

LaBombard Christine
Form 3
February 01, 2019

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0104
Expires: January 31, 2005
Estimated average burden hours per response... 0.5

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

LaBombard Christine

(Last) (First) (Middle)

825 BERKSHIRE BLVD SUITE
200

(Street)

WYOMISSING, PA 19610

(City) (State) (Zip)

2. Date of Event Requiring Statement

(Month/Day/Year)

01/29/2019

3. Issuer Name and Ticker or Trading Symbol
PENN NATIONAL GAMING INC [PENN]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original Filed(Month/Day/Year)

(Check all applicable)

____ Director ____ 10% Owner
____X____ Officer ____ Other
(give title below) (specify below)
SVP, Chief Accounting Officer

6. Individual or Joint/Group Filing(Check Applicable Line)
____X____ Form filed by One Reporting Person
____ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security
(Instr. 4)

2. Amount of Securities Beneficially Owned
(Instr. 4)

3. Ownership Form:
Direct (D)
or Indirect (I)
(Instr. 5)

4. Nature of Indirect Beneficial Ownership
(Instr. 5)

Common Stock

24,029

D

À

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security
(Instr. 4)

2. Date Exercisable and Expiration Date
(Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security
(Instr. 4)

4. Conversion or Exercise Price of Derivative Security

5. Ownership Form of Derivative Security:
Direct (D)

6. Nature of Indirect Beneficial Ownership
(Instr. 5)

Date Exercisable Expiration Date Title

Edgar Filing: LaBombard Christine - Form 3

				Amount or Number of Shares		or Indirect (I) (Instr. 5)	
Stock Appreciation Rights	10/15/2019 ⁽¹⁾	10/15/2028 ⁽¹⁾	Common Stock	10,183	\$ 29.92 ⁽¹⁾	D	Â
Non-Qualified Options (right to buy)	01/03/2020 ⁽²⁾	01/03/2029 ⁽²⁾	Common Stock	26,158	\$ 19.45 ⁽²⁾	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
LaBombard Christine 825 BERKSHIRE BLVD SUITE 200 WYOMISSING, PA 19610	Â	Â	Â SVP, Chief Accounting Officer	Â

Signatures

/s/ Christopher Rogers as attorney-in-fact for Christine LaBombard

02/01/2019

Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Each vested Stock Appreciation Right (SAR) represents the right to receive a cash payment upon exercise equal to the amount by which the Fair Market Value of a share of Common Stock on the date of exercise exceeds the Base Amount (\$29.92) for the SAR. Vests over four years on the anniversary date of grant as follows: 2,546 SARs on October 15, 2019; 2,546 SARs on October 15, 2020; 2,546 SARs on October 15, 2021; and 2,545 SARs on October 15, 2022.

(2) Vests over four years on the anniversary date of grant as follows: 6,540 shares on January 3, 2020; 6,539 shares on January 3, 2021; 6,540 shares on January 3, 2022; and 6,539 shares on January 3, 2023.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.