## Edgar Filing: XERIUM TECHNOLOGIES INC - Form 3/A

#### XERIUM TECHNOLOGIES INC

Form 3/A May 11, 2005

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** Number:

3235-0104

Expires:

January 31, 2005

0.5

Estimated average burden hours per

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, response... Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person

**Â** Gutierrez Thomas

(Last)

DRIVE

(Instr. 4)

(First)

C/O XERIUM TECHNOLOGIES,

INC., ONE TECHNOLOGY

(Middle)

2. Date of Event Requiring Statement (Month/Day/Year)

05/11/2005

3. Issuer Name and Ticker or Trading Symbol XERIUM TECHNOLOGIES INC [XRM]

4. Relationship of Reporting 5. If Amendment, Date Original

Person(s) to Issuer

Filed(Month/Day/Year)

05/05/2005

(Check all applicable)

(Street)

\_X\_ Director 10% Owner \_X\_ Officer Other (give title below) (specify below) President and CEO

6. Individual or Joint/Group Filing(Check Applicable Line) \_X\_ Form filed by One Reporting

Person

Form filed by More than One Reporting Person

WESTBOROUGH, MAÂ 01581

(City)

(State) 1. Title of Security

(Zip)

2. Amount of Securities Beneficially Owned

(Instr. 4)

3. Ownership

(I) (Instr. 5) 4. Nature of Indirect Beneficial Ownership

Form: Direct (D) or Indirect

Table I - Non-Derivative Securities Beneficially Owned

(Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Date

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying **Derivative Security** 

4. 5. Conversion Ownership or Exercise Form of Derivative

6. Nature of Indirect Beneficial Ownership (Instr. 5)

(Instr. 4)

**Expiration Title** 

Exercisable Date Amount or Number of

Price of Derivative Security Direct (D)

Security:

Shares

or Indirect (I) (Instr. 5)

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

**Gutierrez Thomas** 

C/O XERIUM TECHNOLOGIES, INC. ONE TECHNOLOGY DRIVE

WESTBOROUGH, MAÂ 01581

ÂX

Â

President and CEO Â

Signatures

/s/ Milap Patel, by power of attorney

05/11/2005

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

No securities are beneficially owned

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

### Remarks:

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As of the date hereof, the Reporting Person does not beneficially own any securities of the Issuer. Â Immediately prior to the Issuer's contemplated initial public offering (the "Offering") described in Amendment No. 12 to the Issuer's Registration Statement on Form S-1 filed with the Securities and Exchange Commission on May 11, 2005 (the "Registration Statement"), pursuant to an Exchange and Redemption Agreement by and among the Issuer and the Reporting Person, as described in the Registration Statement, and assuming an initial public officering price of \$12.00, the Reporting Person will transfer his equity interest in Xerium S.A., the indirect parent of the Issuer prior to the Offering, to the Issuer in exchange for 397,326 shares of the Issuer's Common Stock, 317,861 of which will be issued to the Reporting Person's wife. Â Accordingly, the Reporting Person currently expects to be the direct beneficial owner of 79,465 shares of the Issuer's Common Stock and an indirect beneficial owner of the 317,861 shares of the Issuer'sÂ

Reporting Owners 2

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## Common Stock issued to his wife.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.