#### Edgar Filing: CZEREPAK ELIZABETH - Form 3

#### CZEREPAK ELIZABETH

Form 3 April 04, 2013

### FORM 3

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** Number:

3235-0104

**OMB APPROVAL** 

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

Expires:

January 31, 2005

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Estimated average burden hours per

response...

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

**SECURITIES** 

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person \*

CZEREPAK ELIZABETH

(Last)

(First)

(Middle)

Statement

(Month/Day/Year)

04/04/2013

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol CANCER GENETICS, INC [CGIX]

201 ROUTE 17 NORTH, 2ND

**FLOOR** 

(Street)

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original

Filed(Month/Day/Year)

(Check all applicable)

Chief Financial Officer

Director \_X\_\_ Officer

10% Owner Other (give title below) (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line)

\_X\_ Form filed by One Reporting Person

Form filed by More than One

Reporting Person

RUTHERFORD, NJÂ 07070

(City) (State) (Zip)

1. Title of Security

(Instr. 4)

Table I - Non-Derivative Securities Beneficially Owned

2. Amount of Securities Beneficially Owned

(Instr. 4)

Ownership Form:

4. Nature of Indirect Beneficial Ownership (Instr. 5)

Direct (D) or Indirect (I) (Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1473 (7-02)

#### Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)

4. Conversion or Exercise Price of

5. Ownership Form of Derivative Security:

6. Nature of Indirect Beneficial Ownership (Instr. 5)

Date Exercisable Expiration Date

Title

Amount or Number of

Shares

Derivative Security

Direct (D) or Indirect

(I)

						(Instr. 5)	
Stock Option (right to buy)	(1)	02/07/2021	Common Stock, par value \$0.0001 per share	13,680	\$ <u>(1)</u>	D	Â
Stock Option (right to buy)	(2)	02/07/2021	Common Stock, par value \$0.0001 per share	16,320	\$ <u>(2)</u>	D	Â

# **Reporting Owners**

Reporting Owner Name / Address	Relationships				
1	Director	10% Owner	Officer	Other	
CZEREPAK ELIZABETH 201 ROUTE 17 NORTH 2ND FLOOR RUTHERFORD, NJ 07070	Â	Â	Chief Financial Officer	Â	

## **Signatures**

/s/ Elizabeth Czerepak	04/04/2013		
**Signature of	Date		

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- This option is vested and fully exercisable as to 4,800 shares. The remaining shares vest in equal annual installments of 2,400 shares in each of 2013, 2014 and 2015 and as to the remaining 1,680 shares in 2016. The exercise price will be equal to the initial public offering price.
- (2) This option is vested and fully exercisable as to 6,240 shares. The remaining shares vest in equal in equal annual installments of 3,360 shares through December 31, 2015. The exercise price will be equal to the initial public offering price.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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