

CO INVESTMENT 2000 FUND LP

Form 4

February 10, 2012

**FORM 4**
**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

OMB  
Number: 3235-0287  
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2005  
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response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Cross Atlantic Capital Partners, Inc.

(Last) (First) (Middle)

FIVE RADNOR CORPORATE  
CENTER, SUITE 555, 100  
MATSONFORD ROAD

(Street)

RADNOR, 19087

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading  
Symbol

Rubicon Technology, Inc. [RBCN]

3. Date of Earliest Transaction  
(Month/Day/Year)

03/30/2010

4. If Amendment, Date Original  
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

\_\_\_\_ Director \_\_\_\_X\_\_\_\_ 10% Owner  
\_\_\_\_ Officer (give title below) \_\_\_\_ Other (specify below)

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
\_\_\_\_ Form filed by One Reporting Person  
\_X\_ Form filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)				5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price			
Common Stock	01/01/2011		J <sup>(1)(2)</sup>		1,879	A	\$ 0	5,076	I	See footnotes <sup>(1)</sup> <sup>(2)</sup>
Common Stock	12/14/2011		J <sup>(1)(2)</sup>		4,220 <sup>(3)</sup>	A	\$ 0	9,296	I	See footnotes <sup>(1)</sup> <sup>(2)</sup>
Common Stock								5,386,424 <sup>(4)</sup>	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Amount or Number of Shares
				Code	V	(A)	(D)	
Stock Option (Right to Buy)	\$ 19.49	03/30/2010		J <sup>(1)(2)</sup>	2,800	<sup>(5)</sup> 03/30/2020	Common Stock	2,800
Stock Option (Right to Buy)	\$ 20.2	04/01/2010		J <sup>(1)(2)</sup>	823	<sup>(6)</sup> 04/01/2020	Common Stock	823

## Reporting Owners

### Relationships

#### Reporting Owner Name / Address

Director    10% Owner    Officer    Other

Cross Atlantic Capital Partners, Inc.  
FIVE RADNOR CORPORATE CENTER, SUITE 555  
100 MATSONFORD ROAD  
RADNOR 19087

X

CROSS ATLANTIC TECHNOLOGY FUND L P  
FIVE RADNOR CORPORATE CENTER, SUITE 555  
100 MATSONFORD ROAD  
RADNOR, PA 19087

X

XATF Management, L.P.  
FIVE RADNOR CORPORATE CENTER, SUITE 555  
100 MATSONFORD ROAD  
RADNOR, PA 19087

X

X

CROSS ATLANTIC TECHNOLOGY FUND II LP  
FIVE RADNOR CORPORATE CENTER, SUITE 555  
100 MATSONFORD ROAD  
RADNOR, PA 19087

XATF MANAGEMENT II LP  
FIVE RADNOR CORPORATE CENTER, SUITE 555  
100 MATSONFORD ROAD  
RADNOR, PA 19087

CROSS ATLANTIC CAPITAL PARTNERS II INC  
FIVE RADNOR CORPORATE CENTER, SUITE 555  
100 MATSONFORD ROAD  
RADNOR, PA 19087

CO INVESTMENT 2000 FUND LP  
FIVE RADNOR CORPORATE CENTER, SUITE 555  
100 MATSONFORD ROAD  
RADNOR, PA 19087

Co-Invest Management LP  
FIVE RADNOR CORPORATE CENTER, SUITE 555  
100 MATSONFORD ROAD  
RADNOR, PA 19087

Co-Invest Capital Partners Inc  
FIVE RADNOR CORPORATE CENTER, SUITE 555  
100 MATSONFORD ROAD  
RADNOR, PA 19087

## Signatures

/s/ Scott Glickson,  
attorney-in-fact

02/10/2012

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

These securities were granted to Donald R. Caldwell ("Caldwell"), a director of the Issuer, who is the owner and director of Cross Atlantic Capital Partners, Inc., which is the general partner of XATF Management L.P., which is the general partner of Cross Atlantic Technology Fund, L.P. Caldwell is a director, shareholder and officer of Cross Atlantic Capital Partners II, Inc., which is the general partner of XATF Management II, L.P., which is the general partner of Cross Atlantic Technology Fund II, L.P. Caldwell is a shareholder, director and officer of Co-Invest Capital Partners, Inc., which is the general partner of Co-Invest Management, L.P., which is the general partner of The Co-Investment 2000 Fund, L.P. Cross Atlantic Technology Fund, L.P., Cross Atlantic Technology Fund II, L.P. and The Co-Investment 2000 Fund, L.P. are collectively referred to as the "Funds".

(2) Under the terms of the applicable partnership agreements of the Funds, Caldwell is deemed to hold these securities for the benefit of the Funds, which are entitled to receive the net economic benefit of the securities as a credit against the management fees owed by the funds to Cross Atlantic Capital Partners, Inc. The Funds disclaim beneficial ownership of the securities except to the extent of their pecuniary interest therein.

(3) Consists of restricted stock pursuant to grants that vest ratably at the end of each quarter during 2012.

(4) Consists of shares directly held by the Funds as follows: Cross Atlantic Technology Fund, L.P. holds 668,572 shares of common stock, Cross Atlantic Technology Fund II, L.P. holds 2,230,198 shares of common stock and The Co-Investment 2000 Fund, L.P. holds 2,487,653 shares of common stock.

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(5) Options vested at the rate of 25% at the end of each quarter of fiscal 2010.

(6) Options vested at the rate of 33 1/3% on June 30, 2010, September 30, 2010 and December 31, 2010.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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