Tam Aaron Form 3 August 27, 2018

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number:

Expires:

response...

3235-0104

January 31, 2005

0.5

Estimated average burden hours per

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person *

Tam Aaron

(Last)

(First)

Statement

(Month/Day/Year)

07/24/2018

SITO MOBILE, LTD. [SITO]

(Middle)

C/O SITO MOBILE, LTD., 100 TOWN SQUARE

PLACE, SUITE 204

(Street)

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

4. Relationship of Reporting Person(s) to Issuer

Filed(Month/Day/Year)

(Check all applicable)

Director _X__ Officer

10% Owner

Other (give title below) (specify below) Interim Co-CFO

6. Individual or Joint/Group

5. If Amendment, Date Original

Filing(Check Applicable Line) _X_ Form filed by One Reporting

Person

Form filed by More than One

Reporting Person

JERSEY CITY, NJÂ 07310

(City) (State) (Zip)

1. Title of Security (Instr. 4)

2. Amount of Securities Beneficially Owned

(Instr. 4)

3. Ownership

Table I - Non-Derivative Securities Beneficially Owned

4. Nature of Indirect Beneficial Ownership

(Instr. 5) Form:

Direct (D) or Indirect (I) (Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially

owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date**

(Month/Day/Year)

Exercisable

3. Title and Amount of Securities Underlying Derivative Security

4. Conversion or Exercise

5. Ownership Form of

6. Nature of Indirect Beneficial Ownership (Instr. 5)

(Instr. 4)

Expiration Date

Title

Amount or Number of Derivative Security

Price of

Derivative Security: Direct (D)

Edgar Filing: Tam Aaron - Form 3

				Shares		or Indirect (I) (Instr. 5)	
Stock Option (Right to Buy)	(1)	11/09/2026	Common Stock	7,500	\$ 4.74	D	Â
Stock Option (Right to Buy)	(2)	11/30/2027	Common Stock	20,000	\$ 6.66	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships				
. 6	Director	10% Owner	Officer	Other	
Tam Aaron					
C/O SITO MOBILE, LTD.	â	â	Interim Co-CFO	â	
100 TOWN SQUARE PLACE, SUITE 204	А	А	A Interim Co-Cro	А	
JERSEY CITY, NJ 07310					

Signatures

/s/ Aaron Tam	08/27/2018		
**Signature of Reporting Person	Date		

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- 2,500 stock options vested on November 9, 2017, and the remaining 5,000 stock options will vest in equal increments on November 9, 2018 and November 9, 2019.
- (2) The stock options will vest in equal increments over four years, commencing on November 30, 2018.

Â

Remarks:

Exhibit 24 - Power of Attorney

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2