Herman Gerald N Form 3 February 26, 2018

## FORM 3

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person 2. Date of Event 3. Issuer Name and Ticker or Trading Symbol Requiring Statement BRUKER CORP [BRKR] A Herman Gerald N (Month/Day/Year) 02/16/2018 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) C/O BRUKER (Check all applicable) CORPORATION, 40 **MANNING ROAD** 10% Owner Director (Street) \_X\_\_ Officer Other 6. Individual or Joint/Group (give title below) (specify below) Filing(Check Applicable Line) Vice President, Corp Contr. \_X\_ Form filed by One Reporting Person BILLERICA, MAÂ 01821-3915 Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 1. Title of Security 2. Amount of Securities 3. 4. Nature of Indirect Beneficial Beneficially Owned (Instr. 4) Ownership Ownership (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) Â Common Stock  $7,067 \stackrel{(1)}{=}$ D Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

required to respond unless the form displays a

currently valid OMB control number.

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)	4. Conversion or Exercise Price of Derivative	. *	6. Nature of Indirect Beneficial Ownership (Instr. 5)
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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Stock option - right to buy	(2)	12/01/2026	Common Stock	8,004	\$ 22.51	D	Â

#### **Reporting Owners**

Reporting Owner Name / Address	Relationships				
	Director	10% Owner	Officer	Other	
Herman Gerald N					
C/O BRUKER CORPORATION	â	â	Vice President, Corp Contr.	â	
40 MANNING ROAD	А	А	A vice President, Corp Contr.	A	
BILLERICA, MA 01821-3915					

Date

## **Signatures**

/s/ Michael Rich, 02/26/2018 Attorney-in-Fact \*\*Signature of Reporting Person

#### **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The total shares reported include (a) 418 shares of common stock; (b) 1,861 shares that are represented by restricted stock units which vest in three approximately equal annual installments on each of 12/1/2018, 12/1/2019 and 12/1/2020; and (c) 4,788 shares that are represented by restricted stock units which vest in four approximately equal annual installments on each of 8/10/2018, 8/10/2019, 8/10/2020 and 8/10/2021.
- Options to purchase 2,001 shares vested on 12/1/2017 and are currently exercisable. The remaining options vest in three equal annual installments on each of 12/1/2018, 12/1/2019 and 12/1/2020.

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#### **Remarks:**

Exhibit List: Exhibit 24 - Power of Attorney

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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