Edgar Filing: Parvez Raja M - Form 4

Parvez Raia M

Form 4 May 25, 201											
FORM A									OMB APPROVAL		
UNITED STATES				SECURITIES AND EXCHANGE CC Washington, D.C. 20549				OMMISSION	OMB Number:	3235-0287	
Check th if no lon subject t Section Form 4 c	iger STATI 16. or			NGES IN BENEFICIAL OWN SECURITIES					Expires: Estimated a burden hour response		
Form 5 obligations may continue. See Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Secti 30(h) of the Investment Company Act of 1940							1935 or Section	I			
(Print or Type	Responses)										
1. Name and Address of Reporting Person <u>*</u> Parvez Raja M			2. Issuer Name and Ticker or Trading Symbol				C	5. Relationship of Reporting Person(s) to Issuer			
(Last)	(First)	(Middle)	Rubicon Technology, Inc. [RBCN] (Chec 3. Date of Earliest Transaction (Chec				k all applicable)				
			(Month/Day/Year) 05/23/2011					_X_ Director10% Owner _X_ Officer (give titleOther (specify below) below) President/CEO			
				d(Month/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person			
BENSENV	TILLE, IL 60100	5						Form filed by Me Person	ore than One Re	porting	
(City)	(State)	(Zip)	Tab	ole I - Non-	Derivative	e Secu	rities Acq	uired, Disposed of,	or Beneficial	ly Owned	
1.Title of Security (Instr. 3)2. Transaction Date (Month/Day/Year)2A. Deemed Execution Date, if any (Month/Day/Year)		n Date, if	Code (Instr. 3, 4 and 5)) 5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)			
				Code V	Amount	or (D)	Price \$	(Instr. 3 and 4)		See	
Common Stock	05/23/2011			Р	2,000	А	э 22.0264 <u>(1)</u>	2,000	Ι	Footnote (2)	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	7. Title Amou Under Securi (Instr.	nt of lying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Parvez Raja M C/O RUBICON TECHNOLOGY INC. 900 EAST GREEN ST., UNIT A BENSENVILLE, IL 60106	Х		President/CEO				
Signatures							
/s/ Scott Glickson, attorney-in-fact	05/24/201	1					
**Signature of Reporting Person	Date						

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$22.026 to \$22.028, inclusive. The reporting person undertakes to provide to Rubicon Technology, Inc., any security holder of Rubicon

- (1) ^{522,020} to ^{522,020}, inclusive. The reporting person undertakes to provide to Rubicon Technology, Inc., any security holder of Rubicon Technology, Inc., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in footnote (1) to this Form 4
- (2) By Raja M Parvez Rev Trust dtd 11/14/2008

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.