TMS International Corp. Form 4 October 18, 2013

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**SECURITIES** 

Check this box if no longer subject to

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF Section 16. Form 4 or

Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b).

OMB APPROVAL	_
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**OMB** 3235-0287 Number:

January 31, Expires: 2005

10% Owner

Other (specify

Estimated average burden hours per response... 0.5

(Print or Type Responses)

(Last)

(City)

Stock

1. Name and Address of Reporting Person \* Aronson Jerome David

(First)

(Street)

(State)

(Middle)

(Zip)

2. Issuer Name and Ticker or Trading Symbol

TMS International Corp. [TMS]

3. Date of Earliest Transaction (Month/Day/Year) 10/16/2013

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director

X\_ Officer (give title

C/O TMS INTERNATIONAL CORP.. 12 MONONGAHELA **AVENUE** 

4. If Amendment, Date Original Filed(Month/Day/Year)

below) Pres & COO of the Raw Mat & OG

6. Individual or Joint/Group Filing(Check Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

(1)

GLASSPORT, PA 15045

1.Title of	2. Transaction Date	2A. Deemed	3.	4. Securit	ies Ac	quired	5. Amount of	6. Ownership	7. Nature of
Security	(Month/Day/Year)	Execution Date, if	Transactio	on(A) or Dis	sposed	of (D)	Securities	Form: Direct	Indirect
(Instr. 3)		any	Code	(Instr. 3, 4	4 and 5	5)	Beneficially	(D) or	Beneficial
		(Month/Day/Year)	(Instr. 8)				Owned	Indirect (I)	Ownership
							Following	(Instr. 4)	(Instr. 4)
					(4)		Reported		
					(A)		Transaction(s)		
			C-J- V	A	or	D	(Instr. 3 and 4)		
~1			Code V	Amount	(D)	Price			
Class A						\$			
Common	10/16/2013		D	91,779	D	17.5	0	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

**SEC 1474** (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of actionDerivative Securities 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount Number Shares
Class B Common Stock	<u>(2)</u>	10/16/2013		D		190,777	(2)	<u>(2)</u>	Class A Common Stock	190,77
Employee Stock Option (Right to Buy)	\$ 13	10/16/2013		D		35,000	(3)	04/12/2021	Class A Common Stock	35,000
Employee Stock Option (Right to Buy)	\$ 11.18	10/16/2013		D		50,000	<u>(4)</u>	04/12/2022	Class A Common Stock	50,000
Employee Stock Option (Right to Buy)	\$ 13.35	10/16/2013		D		125,000	<u>(5)</u>	02/18/2023	Class A Common Stock	125,00

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Aronson Jerome David			Pres &				
C/O TMS INTERNATIONAL CORP.			COO of the				
12 MONONGAHELA AVENUE			Raw Mat &				
GLASSPORT, PA 15045			OG				

## **Signatures**

/s/ Leon Heller, attorney-in-fact for J. David Aronson 10/18/2013

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Disposed of pursuant to, and on the effective date of, the merger (the "Merger") contemplated by the Agreement and Plan of Merger dated as of August 23, 2013, among the issuer, Crystal Acquisition Company, Inc. and Crystal Merger Sub, Inc., in exchange for the

Reporting Owners 2

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merger consideration of \$17.50 per share in cash.

- (2) Shares of Class B Common Stock are convertible on a one-for-one basis at any time, at the option of the holder, into shares of Class A Common Stock. The Class B Common Stock has no expiration date.
  - These options were granted on April 12, 2011 and were scheduled to vest as follows: 10% on the first anniversary of the grant date, an additional 20% on the second anniversary of the grant date, an additional 30% on the third anniversary of the grant date and the remaining
- (3) 40% on the fourth anniversary of the grant date. These options were canceled in the Merger in exchange for a cash payment of \$157,500, representing (a) the number of shares underlying the option, multiplied by (b) the excess of \$17.50 over the exercise price per share of the option.
  - These options were granted on April 12, 2012 and were scheduled to vest as follows: 10% on the first anniversary of the grant date, an additional 20% on the second anniversary of the grant date, an additional 30% on the third anniversary of the grant date and the remaining
- (4) 40% on the fourth anniversary of the grant date. These options were canceled in the Merger in exchange for a cash payment of \$316,000, representing (a) the number of shares underlying the option, multiplied by (b) the excess of \$17.50 over the exercise price per share of the option.
  - These options were granted on February 18, 2013 and were scheduled to vest as follows: 10% on the first anniversary of the grant date, an additional 20% on the second anniversary of the grant date, an additional 30% on the third anniversary of the grant date and the remaining
- (5) 40% on the fourth anniversary of the grant date. These options were canceled in the Merger in exchange for a cash payment of \$518,750, representing (a) the number of shares underlying the option, multiplied by (b) the excess of \$17.50 over the exercise price per share of the option.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.