Edgar Filing: Weissman William F - Form 4

Weissman V Form 4	Villiam F										
June 18, 201	0										
FORM 4 UNITED STATES SECUR						OMMISSION					
Check th	is box		Was	shingtor	n, D.C. 20	549			Number:	3235-0287 January 31,	
Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. STATEMENT O STATEMENT O Statement of Statement to Section 17(a) of the			Section 1 Public U	SECU 6(a) of t tility Ho	RITIES he Securit lding Con	ties E	xchange Act of	Act of 1934, 1935 or Sectior	Estimated av burden hours response of 1934,		
See Instr 1(b).		30(h)	of the In	vestmer	it Compar	iy Aci	t of 1940)			
(Print or Type	Responses)										
Weissman William F Symbol				r Name and Ticker or Trading n Technology, Inc. [RBCN]				5. Relationship of Reporting Person(s) to Issuer			
						. [KD	CNJ	(Check all applicable)			
	^(First) CON TECHNO CAST GREEN S		3. Date of (Month/E 06/15/2	Day/Year)	Fransaction			Director X Officer (give below) CFO, Trea		Owner er (specify etary	
				eendment, Date Original onth/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting			
BENSENV	ILLE, IL 60106	5						Person	ore than One Re	porting	
(City)	(State)	(Zip)	Tabl	le I - Non-	Derivative	Securi	ities Acqu	uired, Disposed of	, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction D (Month/Day/Yea	r) Executio any	ned n Date, if Day/Year)	3. Transact Code (Instr. 8) Code		sposed	l of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	06/15/2010			М	13,871	A	\$ 8.45	13,871	D		
Common Stock	06/15/2010			F	3,906	D	\$ 30.01	9,965	D		
Common Stock	06/15/2010			М	25,000	А	\$ 4.01	34,965	D		
Common Stock	06/15/2010			F	3,341	D	\$ 30.01	31,624	D		
Common	06/15/2010			М	05 174	•	¢ 0 15	126 709	D		

М

95,174 A \$8.45 126,798

D

06/15/2010

Stock

Edgar Filing: Weissman William F - Form 4

Common 06/15/2010 Stock

 $\frac{100,000}{30.01}$ 26,798 D F D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02) required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of 8 Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (Right to Buy)	\$ 8.45	06/15/2010		М	13,871	(2)	06/18/2017	Common Stock	13,871
Stock Option (Right to Buy)	\$ 4.01	06/15/2010		М	25,000	<u>(3)</u>	02/27/2019	Common Stock	25,000
Stock Option (Right to Buy)	\$ 8,345	06/15/2010		М	95,174	<u>(4)</u>	08/29/2017	Common Stock	95,174

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Weissman William F C/O RUBICON TECHNOLOGY INC. 900 EAST GREEN ST. UNIT A BENSENVILLE, IL 60106			CFO, Treasurer and Secretary				

Signatures

/s/ Scott L. Glickson, attorney-in-fact

06/18/2010

<u>**</u>Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The Common Stock Options were held and converted, on a net issuance basis, into 100,000 shares of Common Stock.
- (2) These options vested immediately upon the consummation of the initial public offering of the company on November 15, 2007.
- (3) Options vest at the rate of 25% of the total option grant on each of the first four anniversaries of February 27, 2009.
- (4) The options vest at the rate of 25% of the total options on each of the first four anniversaries of the date of grant beginning on August 1, 2008.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.