Edgar Filing: AUBURN NATIONAL BANCORPORATION INC - Form 4

AUBURN NATIONAL BANCORPORATION INC

Form 4 May 02, 2005

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB

3235-0287 Number: January 31, Expires:

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OMB APPROVAL

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obligations

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * DUMAS ROBERT W			suer Name and Ticker or Trading ol URN NATIONAL	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
		BAN	CORPORATION INC [AUBN]	(
(Last)	(First) (N	, -, -, -, -, -, -, -, -, -, -, -, -, -,	e of Earliest Transaction h/Day/Year)	X Director 10% Owner X Officer (give title Other (specify			
			2/2005	below) below) President & CEO			
(Street) 4.			mendment, Date Original	6. Individual or Joint/Group Filing(Check			
		Filed(1	Month/Day/Year)	Applicable Line) _X_Form filed by One Reporting Person			
AUBURN, AL 36830				Form filed by More than One Reporting Person			
(City)	(State)	(Zip) T	able I - Non-Derivative Securities Ac	equired, Disposed of, or Beneficially Owned			
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, any (Month/Day/Yea	Code (Instr. 3, 4 and 5) r) (Instr. 8) (A) or	Beneficially (D) or Beneficial Owned Indirect (I) Ownership Following (Instr. 4) (Instr. 4) Reported Transaction(s) (Instr. 3 and 4)			
common stock	05/02/2005		Code V Amount (D) Price P 200 A \$ 11.35	31 463 ⁽¹⁾ D			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of	2.	3. Transaction Date		4.	5. Number			7. Title		8. Price o
Derivative Security	Conversion or Exercise	(Month/Day/Year)	any	Transactio Code	Derivative	Expiration Dat (Month/Day/Y		Amoun Underly	ying	Derivativ Security
(Instr. 3)	Price of Derivative Security		(Month/Day/Year)	(Instr. 8)	Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)			Securities (Instr. 3 and 4)		(Instr. 5)
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
common stock	\$ 11.35	05/02/2005		X	200	05/02/2005	12/31/2005	aubn	200	<u>(2)</u>

Reporting Owners

	Relationships
Paparting Owner Name / Address	- Tremeronsinps

Director 10% Owner Officer Other

DUMAS ROBERT W

784 ANNABROOK DRIVE X President & CEO

AUBURN, AL 36830

Signatures

/s/ Robert W Dumas 05/02/2005

**Signature of Date
Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) increased securities owned by 83 aubn drip shares
- (2) Exercised stock option

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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