### Edgar Filing: BELTRAMELLO JO ANN - Form 3

#### BELTRAMELLO JO ANN

Form 3

October 11, 2018

### FORM 3

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB

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**OMB APPROVAL** 

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement MOMENTA PHARMACEUTICALS INC [MNTA] À BELTRAMELLO JO ANN (Month/Day/Year) 10/05/2018 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 301 BINNEY ST, 5TH FLOOR (Check all applicable) (Street) 6. Individual or Joint/Group Filing(Check Applicable Line) 10% Owner Director \_X\_ Form filed by One Reporting \_X\_\_ Officer Other Person CAMBRIDGE, MAÂ 02142 (give title below) (specify below) Form filed by More than One Chief HR and Inf. Officer Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 2. Amount of Securities 4. Nature of Indirect Beneficial 1. Title of Security Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) D Â Common Stock 135,038 Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a

currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)		2. Date Exercisable and Expiration Date Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security		5. Ownership Form of	6. Nature of Indirect Beneficial Ownership (Instr. 5)
			(Instr. 4)		Price of	Derivative	
	Date Ex Exercisable Da	Expiration	Title	Amount or Number of	Derivative	Security:	
					Security	Direct (D)	
		Date				or Indirect	

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				Shares		(I) (Instr. 5)	
Stock Options (right to buy)	(1)	02/25/2019	Common Stock	8,377	\$ 10.43	D	Â
Stock Options (right to buy)	(2)	02/18/2020	Common Stock	14,781	\$ 15.37	D	Â
Stock Options (right to buy)	(3)	02/22/2021	Common Stock	25,495	\$ 13.26	D	Â
Stock Options (right to buy)	(4)	02/14/2022	Common Stock	26,183	\$ 15.44	D	Â
Stock Options (right to buy)	(5)	02/19/2023	Common Stock	30,800	\$ 12.58	D	Â
Stock Options (right to buy)	(6)	02/18/2024	Common Stock	40,504	\$ 17.96	D	Â
Stock Options (right to buy)	(7)	02/18/2025	Common Stock	43,384	\$ 13.02	D	Â
Stock Options (right to buy)	(8)	02/09/2026	Common Stock	37,800	\$ 10.83	D	Â
Stock Options (right to buy)	(9)	02/07/2027	Common Stock	43,050	\$ 18.85	D	Â
Restricted Stock Units	(10)	(10)	Common Stock	13,453	\$ (12)	D	Â
Restricted Stock Units	(11)	(11)	Common Stock	55,000	\$ (12)	D	Â

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
Transfer of the same of the sa	Director	10% Owner	Officer	Other		
BELTRAMELLO JO ANN 301 BINNEY ST, 5TH FLOOR CAMBRIDGE, MA 02142	Â	Â	Chief HR and Inf. Officer	Â		

# **Signatures**

/s/ R. Mark Chamberlin as attorney in fact 10/11/2018

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Subject to the officer's continued service with the company, the stock options granted on February 25, 2009 will vest in equal quarterly installments over the four year vesting period.

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- (2) Subject to the officer's continued service with the company, the stock options granted on February 18, 2010 will vest in equal quarterly installments over the four year vesting period.
- (3) Subject to the officer's continued service with the company, the stock options granted on February 22, 2011 will vest in equal quarterly installments over the four year vesting period.
- (4) Subject to the officer's continued service with the company, the stock options granted on February 14, 2012 will vest in equal quarterly installments over the four year vesting period.
- (5) Subject to the officer's continued service with the company, the stock options granted on February 19, 2013 will vest in equal quarterly installments over the four year vesting period.
- (6) Subject to the officer's continued service with the company, the stock options granted on February 18, 2014 will vest in equal quarterly installments over the four year vesting period.
- (7) Subject to the officer's continued service with the company, the stock options granted on February 18, 2015 will vest in equal quarterly installments over the four year vesting period.
- (8) Subject to the officer's continued service with the company, the stock options will vest with respect to 25% of the shares on the first anniversary of February 9, 2016, and the remainder will vest in equal quarterly installments over the subsequent three years.
- (9) Subject to the officer's continued service with the company, the stock options will vest with respect to 25% of the shares on the first anniversary of February 7, 2017, and the remainder will vest in equal quarterly installments over the subsequent three years.
- (10) Subject to the officer's continued service with the company, the restricted stock units will vest with respect to 25% of the shares on the first anniversary of February 7, 2017, and the remainder will vest in equal quarterly installments over the subsequent three years.
- Subject to the officer's continued service with the company, the restricted stock units will vest with respect to 50% of the shares on the first anniversary of February 12, 2018, and the remaining 50% will vest on the second anniversary of the grant date.
- (12) Each Restricted Stock Unit represents a contingent right to receive one share of MNTA common stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.