#### CO INVESTMENT 2000 FUND LP

Form 4 March 03, 2009

### FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB 3235-0287 Number:

burden hours per

response...

**OMB APPROVAL** 

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

January 31, Expires: 2005 Estimated average

0.5

Form 4 or Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

See Instruction 1(b).

(Middle)

(Print or Type Responses)

(Last)

1. Name and Address of Reporting Person \* Cross Atlantic Capital Partners, Inc.

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

(First)

(Street)

Rubicon Technology, Inc. [RBCN]

(Check all applicable)

FIVE RADNOR CORPORATE

**CENTER. SUITE 555, 100** MATSONFORD ROAD

3. Date of Earliest Transaction (Month/Day/Year)

Director Officer (give title

below)

X\_\_ 10% Owner \_ Other (specify

4. If Amendment, Date Original

Filed(Month/Day/Year)

03/31/2008

6. Individual or Joint/Group Filing(Check

Applicable Line)

Form filed by One Reporting Person \_X\_ Form filed by More than One Reporting

Person

RADNOR, PA 19087

(City)	(State)	(Zip) Table	e I - Non-Dei	rivative Se	ecuriti	ies Acq	uired, Disposed o	of, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securi Acquired Disposed (Instr. 3,	(A) o l of (D	)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	03/31/2008		J(1)(2)	241	A	\$ 0	241	I	See Footnote
Common Stock	06/30/2008		J(1)(2)	344	A	\$ 0	585	I	See Footnote (1) (2)
Common Stock	09/30/2008		J(1)(2)	969	A	\$0	1,554	I	See Footnote (1) (2)
Common	12/31/2008		J(1)(2)	1,643	A	\$0	3,197	I	See

Stock Footnote (1) (2)

Common Stock 5,886,423 (3) D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (Instr.		5. biNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Secur	int of rlying	8. Price of Derivative Security (Instr. 5)
				Code	V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
Cross Atlantic Capital Partners, Inc. FIVE RADNOR CORPORATE CENTER, SUITE 555 100 MATSONFORD ROAD RADNOR, PA 19087		X				
CROSS ATLANTIC TECHNOLOGY FUND L P FIVE RADNOR CORPORATE CENTER, SUITE 555 100 MATSONFORD ROAD RADNOR, PA 19087		X				
XATF Management, L.P. FIVE RADNOR CORPORATE CENTER, SUITE 555 100 MATSONFORD ROAD RADNOR, PA 19087		X				
CROSS ATLANTIC TECHNOLOGY FUND II LP FIVE RADNOR CORPORATE CENTER, SUITE 555		X				

Reporting Owners 2

X

X

X

X

X

100 MATSONFORD ROAD RADNOR, PA 19087

XATF MANAGEMENT II LP

FIVE RADNOR CORPORATE CENTER, SUITE 555

100 MATSONFORD ROAD

RADNOR, PA 19087

CROSS ATLANTIC CAPITAL PARTNERS II INC

FIVE RADNOR CORPORATE CENTER, SUITE 555

100 MATSONFORD ROAD

RADNOR, PA 19087

CO INVESTMENT 2000 FUND LP

FIVE RADNOR CORPORATE CENTER, SUITE 555

100 MATSONFORD ROAD

RADNOR, PA 19087

Co-Invest Management LP

FIVE RADNOR CORPORATE CENTER, SUITE 555

100 MATSONFORD ROAD

RADNOR, PA 19087

Co-Invest Capital Partners Inc

FIVE RADNOR CORPORATE CENTER, SUITE 555

100 MATSONFORD ROAD

RADNOR, PA 19087

## **Signatures**

/s/ Scott Glickson, attorney-in-fact

03/03/2009

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

These shares were granted to Donald R. Caldwell ("Caldwell"), a director of the Issuer, who is the owner and director of Cross Atlantic Capital Partners, Inc., which is the general partner of XATF Management L.P., which is the general partner of Cross Atlantic Technology Fund, L.P. Caldwell is a director, shareholder and officer of Cross Atlantic Capital Partners II, Inc., which is the general partner of XATF

- (1) Management II, L.P., which is the general partner of Cross Atlantic Technology Fund II. L.P. Caldwell is a shareholder, director and officer of Co-Invest Capital Partners, Inc., which is the general partner of Co-Invest Management, L.P., which is the general partner of The Co-Investment 2000 Fund, L.P. Cross Atlantic Technology Fund, L.P, Cross Atlantic Technology Fund, II, L.P. and The Co-Investment 2000 Fund, L.P. are collectively referred to as the "Funds". (Continue to footnote 2)
- Under the terms of the applicable partnership agreements of the Funds, Caldwell is deemed to hold these shares for the benefit of the Funds, which are entitled to receive the net economic benefit of the shares as a credit against the management fees owed by the funds to Cross Atlantic Capital Partners, Inc. The Funds disclaim beneficial ownership of the shares except to the extent of their pecuniary interest therein.
- Consists of shares directly held by the Funds as follows: Cross Atlantic Technology Fund, L.P. holds 727,693 shares of common stock, (3) Cross Atlantic Technology Fund II, L.P. holds 2,438,732 shares of common stock and The Co-Investment 2000 Fund, L.P. holds 2,719,998 shares of common stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 3