

ACTUANT CORP
Form 3
August 19, 2016

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

<p>1. Name and Address of Reporting Person *</p> <p>Â Rennie Stephen</p> <p>(Last) (First) (Middle)</p> <p>C/O ACTUANT CORPORATION,Â N86 W12500 WESTBROOK CROSSING</p> <p>(Street)</p> <p>MENOMONEE FALLS,Â WIÂ 53051</p> <p>(City) (State) (Zip)</p>	<p>2. Date of Event Requiring Statement</p> <p>(Month/Day/Year)</p> <p>08/09/2016</p>	<p>3. Issuer Name and Ticker or Trading Symbol</p> <p>ACTUANT CORP [ATU]</p>	<p>4. Relationship of Reporting Person(s) to Issuer</p> <p>(Check all applicable)</p> <p><input type="checkbox"/> Director <input type="checkbox"/> 10% Owner</p> <p><input checked="" type="checkbox"/> Officer <input type="checkbox"/> Other</p> <p>(give title below) (specify below)</p> <p>Ex. VP Industrial & India</p>	<p>5. If Amendment, Date Original Filed(Month/Day/Year)</p>
				<p>6. Individual or Joint/Group Filing(Check Applicable Line)</p> <p><input checked="" type="checkbox"/> Form filed by One Reporting Person</p> <p><input type="checkbox"/> Form filed by More than One Reporting Person</p>

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
Class A Common Stock	59,030 ⁽¹⁾	D	Â
Class A Common Stock	759	I	By 401(k)
Class A Common Stock	1,633	I	By Deferred Compensation Plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise Price of Derivative Security	5. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares			
Employee Stock Option (right to buy) <u>(2)</u>	Â <u>(3)</u>	01/14/2023	Class A Common Stock	12,834	\$ 28.7	D	Â
Employee Stock Option (right to buy) <u>(2)</u>	01/08/2018	01/08/2023	Class A Common Stock	525	\$ 28.21	D	Â
Employee Stock Option (right to buy) <u>(2)</u>	04/08/2018	04/08/2023	Class A Common Stock	1,000	\$ 29.65	D	Â
Employee Stock Option (right to buy) <u>(2)</u>	Â <u>(4)</u>	01/13/2024	Class A Common Stock	10,446	\$ 35.71	D	Â
Employee Stock Option (right to buy) <u>(2)</u>	04/04/2019	04/04/2024	Class A Common Stock	2,000	\$ 34.48	D	Â
Employee Stock Option (right to buy) <u>(2)</u>	07/07/2019	07/07/2024	Class A Common Stock	2,500	\$ 34.09	D	Â
Employee Stock Option (right to buy) <u>(2)</u>	10/20/2019	10/20/2024	Class A Common Stock	1,500	\$ 29.62	D	Â
Employee Stock Option (right to buy) <u>(2)</u>	01/07/2020	01/07/2025	Class A Common Stock	1,000	\$ 25.29	D	Â
Employee Stock Option (right to buy) <u>(2)</u>	Â <u>(5)</u>	01/20/2025	Class A Common Stock	23,253	\$ 22.98	D	Â
Employee Stock Option (right to buy) <u>(2)</u>	04/06/2020	04/06/2025	Class A Common Stock	1,500	\$ 24.46	D	Â
Employee Stock Option (right to buy) <u>(2)</u>	Â <u>(6)</u>	01/19/2026	Class A Common Stock	27,155	\$ 21.41	D	Â

Reporting Owners

Reporting Owner Name / Address

Relationships

Reporting Owners

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Director 10% Owner Officer

Other

Rennie Stephen

C/O ACTUANT CORPORATION
N86 W12500 WESTBROOK CROSSING
MENOMONEE FALLS, WI 53051

^ ^ ^ Ex. VP Industrial & India ^

Signatures

/s/ Eric Orsic,
Attorney-in-Fact

08/19/2016

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Includes 28,264 restricted stock units granted pursuant to the Actuant Corporation 2009 Omnibus Incentive Plan.

(2) Option granted under the Actuant Corporation 2009 Omnibus Incentive Plan.

(3) Fifty percent of the option became exercisable on 1/14/2016 and the balance will become exercisable on 1/14/2018.

(4) Fifty percent of the option becomes exercisable on 1/13/2017 and the balance becomes exercisable on 1/13/2019.

(5) Fifty percent of the option becomes exercisable on 1/20/2018 and the balance becomes exercisable on 1/20/2020.

(6) Fifty percent of the option becomes exercisable on 1/19/2019 and the balance becomes exercisable on 1/19/2021.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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