MACK RICHARD L

Form 4

October 08, 2009

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB

3235-0287 Number:

January 31, Expires: 2005

0.5

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(City)

(State)

(Zin)

(Print or Type Responses)

| 1. Name and Address of Reporting Person * MACK RICHARD L | | | 2. Issuer Name and Ticker or Trading Symbol MOSAIC CO [MOS] | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | |
|--|---|------------|--|--|--|--|--|
| (Last) | (First) | (Middle) | 3. Date of Earliest Transaction | (Check an applicable) | | | |
| COMPANY | C/O THE MOSAIC COMPANY, 3033 CAMPUS DRIVE, SUITE E490 | | (Month/Day/Year) 10/06/2009 | Director 10% OwnerX_ Officer (give title Other (specify below) Executive Vice President | | | |
| (Street) | | | 4. If Amendment, Date Original | 6. Individual or Joint/Group Filing(Check | | | |
| PLYMOUTI | H, MN 5544 | l 1 | Filed(Month/Day/Year) | Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person | | | |

| (City) | (State) | Table Table | e I - Non-D | erivative | Secur | ities Acq | uired, Disposed o | f, or Beneficial | ly Owned |
|------------------------|--------------------------------------|-------------------------------|---|-----------|------------------|-------------|--|----------------------------|----------------------|
| 1.Title of Security | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if | 3. 4. Securities Acquired Transaction(A) or Disposed of (D) | | | | 5. Amount of Securities | 7. Nature of Indirect | |
| (Instr. 3) | | any | Code (Instr. 3, 4 and 5) | | | 5) | Beneficially | (D) or | Beneficial |
| | | (Month/Day/Year) | (Instr. 8) Code V | Amount | (A) or (D) | Price | Owned Following Reported Transaction(s) (Instr. 3 and 4) | Indirect (I) (Instr. 4) | Ownership (Instr. 4) |
| Common Stock | 10/06/2009 | | M | 3,368 | D | \$ 0 | 33,898 | D | |
| Common Stock | 10/06/2009 | | F <u>(7)</u> | 1,439 | D | \$ 47.89 | 32,459 | D | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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$\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (\emph{e.g.}, puts, calls, warrants, options, convertible securities) \\ \end{tabular}$

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transacti Code (Instr. 8) | Secu Acq (A) Disp (D) | urities uired or posed of tr. 3, 4, | Expiration Dat | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | |
|---|---|---|---|---------------------------------------|-----------------------------------|---|---------------------|--|-----------------|---|--|
| | | | | Code V | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | |
| Stock Option (right to buy) | \$ 15.04 | | | | | | (3) | 10/29/2014 | Common Stock | 10,384 | |
| Stock Option (right to buy) | \$ 17.29 | | | | | | (3) | 08/01/2015 | Common Stock | 46,382 | |
| Stock Option (right to buy) | \$ 15.45 | | | | | | (3) | 08/04/2016 | Common Stock | 47,319 | |
| Restricted Stock Units | \$ 0 (1) | 10/06/2009 | | M | | 3,368 | 10/06/2009 | (2) | Common Stock | 3,368 | |
| Stock Option (Right to Buy) | \$ 40.03 | | | | | | <u>(4)</u> | 08/02/2017 | Common Stock | 19,368 | |
| Restricted Stock Units | \$ 0 (1) | | | | | | 08/02/2010 | (2) | Common Stock | 8,119 | |
| Stock Option (Right to Buy) | \$ 127.21 | | | | | | <u>(5)</u> | 07/31/2018 | Common Stock | 5,486 | |
| Restricted Stock Units | \$ 0 (1) | | | | | | 07/31/2011 | (2) | Common Stock | 2,948 | |
| Stock Option (Right to | \$ 52.72 | | | | | | <u>(6)</u> | 07/27/2019 | Common Stock | 10,216 | |

Buy)

Restricted

Stock \$0 (1) Common Stock 9,674

Units

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

MACK RICHARD L C/O THE MOSAIC COMPANY 3033 CAMPUS DRIVE, SUITE E490 PLYMOUTH, MN 55441

Executive Vice President

Signatures

s/ Richard L. Mack 10/07/2009

**Signature of Date
Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) One-for-One
- (2) Not Applicable
- (3) This Stock Option is 100% exercisable.
- Grant Date 08/02/2007; option becomes exercisable in three equal installments commencing on the first anniversary of the grant date and on each anniversary thereafter.
- (5) Grant Date 07/31/2008; option becomes exercisable in three equal installments commencing on the first anniversary of the grant date and on each anniversary thereafter.
- (6) Grant Date 07/27/2009; option becomes exercisable in three equal installments commencing on the first anniversary of the grant date and on each anniversary thereafter.
- (7) Shares withheld to cover tax liability incurred as a result of vesting of Restricted Stock Units.

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