[ Broker or dealer registered under section 15 of the Act (15 U.S.C. 780);
  - (b) Bank as defined in section 3(a)(6) of the Act (15 U.S.C. 78c);
  - (c) Insurance company as defined in section 3(a)(19) of the Act (15 U.S.C. 78c);
  - (d)[ Investment company registered under section 8 of the Investment Company Act of 1940 (15 U.S.C. 80a-8);
  - (e) An investment adviser in accordance with § 240.13d-1(b)(1)(ii)(E);
  - (f) An employee benefit plan or endowment fund in accordance with § 240.13d-1(b)(1)(ii)(F);
  - (g)[ A parent holding company or control person in accordance with § 240.13d-1(b)(1)(ii)(G);
  - (h)[ A savings association as defined in Section 3(b) of the Federal Deposit Insurance Act (12 U.S.C. 1813);
  - (i)[ ]A church plan that is excluded from the definition of an investment company under section 3(c)(14) of the Investment Company Act of 1940 (15 U.S.C. 80a-3);
  - (i)[ÖA non-U.S. institution in accordance with § 240.13d-1(b)(1)(ii)(J);
  - (k)[ Group, in accordance with § 240.13d-1(b)(1)(ii)(K).

If filing as a non-U.S. institution in accordance with § 240.13d-1(b)(1)(ii)(J), please specify the type of institution: Bank

- HCM: (a) Broker or dealer registered under section 15 of the Act (15 U.S.C. 780);
  - (b) Bank as defined in section 3(a)(6) of the Act (15 U.S.C. 78c);
  - (c)[ Insurance company as defined in section 3(a)(19) of the Act (15 U.S.C. 78c);
  - (d)[ Investment company registered under section 8 of the Investment Company Act of 1940 (15 U.S.C. 80a-8);
  - (e)[ÖAn investment adviser in accordance with § 240.13d-1(b)(1)(ii)(E);
  - (f) An employee benefit plan or endowment fund in accordance with § 240.13d-1(b)(1)(ii)(F);
  - (g) A parent holding company or control person in accordance with § 240.13d-1(b)(1)(ii)(G);
  - (h)[ A savings association as defined in Section 3(b) of the Federal Deposit Insurance Act (12 U.S.C. 1813);
  - (i)[ ]

A church plan that is excluded from the definition of an investment company under section 3(c)(14) of the Investment Company Act of 1940 (15 U.S.C. 80a-3);

- (j)[ ]A non-U.S. institution in accordance with § 240.13d-1(b)(1)(ii)(J);
- (k)[ Group, in accordance with § 240.13d-1(b)(1)(ii)(K).

If filing as a non-U.S. institution in accordance with § 240.13d-1(b)(1)(ii)(J), please specify the type of institution: Not applicable

- MUTB: (a)[ Broker or dealer registered under section 15 of the Act (15 U.S.C. 780);
  - (b) Bank as defined in section 3(a)(6) of the Act (15 U.S.C. 78c);
  - (c) Insurance company as defined in section 3(a)(19) of the Act (15 U.S.C. 78c);
  - (d)[ Investment company registered under section 8 of the Investment Company Act of 1940 (15 U.S.C. 80a-8);
  - (e)[ An investment adviser in accordance with § 240.13d-1(b)(1)(ii)(E);
  - (f) An employee benefit plan or endowment fund in accordance with § 240.13d-1(b)(1)(ii)(F);
  - (g)[ A parent holding company or control person in accordance with § 240.13d-1(b)(1)(ii)(G);
  - (h)[ A savings association as defined in Section 3(b) of the Federal Deposit Insurance Act (12 U.S.C. 1813);
  - (i)[ ]A church plan that is excluded from the definition of an investment company under section 3(c)(14) of the Investment Company Act of 1940 (15 U.S.C. 80a-3);
  - (i)[ÖA non-U.S. institution in accordance with § 240.13d-1(b)(1)(ii)(J);
  - (k) Group, in accordance with  $\S 240.13d-1(b)(1)(ii)(K)$ .

If filing as a non-U.S. institution in accordance with § 240.13d-1(b)(1)(ii)(J), please specify the type of institution: Bank

- MUKAM: (a) Broker or dealer registered under section 15 of the Act (15 U.S.C. 780);
  - (b) Bank as defined in section 3(a)(6) of the Act (15 U.S.C. 78c);
  - (c) Insurance company as defined in section 3(a)(19) of the Act (15 U.S.C. 78c);
  - (d)[ Investment company registered under section 8 of the Investment Company Act of 1940 (15 U.S.C. 80a-8);
  - (e)[ An investment adviser in accordance with § 240.13d-1(b)(1)(ii)(E);
  - (f) An employee benefit plan or endowment fund in accordance with § 240.13d-1(b)(1)(ii)(F);
  - (g)[ A parent holding company or control person in accordance with § 240.13d-1(b)(1)(ii)(G);
  - (h)[ A savings association as defined in Section 3(b) of the Federal Deposit Insurance Act (12 U.S.C. 1813);
  - (i)[

A church plan that is excluded from the definition of an investment company under section 3(c)(14) of the Investment Company Act of 1940 (15 U.S.C. 80a-3);

- (j)[ÖA non-U.S. institution in accordance with § 240.13d-1(b)(1)(ii)(J);
- (k)[ Group, in accordance with § 240.13d-1(b)(1)(ii)(K).

If filing as a non-U.S. institution in accordance with  $\S 240.13d-1(b)(1)(ii)(J)$ , please specify the type of institution: Investment adviser

- MUI: (a)[ Broker or dealer registered under section 15 of the Act (15 U.S.C. 780);
  - (b)[ Bank as defined in section 3(a)(6) of the Act (15 U.S.C. 78c);
  - (c) Insurance company as defined in section 3(a)(19) of the Act (15 U.S.C. 78c);
  - (d)[ Investment company registered under section 8 of the Investment Company Act of 1940 (15 U.S.C. 80a-8);
  - (e)[ An investment adviser in accordance with § 240.13d-1(b)(1)(ii)(E);
  - (f) An employee benefit plan or endowment fund in accordance with § 240.13d-1(b)(1)(ii)(F);
  - (g)[ A parent holding company or control person in accordance with § 240.13d-1(b)(1)(ii)(G);
  - (h)[ A savings association as defined in Section 3(b) of the Federal Deposit Insurance Act (12 U.S.C. 1813);
  - (i)[ ]A church plan that is excluded from the definition of an investment company under section 3(c)(14) of the Investment Company Act of 1940 (15 U.S.C. 80a-3);
  - (i)[ÖA non-U.S. institution in accordance with § 240.13d-1(b)(1)(ii)(J);
  - (k)[ Group, in accordance with § 240.13d-1(b)(1)(ii)(K).

If filing as a non-U.S. institution in accordance with § 240.13d-1(b)(1)(ii)(J), please specify the type of institution: Investment adviser

MUSHD: (a)[ Broker or dealer registered under section 15 of the Act (15 U.S.C. 780);

- (b)[ Bank as defined in section 3(a)(6) of the Act (15 U.S.C. 78c);
- (c) Insurance company as defined in section 3(a)(19) of the Act (15 U.S.C. 78c);
- (d)[ Investment company registered under section 8 of the Investment Company Act of 1940 (15 U.S.C. 80a-8);
- (e)[ An investment adviser in accordance with § 240.13d-1(b)(1)(ii)(E);
- (f)[An employee benefit plan or endowment fund in accordance with § 240.13d-1(b)(1)(ii)(F);
- (g)[ A parent holding company or control person in accordance with § 240.13d-1(b)(1)(ii)(G);
- (h)[ A savings association as defined in Section 3(b) of the Federal Deposit Insurance Act (12 U.S.C. 1813);
- (i)[ ]A church plan that is excluded from the definition of an investment company under section 3(c)(14) of the Investment Company Act of 1940 (15 U.S.C. 80a-3);
- (j)[ÖA non-U.S. institution in accordance with § 240.13d-1(b)(1)(ii)(J);
- (k) Group, in accordance with  $\S 240.13d-1(b)(1)(ii)(K)$ .

If filing as a non-U.S. institution in accordance with § 240.13d-1(b)(1)(ii)(J), please specify the type of institution: Broker-dealer

MUMSS: (a)[ Broker or dealer registered under section 15 of the Act (15 U.S.C. 780);

- (b) Bank as defined in section 3(a)(6) of the Act (15 U.S.C. 78c);
- (c) Insurance company as defined in section 3(a)(19) of the Act (15 U.S.C. 78c);
- (d)[ Investment company registered under section 8 of the Investment Company Act of 1940 (15 U.S.C. 80a-8);
- (e)[ An investment adviser in accordance with § 240.13d-1(b)(1)(ii)(E);
- (f)[An employee benefit plan or endowment fund in accordance with § 240.13d-1(b)(1)(ii)(F);
- (g) A parent holding company or control person in accordance with § 240.13d-1(b)(1)(ii)(G);
- (h)[ A savings association as defined in Section 3(b) of the Federal Deposit Insurance Act (12 U.S.C. 1813);

- (i)[ ]A church plan that is excluded from the definition of an investment company under section 3(c)(14) of the Investment Company Act of 1940 (15 U.S.C. 80a-3);
- (j)[ÖA non-U.S. institution in accordance with § 240.13d-1(b)(1)(ii)(J);
- (k)[ Group, in accordance with § 240.13d-1(b)(1)(ii)(K).

If filing as a non-U.S. institution in accordance with § 240.13d-1(b)(1)(ii)(J), please specify the type of institution: Broker-dealer

KC: (a)[ Broker or dealer registered under section 15 of the Act (15 U.S.C. 780);

- (b) Bank as defined in section 3(a)(6) of the Act (15 U.S.C. 78c);
- (c) Insurance company as defined in section 3(a)(19) of the Act (15 U.S.C. 78c);
- (d)[ Investment company registered under section 8 of the Investment Company Act of 1940 (15 U.S.C. 80a-8);
- (e)[ An investment adviser in accordance with § 240.13d-1(b)(1)(ii)(E);
- (f) An employee benefit plan or endowment fund in accordance with § 240.13d-1(b)(1)(ii)(F);
- (g)[ A parent holding company or control person in accordance with § 240.13d-1(b)(1)(ii)(G);
- (h)[ A savings association as defined in Section 3(b) of the Federal Deposit Insurance Act (12 U.S.C. 1813);
- (i)[ ]A church plan that is excluded from the definition of an investment company under section 3(c)(14) of the Investment Company Act of 1940 (15 U.S.C. 80a-3);
- (i) OA non-U.S. institution in accordance with § 240.13d-1(b)(1)(ii)(J);
- (k) Group, in accordance with  $\S 240.13d-1(b)(1)(ii)(K)$ .

If filing as a non-U.S. institution in accordance with § 240.13d-1(b)(1)(ii)(J), please specify the type of institution: Broker-dealer

## ITEM 4 Ownership

Provide the following information regarding the aggregate number and percentage of the class of securities of the issuer identified in Item 1.

## For MUFG

(a) Amount beneficially owned: 119,215,504

(b) Percent of class: 6.61%

(c) Number of shares as to which the person has:

(i) Sole power to vote or to direct the vote: 119,215,504

(ii) Shared power to vote or to direct the vote:

(iii) Sole power to dispose or to direct the disposition of: 119,215,504

-()-

(iv) Shared power to dispose or to direct the disposition of:

17

-0-

For BTMU					
(a) Amount beneficially owned:	36,686,801				
(b) Percent of class:	2.04%				
(c) Number of shares as to which the person has:					
(i) Sole power to vote or to direct the vote:	36,686,801				
(ii) Shared power to vote or to direct the vote:	-0-				
(iii) Sole power to dispose or to direct the disposition of:	36,686,801				
(iv) Shared power to dispose or to direct the disposition of:	-0-				
For HCM					
(a) Amount beneficially owned:	101				
(b) Percent of class:	0.00%				
(c) Number of shares as to which the person has:					
(i) Sole power to vote or to direct the vote:	101				
(ii) Shared power to vote or to direct the vote:	-0-				
(iii) Sole power to dispose or to direct the disposition of:	101				
(iv) Shared power to dispose or to direct the disposition of:	-0-				
For MUTB					
(a) Amount beneficially owned:	77,816,000				
(b) Percent of class:	4.32%				
(c) Number of shares as to which the person has:					
(i) Sole power to vote or to direct the vote:	77,816,000				
(ii) Shared power to vote or to direct the vote:	-0-				
(iii) Sole power to dispose or to direct the disposition of:	77,816,000				
(iv) Shared power to dispose or to direct the disposition of:	-0-				

# For MUKAM

(a)	Amount beneficially owned:	11,468,400
(b)	Percent of class:	0.64%
(c)	Number of shares as to which the person has:	
	(i) Sole power to vote or to direct the vote:	11,468,400
	(ii) Shared power to vote or to direct the vote:	-0-
	(iii) Sole power to dispose or to direct the disposition of:	11,468,400
	(iv) Shared power to dispose or to direct the disposition of:	-0-

For MUI	
(a) Amount beneficially owned:	369,000
(b) Percent of class:	0.02%
(c) Number of shares as to which the person has:	
(i) Sole power to vote or to direct the vote:	369,000
(ii) Shared power to vote or to direct the vote:	-0-
(iii) Sole power to dispose or to direct the disposition of:	369,000
(iv) Shared power to dispose or to direct the disposition of:	-0-
For MUSHD	
(a) Amount beneficially owned:	4,712,703
(b) Percent of class:	0.26%
(c) Number of shares as to which the person has:	
(i) Sole power to vote or to direct the vote:	4,712,703
(ii) Shared power to vote or to direct the vote:	-0-
(iii) Sole power to dispose or to direct the disposition of:	4,712,703
(iv) Shared power to dispose or to direct the disposition of:	-0-
For MUMSS	
(a) Amount beneficially owned:	4,579,183
(b) Percent of class:	0.25%
(c) Number of shares as to which the person has:	
(i) Sole power to vote or to direct the vote:	4,579,183
(ii) Shared power to vote or to direct the vote:	-0-
(iii) Sole power to dispose or to direct the disposition of:	4,579,183
(iv) Shared power to dispose or to direct the disposition of:	-0-

# For KC

(a)	Amount beneficially owned:	133,520
(b)	Percent of class:	0.01%
(c)	Number of shares as to which the person has:	
	(i) Sole power to vote or to direct the vote:	133,520
	(ii) Shared power to vote or to direct the vote:	-0-
	(iii) Sole power to dispose or to direct the disposition of:	133,520
	(iv) Shared power to dispose or to direct the disposition of:	-0-

ITEM 5 Ownership of Five Percent or Less of a Class

Not applicable.

ITEM 6 Ownership of More than Five Percent on Behalf of Another Person

Not applicable.

ITEM 7 Identification and Classification of the Subsidiary which Acquired the Security Being

Reported on by the Parent Holding Company or Control Person

As of December 31, 2016, MUFG beneficially owns 119,215,504 shares of the issuer indirectly through its subsidiaries as follows: BTMU holds 36,686,801 shares (indirectly through a subsidiary, HCM); MUTB holds 77,816,000 shares (indirectly through a subsidiary, MUKAM) (indirectly through a subsidiary, MUI); MUSHD holds 4,712,703 shares (indirectly through a subsidiary, MUMSS); an (indirectly through a subsidiary, KC).

ITEM 8 Identification and Classification of Members of the Group

Not applicable.

ITEM 9 Notice of Dissolution of Group

Not applicable.

ITEM 10 Certifications

By signing below I certify that, to the best of my knowledge and belief, the securities referred to above were acquired and are held in the ordinary course of business and were not acquired and are not held for the purpose of or with the effect of changing or influencing the control of the issuer of the securities and were not acquired and are not held in connection with or as a participant in any transaction having that purpose or effect.

## **SIGNATURE**

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this statement is true, complete and correct.

February 14, 2017

Mitsubishi UFJ Financial Group, Inc.

By: /s/ Hikaru Umehara Name: Hikaru Umehara

Title: Chief Manager, Credit & Investment Management

Division

## **SIGNATURE**

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this statement is true, complete and correct.

February 14, 2017

The Bank of Tokyo-Mitsubishi UFJ, Ltd.

By: /s/ Hikaru Umehara Name: Hikaru Umehara

Title: Chief Manager, Credit & Investment Management

Division

## **SIGNATURE**

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this statement is true, complete and correct.

February 14, 2017

HighMark Capital Management, Inc.

By: /s/ David B. Wines

Name: David B. Wines

Title: Chairman, President and CEO

## **SIGNATURE**

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this statement is true, complete and correct.

February 14, 2017

Mitsubishi UFJ Trust and Banking Corporation

By: /s/ Takayuki Yasuda Name: Takayuki Yasuda

Title: Executive Officer and General Manager, Trust

Assets Planning Division

## **SIGNATURE**

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this statement is true, complete and correct.

February 14, 2017

Mitsubishi UFJ Kokusai Asset Management Co., Ltd.

By: /s/ Hidemichi Kanesawa

Name: Hidemichi Kanesawa

Title: General Manager of Risk Management Division

## **SIGNATURE**

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this statement is true, complete and correct.

February 14, 2017

MU Investments Co., Ltd.

By: /s/ Yasuhiko Haraguchi

Name: Yasuhiko Haraguchi

Title: Director

## **SIGNATURE**

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this statement is true, complete and correct.

February 14, 2017

Mitsubishi UFJ Securities Holdings Co., Ltd.

By: /s/ Morio Hara Name: Morio Hara

Title: Deputy General Manager of Corporate Planning

Division

## **SIGNATURE**

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this statement is true, complete and correct.

February 14, 2017

Mitsubishi UFJ Morgan Stanley Securities Co., Ltd.

By: /s/ Morio Hara Name: Morio Hara

Title: Deputy General Manager of Corporate Planning

Division

## **SIGNATURE**

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this statement is true, complete and correct.

February 14, 2017

kabu.com Securities Co., Ltd.

By: /s/ Takeshi Amemiya

Name: Takeshi Amemiya

Title: Senior Managing Executive Officer, CFO