

ALLIANCE ONE INTERNATIONAL, INC.

Form 10-Q/A

August 06, 2015

**UNITED STATES**

**SECURITIES AND EXCHANGE COMMISSION**

**Washington, D.C. 20549**

**FORM 10-Q/A**

**(Amendment No. 1)**

**x QUARTERLY REPORT PURSUANT TO SECTION 13 OR 15(d) OF THE SECURITIES EXCHANGE  
ACT OF 1934**

**FOR THE QUARTERLY PERIOD ENDED June 30 2015.**

**.. TRANSITION REPORT PURSUANT TO SECTION 13 OR 15(d) OF THE SECURITIES EXCHANGE  
ACT OF 1934**

**FOR THE TRANSITION PERIOD FROM \_\_\_\_\_ TO \_\_\_\_\_.**

**Alliance One International, Inc.**

**(Exact name of registrant as specified in its charter)**

**Virginia**  
**(State or other jurisdiction**  
**of incorporation)**

**001-13684**  
**(Commission**  
**File Number)**  
**8001 Aerial Center Parkway**

**54-1746567**  
**(I.R.S. Employer**  
**Identification No.)**

**Morrisville, NC 27560-8417**

**(Address of principal executive offices)**

**(919) 379-4300**

**(Registrant's telephone number, including area code)**

Indicate by check mark whether the registrant (1) has filed all reports required to be filed by Section 13 or 15(d) of the Securities Exchange Act of 1934 during the preceding 12 months (or for such shorter period that the registrant was required to file such reports), and (2) has been subject to such filing requirements for the past 90 days. Yes ☒ No ☐

Indicate by check mark whether the registrant has submitted electronically and posted on its corporate Web site, if any, every Interactive Data File required to be submitted and posted pursuant to Rule 405 of Regulation S-T (§232.405 of this chapter) during the preceding 12 months (or for such shorter period that the registrant was required to submit and post such files). Yes ☒ No ☐

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Indicate by check mark whether the registrant is a large accelerated filer, an accelerated filer, a non-accelerated filer, or a smaller reporting company. See the definitions of large accelerated filer, accelerated filer and smaller reporting company in Rule 12b-2 of the Exchange Act.

Large accelerated filer ☐ Accelerated filer ☒

Non-accelerated filer ☐ (Do not check if a smaller reporting company) Smaller reporting company ☐

Indicate by check mark whether the registrant is a shell company (as defined in Rule 12b-2 of the Exchange Act). Yes ☐ No ☒

As of July 31, 2015, the registrant had 8,883,238 shares outstanding of Common Stock (no par value) excluding 785,313 shares owned by a wholly owned subsidiary. After the close of business on June 26, 2015, the registrant effected a one-for-ten reverse split of its Common Stock.

## **EXPLANATORY NOTE**

This Amendment No. 1 on Form 10-Q/A (this Amendment) of Alliance One International, Inc. (the Company) is being filed to correct an inadvertent error appearing on the cover page of the Company's Form 10-Q for the period ended June 30, 2015 filed on August 5, 2015 (the original Form 10-Q), which original Form 10-Q incorrectly included in the amount of shares of Common Stock outstanding on July 31, 2015 the shares owned by a wholly owned subsidiary rather than excluding such shares as had been indicated and incorrectly understated the number of shares owned by the wholly owned subsidiary by one share. This Amendment should be read in conjunction with the original Form 10-Q, which continues to speak as of the date of the original Form 10-Q. Except as specifically noted above, this Amendment does not modify or update disclosures in the original Form 10-Q.

As required, the Company is filing with this Amendment updated certifications of its Chief Executive Officer and Chief Financial Officer as Exhibits 31.01 and 31.02, which, in accordance with published guidance of the staff of the Securities and Exchange Commission, are abbreviated from the certifications filed with the original Form 10-Q in light of the limited scope of this Amendment.

## **Part II. Other Information**

### **Item 6. Exhibits.**

- 31.01 Certification of Chief Executive Officer pursuant to Section 302 of the Sarbanes-Oxley Act of 2002 (filed herewith)
- 31.02 Certification of Chief Financial Officer pursuant to Section 302 of the Sarbanes-Oxley Act of 2002 (filed herewith)

SIGNATURE

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned thereunto duly authorized.

Alliance One International, Inc.

/s/ Nicholas A. Fink  
Nichlas A. Fink

Date: August 6, 2015

Vice President - Controller and Chief Compliance  
Officer  
(Principal Accounting Officer)

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Exhibits

- |       |   |
|-------|---|
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