Sientra, Inc. Form 4 January 28, 2016

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box

if no longer subject to Section 16. Form 4 or

Form 5 obligations See Instruction

may continue.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

(Last)

1. Name and Address of Reporting Person \* **Huiner Charles** 

(First) (Middle)

420 SOUTH FAIRVIEW **AVENUE**, SUITE 200

(Street)

SANTA BARBARA, CA 93117

2. Issuer Name and Ticker or Trading

Symbol Sientra, Inc. [SIEN]

3. Date of Earliest Transaction

(Month/Day/Year) 01/26/2016

4. If Amendment, Date Original

Filed(Month/Day/Year)

**OMB APPROVAL** 

OMB Number:

3235-0287

Expires:

January 31, 2005

Estimated average burden hours per

response...

0.5

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner X\_ Officer (give title Other (specify

> below) Chief Operating Officer

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

below)

(City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3) (Month/Day/Year)

Code (Instr. 8)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

3.

4. Securities Acquired Transaction(A) or Disposed of (D) (Instr. 3, 4 and 5)

5. Amount of Securities Beneficially Owned Following Reported

(D) or Indirect (I) (Instr. 4)

D

Form: Direct Indirect Beneficial Ownership (Instr. 4)

6. Ownership 7. Nature of

Transaction(s) or (Instr. 3 and 4) Code V Amount (D) Price

(A)

Common Stock 01/26/2016

(RSU)

110,000 Α (1)

\$0

114,933 (2)

SEC 1474

(9-02)

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

#### Edgar Filing: Sientra, Inc. - Form 4

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number proof Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (right to buy)	\$ 15.65	01/27/2016		A	2,500	01/27/2016	01/27/2025	Common Stock	2,500

**Chief Operating Officer** 

## **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		

Huiner Charles

420 SOUTH FAIRVIEW AVENUE,

SUITE 200

SANTA BARBARA, CA 93117

# **Signatures**

/s/ Matthew Pigeon, Attorney-in-fact for Charles
Huiner

01/28/2016

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- One-third (1/3) of the restricted stock units shall vest on the first anniversary of the vesting calculation date, one-third (1/3) of the restricted stock units shall vest on the second anniversary of the vesting calculation date and the remaining one-third (1/3) of the restricted stock units shall vest on the third anniversary of the vesting calculation date, subject to continued Service (as defined in the Sientra, Inc. 2014 Stock Incentive Plan) with the Company.
- (2) Includes Employee Stock Purchase Plan purchases of 1,933 shares of Common Stock on July 20, 2015 and 3,000 shares of Common Stock on January 20, 2016

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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